

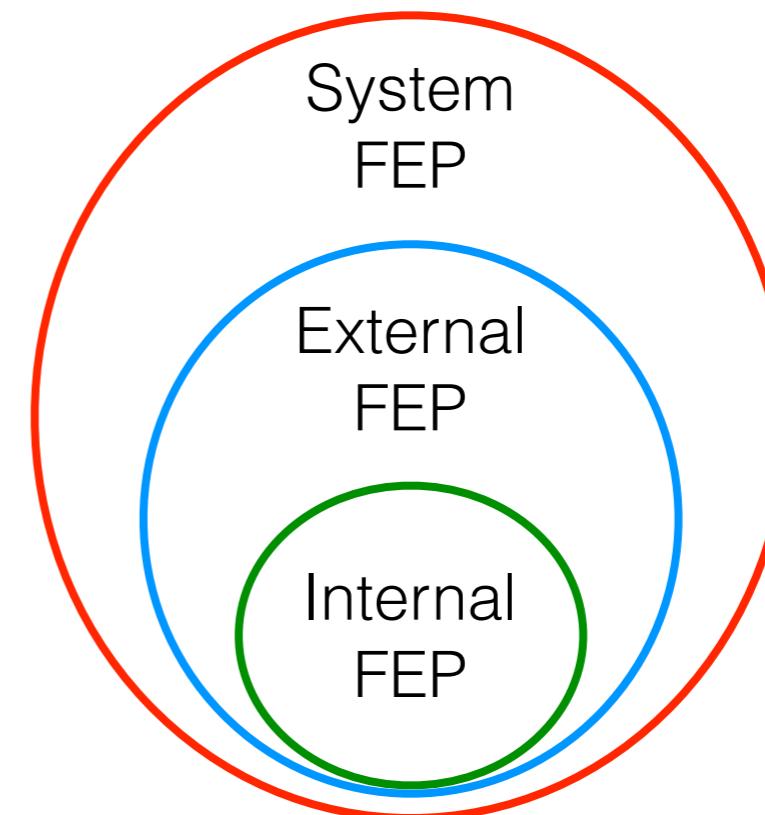
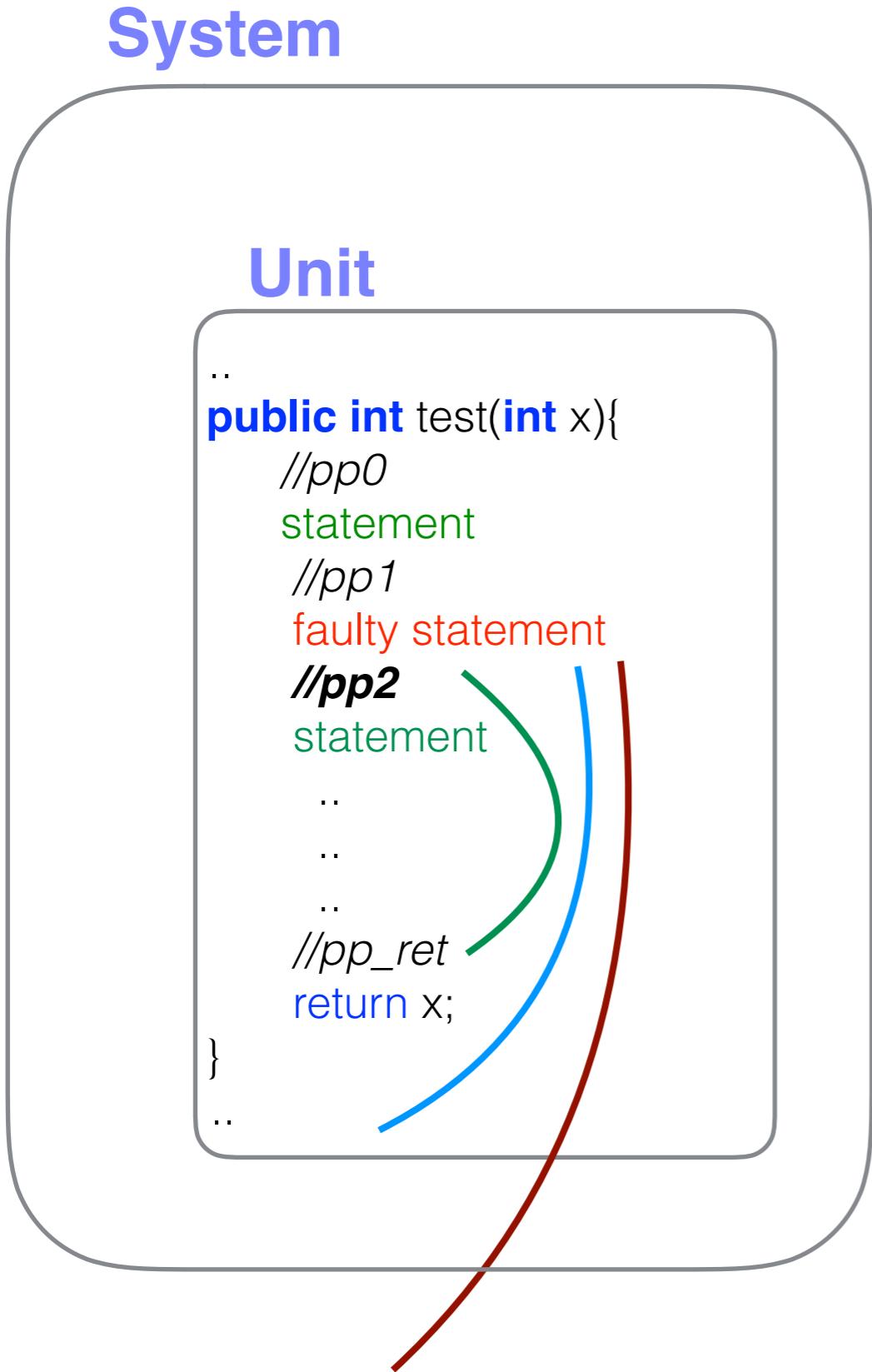
# An Empirical Study on Failed Error Propagation in Java Programs with Real Faults

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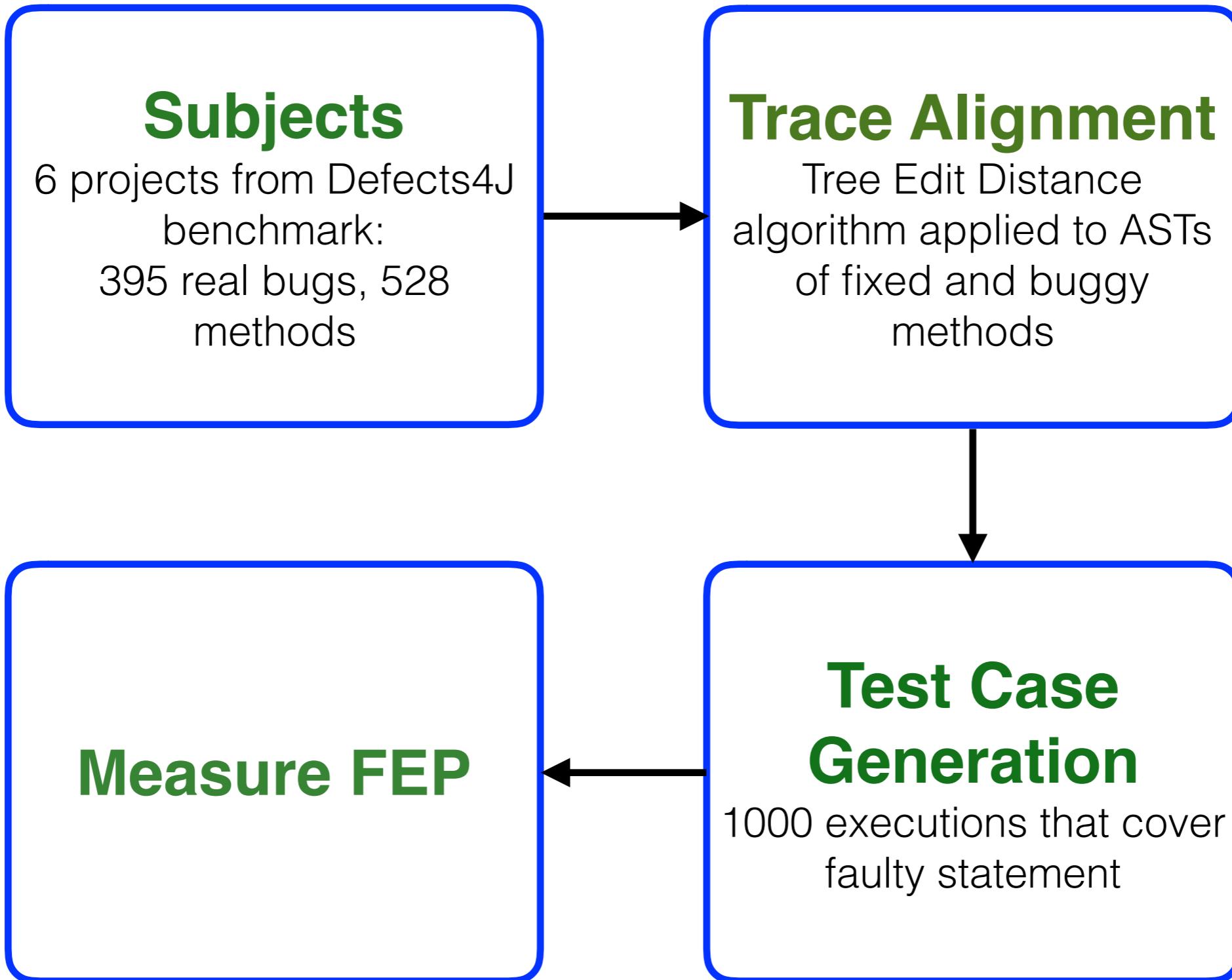


30th of January  
57th CREST Open Workshop  
UCL, London, UK

# Failed Error Propagation



# Experimental Procedure



# Benchmark: Defects4J

Project	Number of Bugs	Bugs with fix in M/C	Number of M/C	M/C > 1 LOC
JFreeChart	26	26	39	39
Closure Compiler	133	131	172	172
Commons Lang	65	61	88	82
Commons Math	106	104	146	135
Mockito	38	37	76	50
Joda Time	27	26	51	50

384 bugs

572 M/C

# Test Case Generation

```
1 int test(int x) {  
2     x = 3 * x;  
3     if (x > 0) {  
4         x = x % 4;  
5     } else {  
6         x = x + 1;  
7     }  
8     return x;  
9 }
```

```
1 int test(int x) {  
2     x = 3 * x;  
3     if (x > 0) {  
4         x = x % 3;  
5     } else {  
6         x = x + 1;  
7     }  
8     return x;  
9 }
```



Line Coverage Criteria:

*line\_list*

*goals\_multiply*

Budget: 10000 seconds

# Trace Alignment

```
299.     public Complex reciprocal() {  
300.         if (isNaN) {  
301.             return NaN;  
302.         }  
303.  
304.         if (real == 0.0 && imaginary == 0.0) {  
305.             return NaN;  
306.         }  
307.  
308.         if (isInfinite) {  
309.             return ZERO;  
310.         }  
311.  
312.         if (FastMath.abs(real) < FastMath.abs(imaginary)) {  
313.             double q = real / imaginary;  
314.             double scale = 1. / (real * q + imaginary);  
315.             return createComplex(scale * q, -scale);  
316.         } else {  
317.             double q = imaginary / real;  
318.             double scale = 1. / (imaginary * q + real);  
319.             return createComplex(scale, -scale * q);  
320.         }  
321.     }  
  
299.     public Complex reciprocal() {  
300.         if (isNaN) {  
301.             return NaN;  
302.         }  
303.  
304.         if (real == 0.0 && imaginary == 0.0) {  
305.             return INF;  
306.         }  
307.  
308.         if (isInfinite) {  
309.             return ZERO;  
310.         }  
311.  
312.         if (FastMath.abs(real) < FastMath.abs(imaginary)) {  
313.             double q = real / imaginary;  
314.             double scale = 1. / (real * q + imaginary);  
315.             return createComplex(scale * q, -scale);  
316.         } else {  
317.             double q = imaginary / real;  
318.             double scale = 1. / (imaginary * q + real);  
319.             return createComplex(scale, -scale * q);  
320.         }  
321.     }
```

# Trace Alignment

```
86.  public Line revert() {  
87.      final Line reverted = new Line(zero, zero.subtract(direction));  
88.      return reverted;  
89.  }  
  
86.  public Line revert() {  
87.      final Line reverted = new Line(this);  
88.      reverted.direction = reverted.direction.negate();  
89.      return reverted;  
90.  }  
  
1602. public Dfp multiply(final int x) {  
1603.     return multiplyFast(x);  
1604. }  
  
1602. public Dfp multiply(final int x) {  
1603.     if (x >= 0 && x < RADIX) {  
1604.         return multiplyFast(x);  
1605.     } else {  
1606.         return multiply(newInstance(x));  
1607.     }  
1608. }  
  
985.  public boolean isFeasible(final double[] x) {  
986.      if (boundaries == null) {  
987.          return true;  
988.      }  
989.  
990.      for (int i = 0; i < x.length; i++) {  
991.          if (x[i] < 0) {  
992.              return false;  
993.          }  
994.          if (x[i] > 1.0) {  
995.              return false;  
996.          }  
997.      }  
998.      return true;  
999.  }  
1000.  
1001.  
1002. }  
  
985.  public boolean isFeasible(final double[] x) {  
986.      if (boundaries == null) {  
987.          return true;  
988.      }  
989.  
990.      final double[] bLoEnc = encode(boundaries[0]);  
991.      final double[] bHiEnc = encode(boundaries[1]);  
992.      for (int i = 0; i < x.length; i++) {  
993.          if (x[i] < bLoEnc[i]) {  
994.              return false;  
995.          }  
996.          if (x[i] > bHiEnc[i]) {  
997.              return false;  
998.          }  
999.      }  
1000.     }  
1001.     return true;  
1002. }
```

# Tree Edit Script

```
1 public int test(int x) {  
2     int y = x + 1;  
3     y = y % 4;  
4     return y;  
5 }
```

```
1 public int test(int x) {  
2     int y = x + 1;  
3     y = y % 3;  
4     return y;  
5 }
```

public int test(int x)  
{...}

int y = x + 1;    y = y % 4;    return y;

public int test(int x)  
{...}

int y = x + 1;    y = y % 3;    return y;

KEEP int y = x + 1;

CHANGE y = y % 4; to y = y % 3;

KEEP return y;

# Tree Edit Script

```
1 public int test(int x) {  
2     int y = x + 1;  
3     y = y % 4;  
4     return y;  
5 }
```

```
1 public int test(int x) {  
2     int y = x + 1;  
3     y = y * 3;  
4     y = y % 4;  
5     return y;  
6 }
```

public int test(int x)  
{...}

int y = x + 1;    y = y % 4;    return y;

public int test(int x)  
{...}

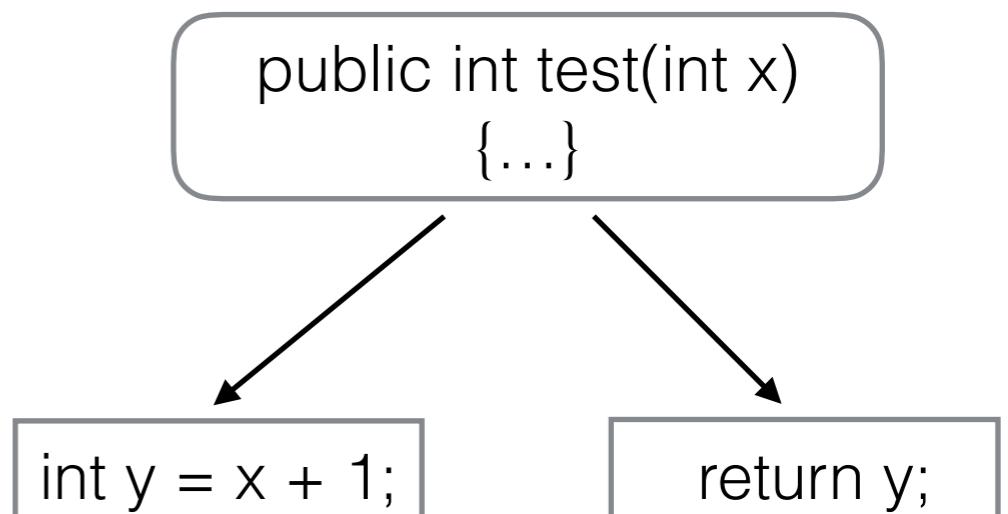
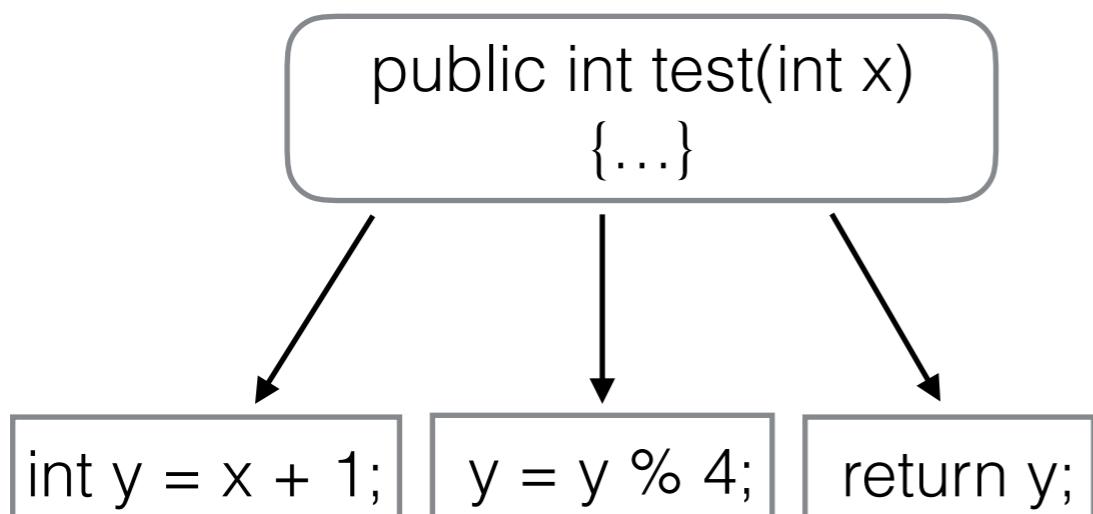
int y = x + 1;    y = y \* 3;    y = y % 4;    return y;

KEEP int y = x + 1;  
INSERT y = y \* 3;  
KEEP y = y % 4;  
KEEP return y;

# Tree Edit Script

```
1 public int test(int x) {  
2     int y = x + 1;  
3     y = y % 4;  
4     return y;  
5 }
```

```
1 public int test(int x) {  
2     int y = x + 1;  
3     return y;  
4 }
```



KEEP int y = x + 1;

DELETE y = y % 4;

KEEP return y;

# Program Point Instrumentation

---

**Algorithm 1:** Program point instrumentation

---

```
1 Procedure visit( $n, i$ )
    Input:
         $n$ : AST node to be visited
         $i$ : instrumentation index
    2 begin
        3     if  $n$  is labeled as KEEP or CHANGE  $\wedge$  type( $n$ ) is not (RETURN or THROW) then
        4         while next( $n$ ) is labeled as DELETE or INSERT do
        5              $n := \text{next}(n)$ 
        6              $i := i + 1$ 
        7             instrumentAfter( $n, pp_i$ )
        8     else
        9         visit(next( $n$ ),  $i$ )
        10    if type( $n$ ) is not (FOR or WHILE) then
        11        for  $m \in \text{children}(n)$  do
        12            visit( $m, i$ )
```

# Program Point Instrumentation

```
1 public int test(int x) {  
2     //pp0  
3     int y = x + 1;  
4     //pp1  
5     y = y % 4;  
6     //pp2  
7     return y;  
8 }
```

```
KEEP int y = x + 1;  
CHANGE y = y % 4 to  
          y = y % 3;  
KEEP return y;
```

```
1 public int test(int x) {  
2     //pp0  
3     int y = x + 1;  
4     //pp1  
5     y = y % 3;  
6     //pp2  
7     return y;  
8 }
```

# Program Point Instrumentation

```
1 public int test(int x) {  
2     //pp0  
3     int y = x + 1;  
4     //pp1  
5     y = y % 4;  
6     //pp2  
7     return y;  
8 }
```

```
KEEP int y = x + 1;  
INSERT y = y * 3;  
KEEP y = y % 4;  
KEEP return y;
```

```
1 public int test(int x) {  
2     //pp0  
3     int y = x + 1;  
4     y = y * 3;  
5     //pp1  
6     y = y % 4;  
7     //pp2  
8     return y;  
9 }
```

# Program Point Instrumentation

```
1 public int test(int x) {  
2     //pp0  
3     int y = x + 1;  
4     y = y % 4;  
5     //pp1  
6     return y;  
7 }
```

```
KEEP int y = x + 1;  
DELETE y = y % 4;  
KEEP return y;
```

```
1 public int test(int x) {  
2     //pp0  
3     int y = x + 1;  
4     //pp1  
5     return y;  
6 }
```

# Measuring FEP

## Input:

$type = \langle sys | unit \rangle$ : type of analysis, system-level or unit-level

$out, out'$ : output of the system, used only for system-level analysis

$ext, ext'$ : externally observable state after buggy/fixed methods have been executed

$pp = \langle pp_0, \dots, pp_n \rangle$ : program points executed in fixed method

$pp' = \langle pp'_0, \dots, pp'_k \rangle$ : program points executed in buggy method

$s, s'$ : state by program point in buggy/fixed methods

## Result:

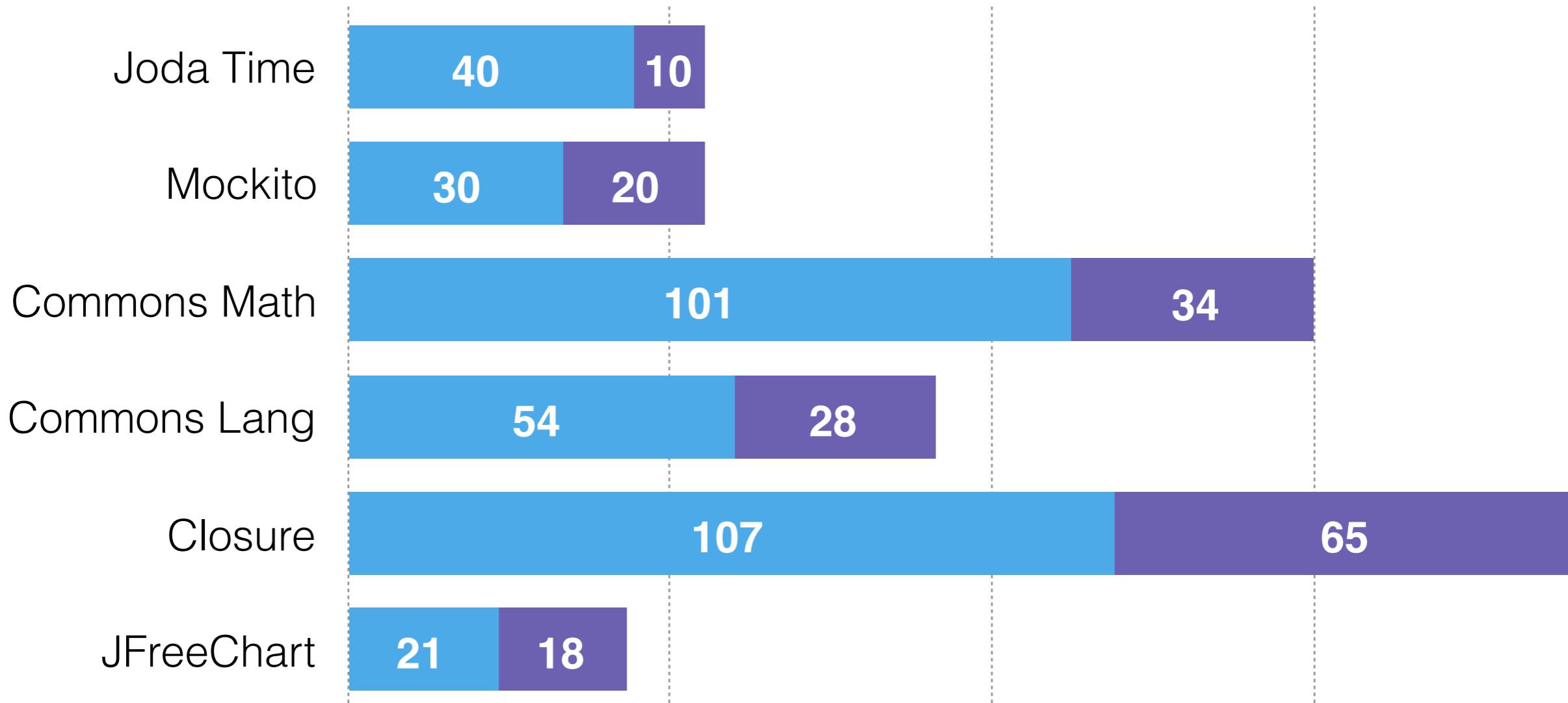
$fepType: \langle \text{sysFEP} | \text{intFEP} | \text{extFEP} | \text{noFEP} \rangle$

```
1 begin
2   if  $type = unit \&\& ext \neq ext'$  then
3     return noFEP
4   if  $type = sys$  then
5     if  $out \neq out'$  then
6       return noFEP
7     if  $ext \neq ext'$  then
8       return closure(sysFEP,  $type$ )
9   if  $s[pp_n] \neq s'[pp'_k]$  then
10    return closure(extFEP,  $type$ )
11   if  $pp \neq pp'$  then
12     return closure(intFEP,  $type$ )
13   for  $i \in [1 : n - 1]$  do
14     if  $s[pp_i] \neq s'[pp'_i]$  then
15       return closure(intFEP,  $type$ )
16   return noFEP
```

RQ1:

What is the prevalence of unit-level  
failed error propagation  
with real faults?

# RQ1: TS generation



Methods with TC generated

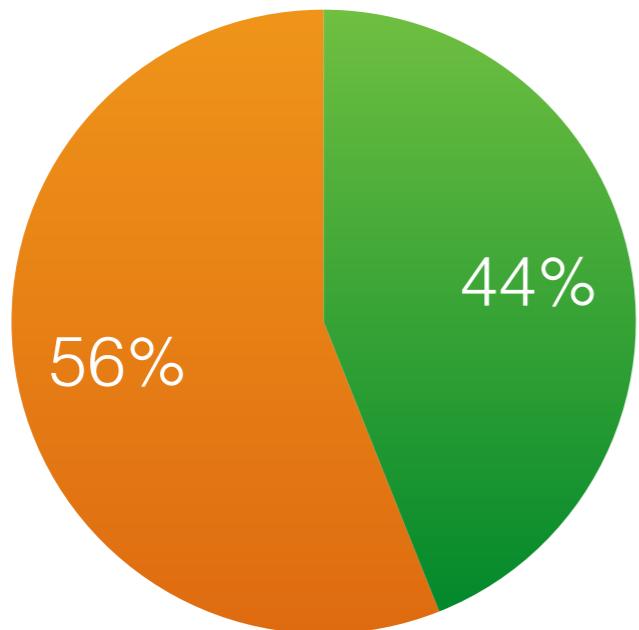


Methods without TC generated

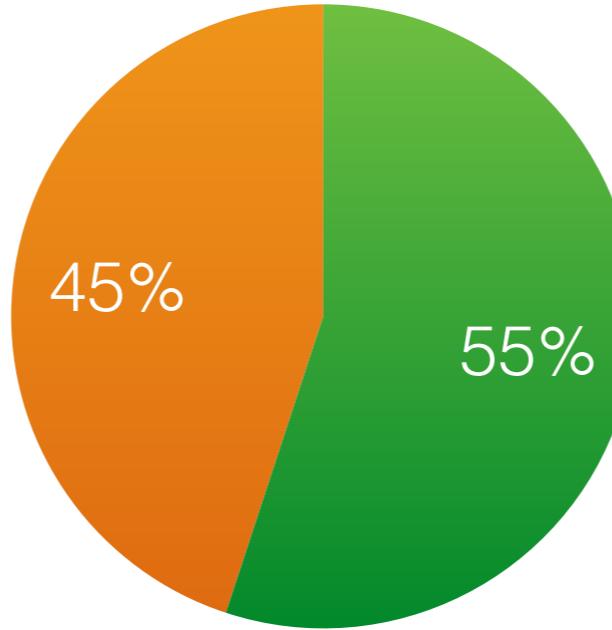
# RQ1: Executions

 No FEP (fault propagates to output)

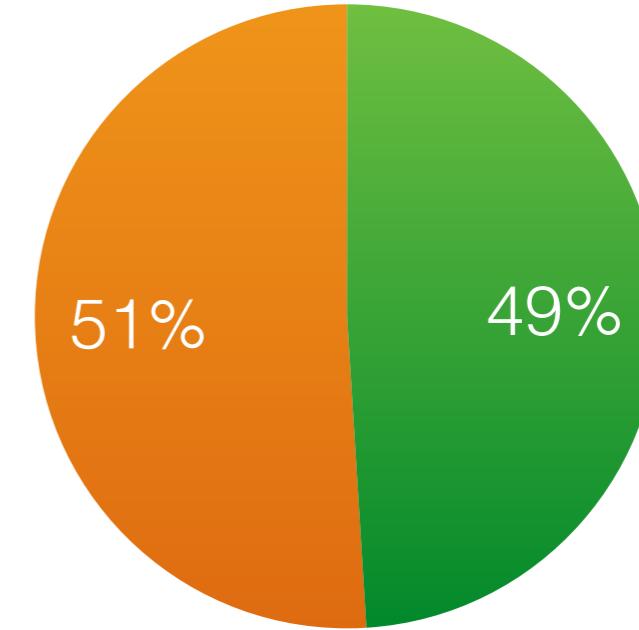
 No FEP (fault does not affect internal state)



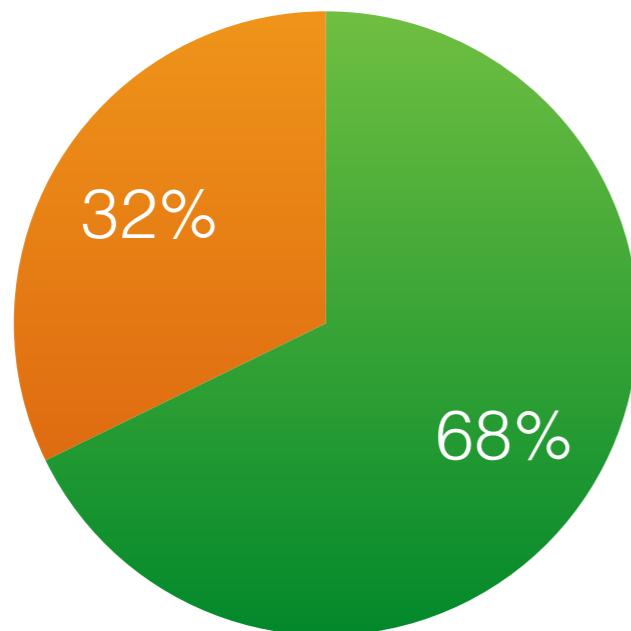
**JFreeChart**



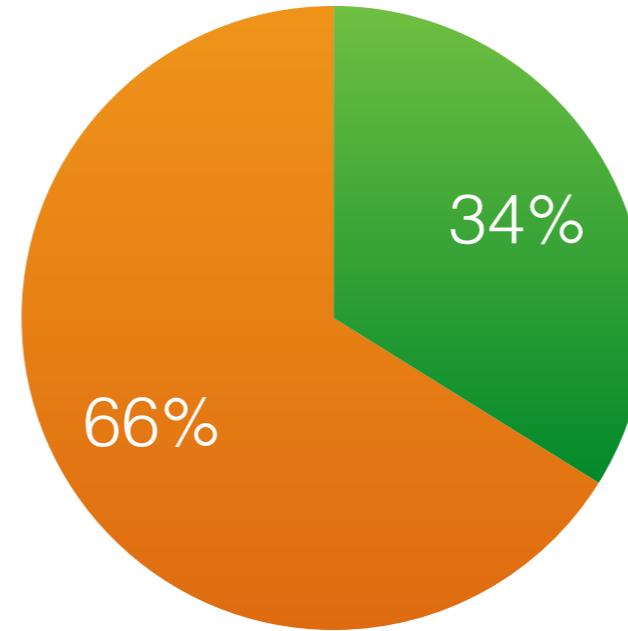
**Closure Compiler**



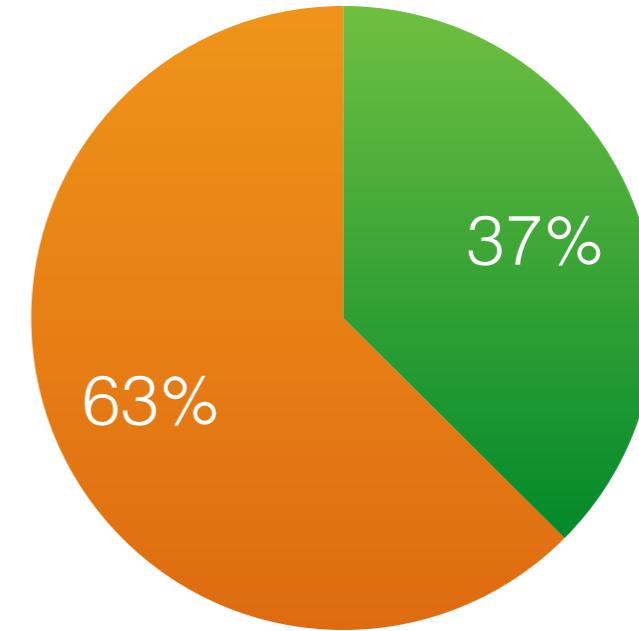
**Commons Lang**



**Commons Math**



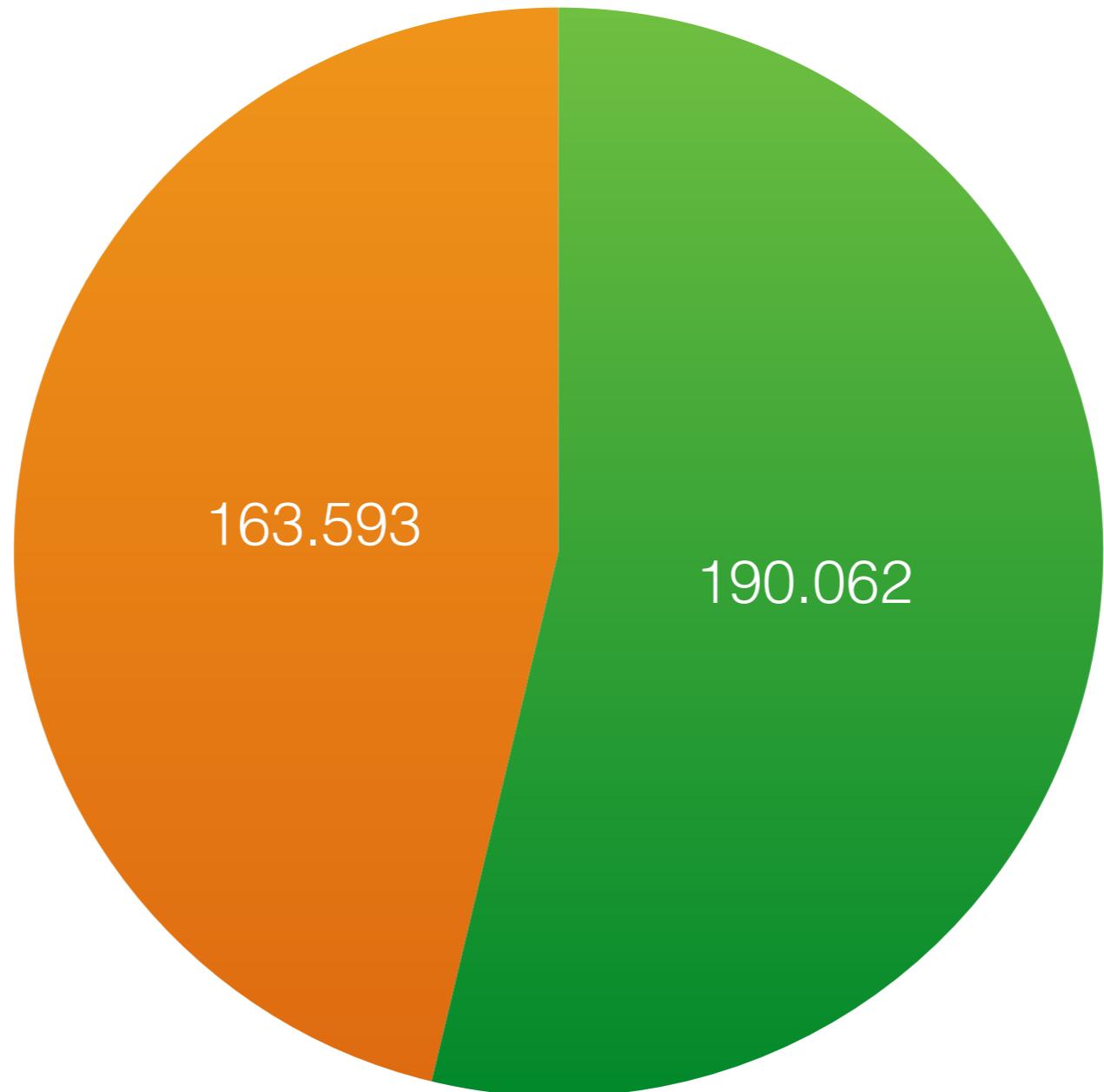
<sup>18</sup>  
**Mockito**



**Joda Time**

# RQ1: Executions

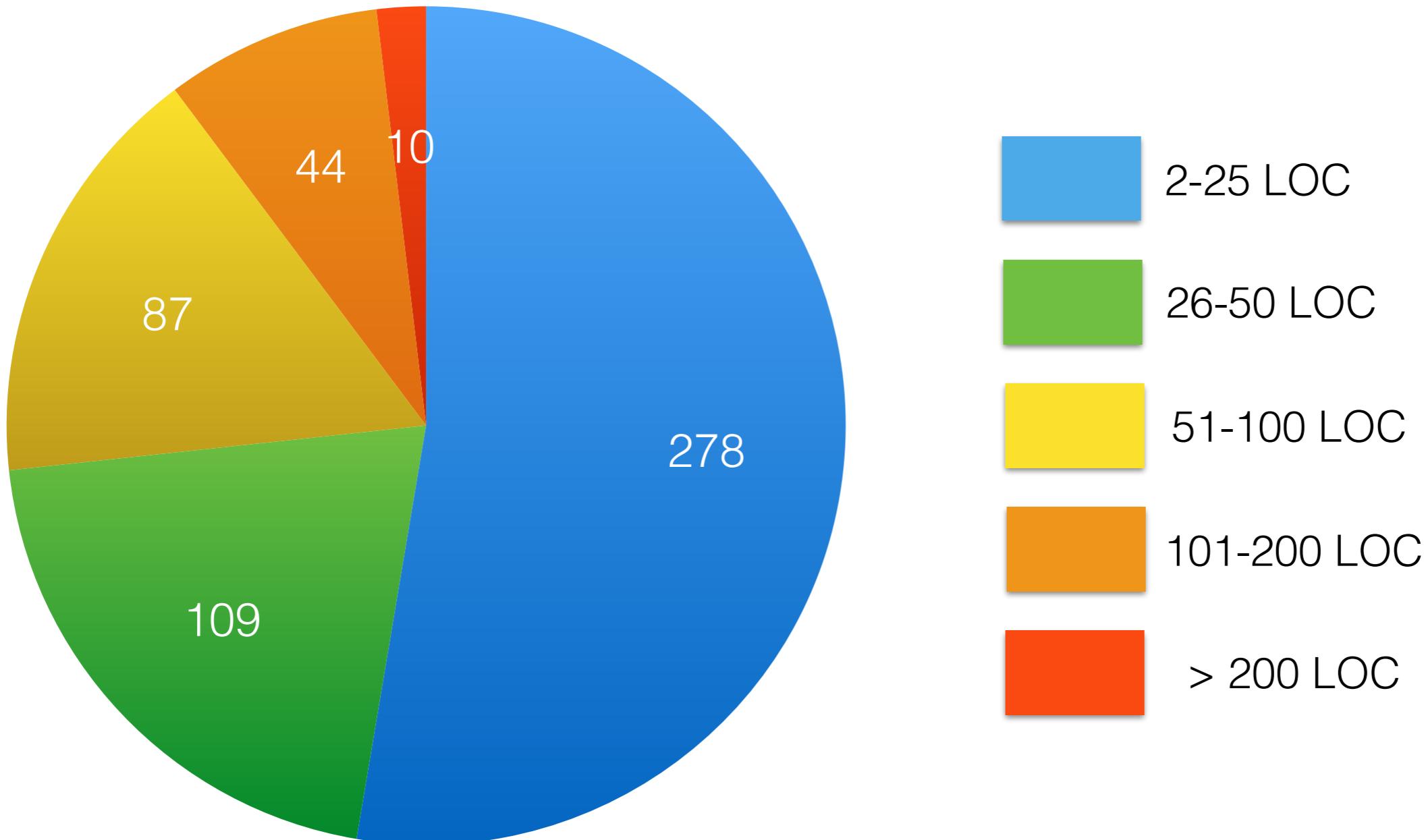
No FEP (fault propagates to output)      No FEP (fault does not affect internal state)



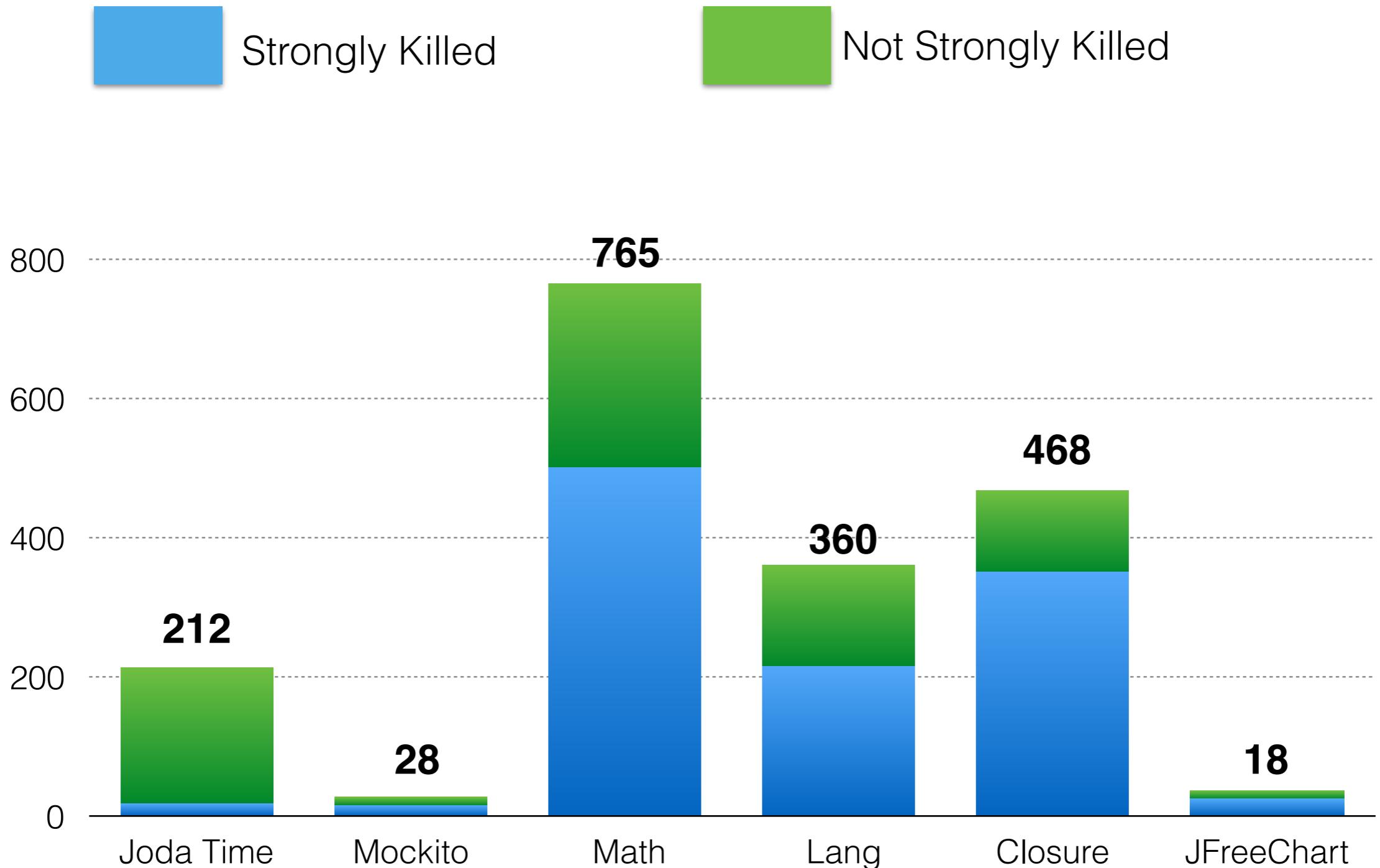
## RQ2:

Does the prevalence of unit-level failed error propagation change if real faults are replaced by mutants?

# RQ2: Method Groups



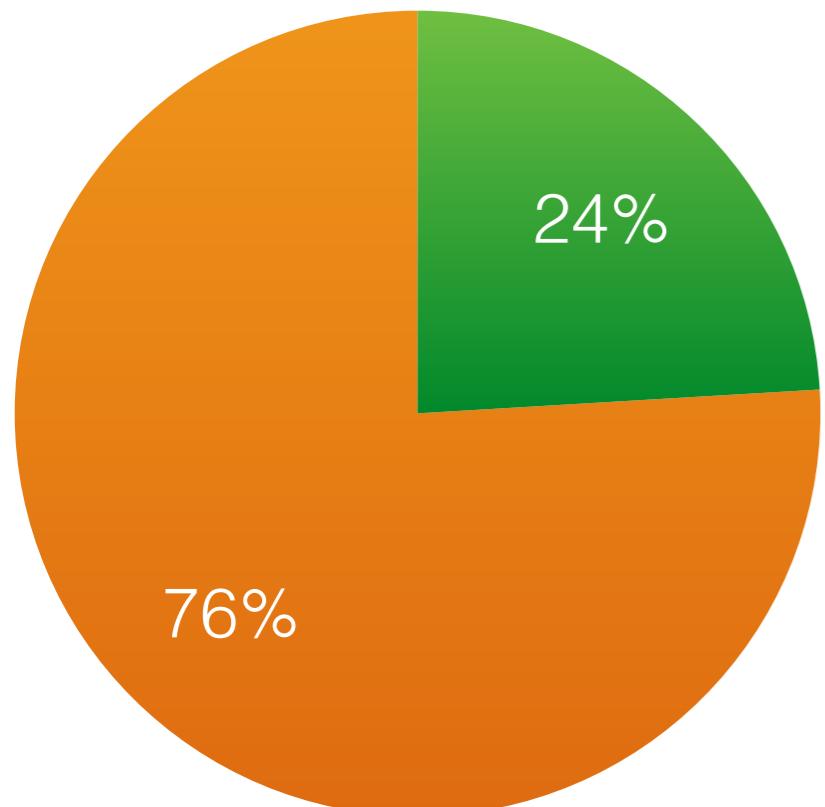
# RQ2: Mutations



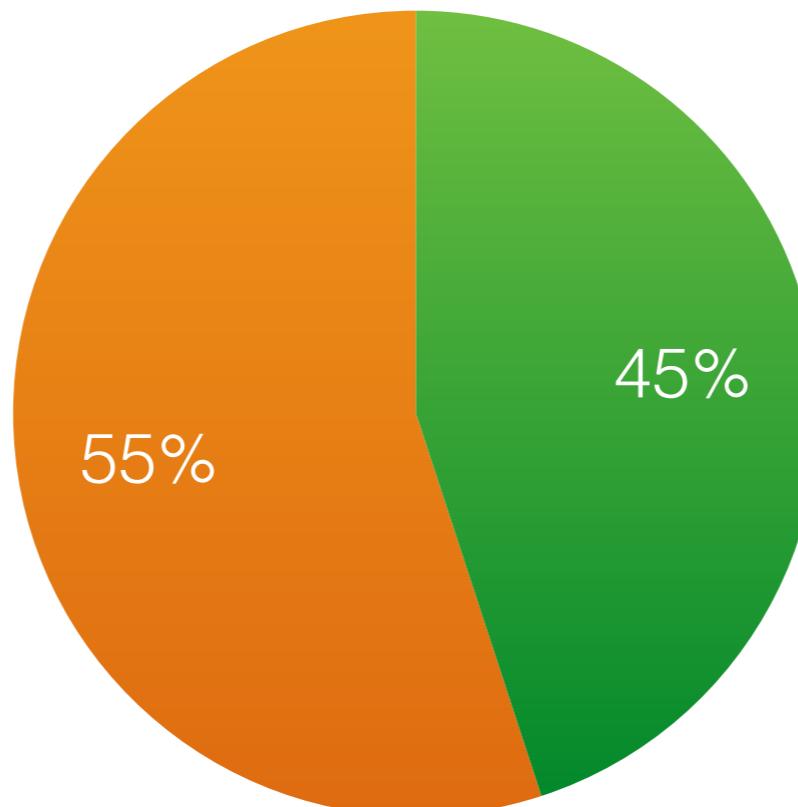
# RQ2: Executions

No FEP (fault propagates to output)

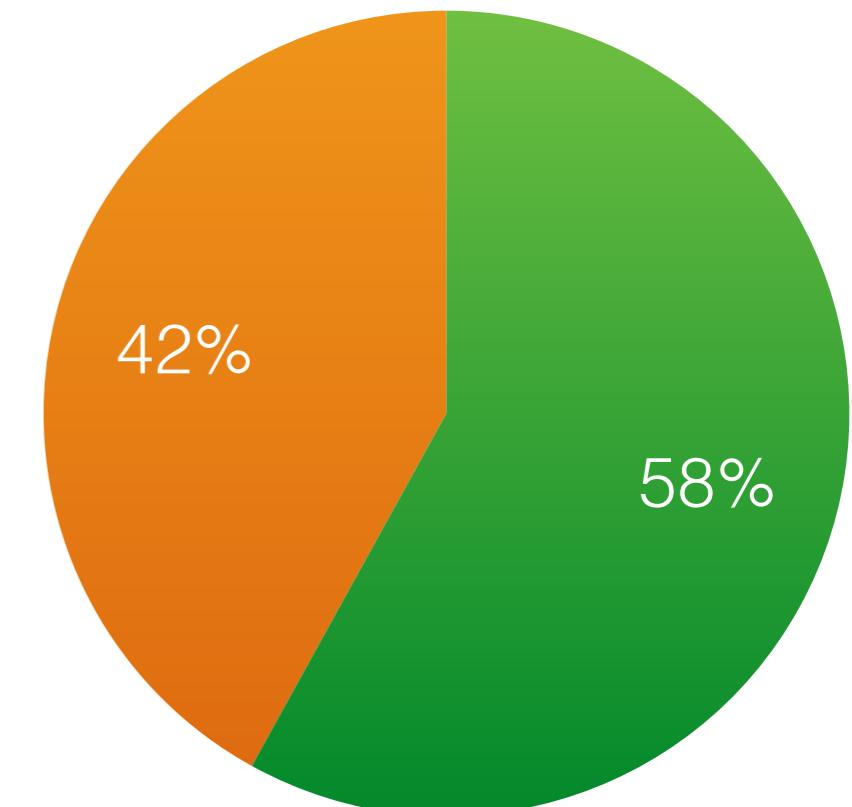
No FEP (fault does not affect internal state)



**JFreeChart**

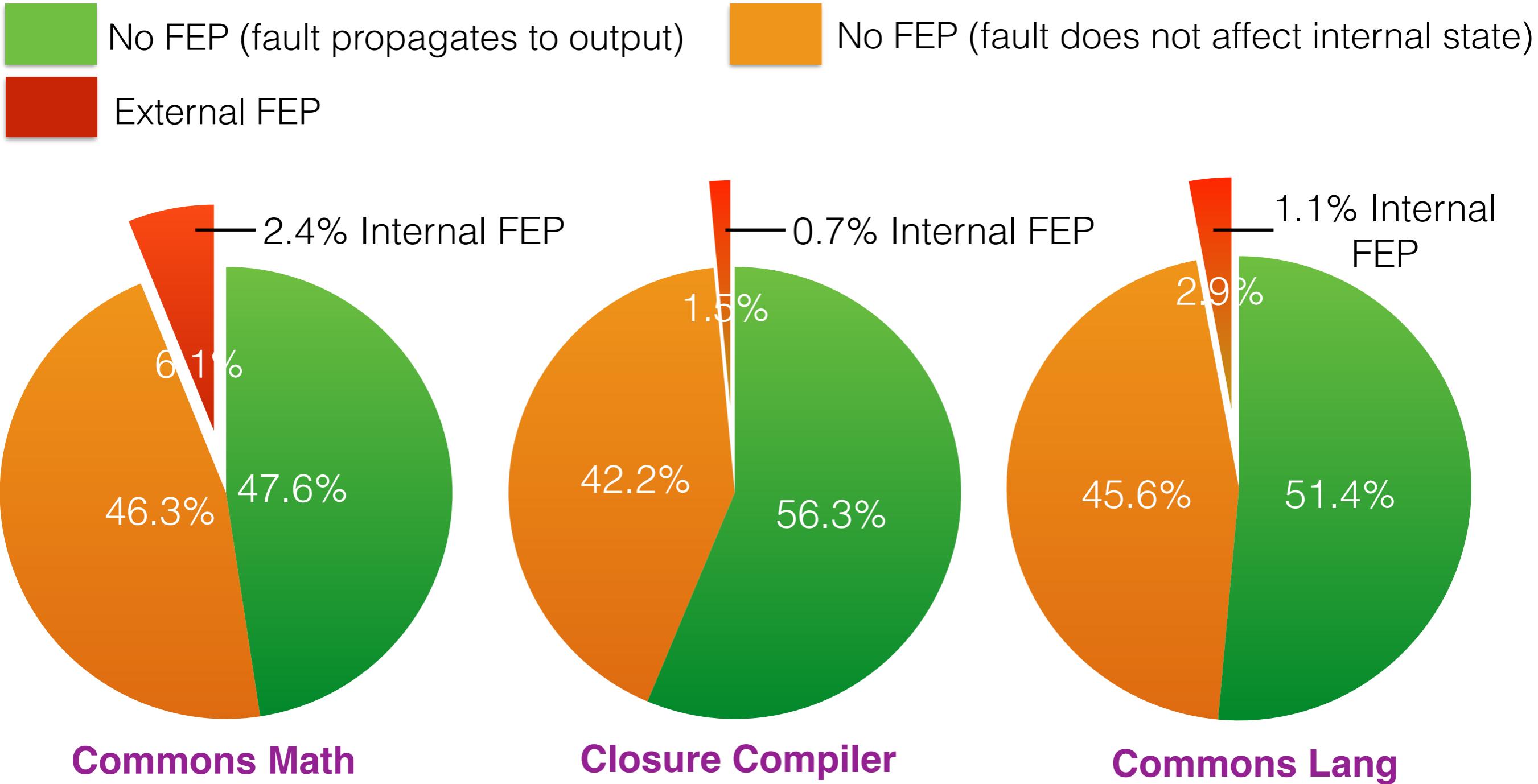


**Mockito**

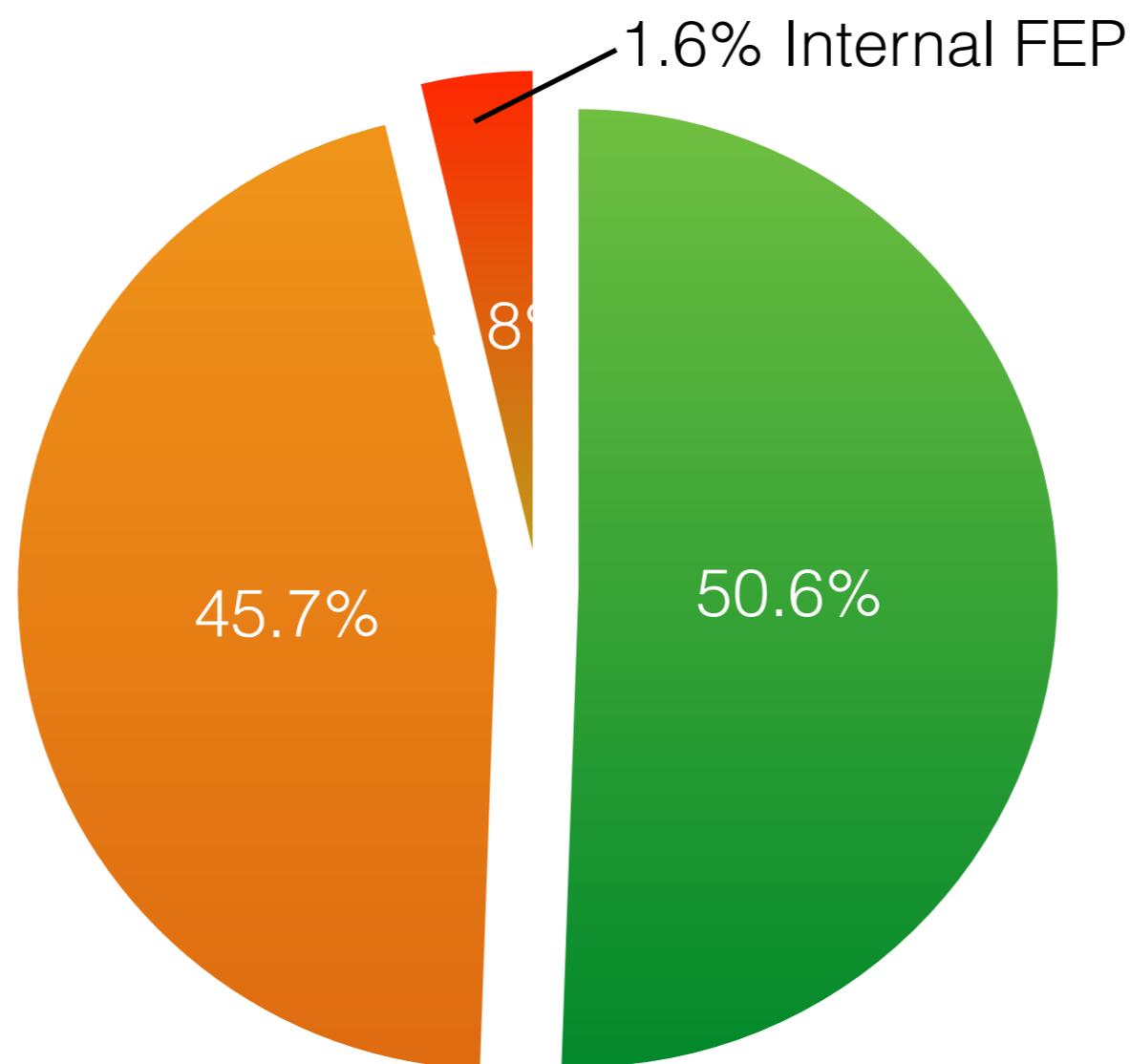
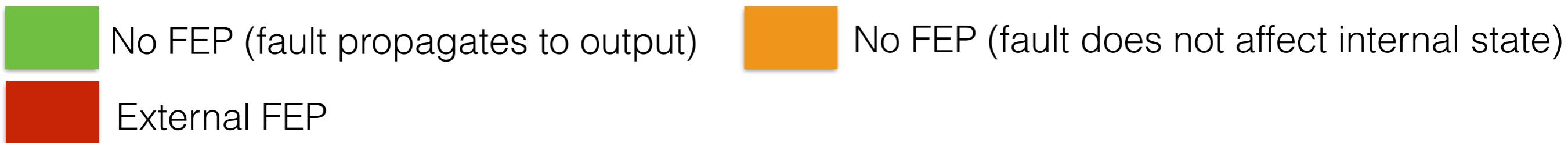


**Joda Time**

# RQ2: Executions



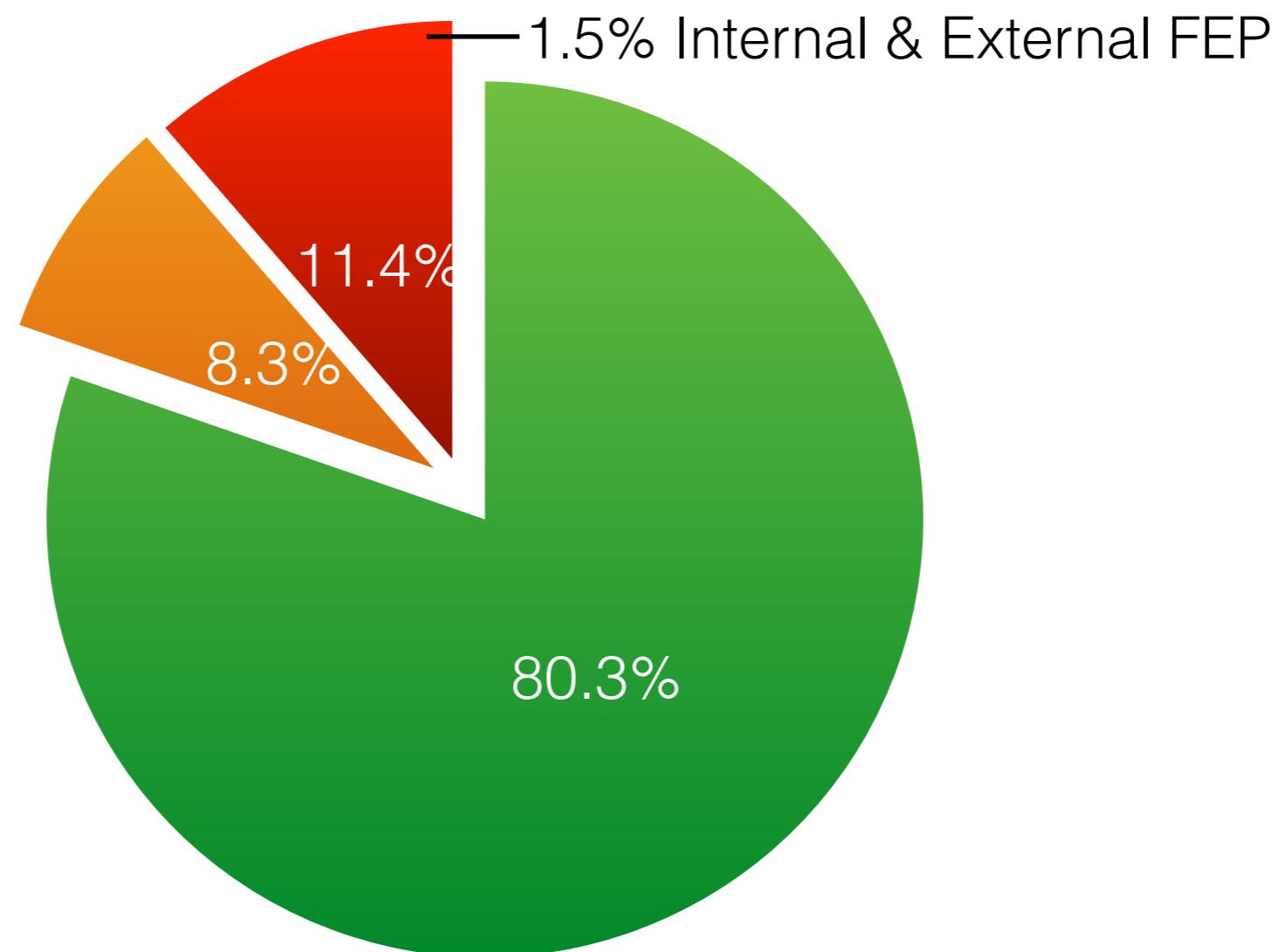
# RQ2: Executions



## RQ3:

Does the prevalence of failed error propagation with real faults change if it is measured at the system level instead of unit level?

# RQ3: Executions



# Qualitative Analysis



Manual analysis on 384 bugs from Defects4J and generated mutants

Bug fix affects output directly

State change resulting from the fix is such that it always propagates to output

# Bug fix affects output directly

---

```
1 public Complex divide(double divisor) {  
2     if (isNaN || Double.isNaN(divisor)) {  
3         return NaN;  
4     }  
5     if (divisor == 0d) {  
6         return NaN;  
7         //return isZero ? NaN : INF;  
8     }  
9     if (Double.isInfinite(divisor)) {  
10         return !isInfinite() ? ZERO : NaN;  
11     }  
12     return createComplex(real / divisor,  
13                           imaginary / divisor);  
14 }
```

---

# Bug fix affects output directly

---

```
1 public static LocalDate fromDateFields(Date date) {  
2     if (date == null) {  
3         throw new IllegalArgumentException  
4             ("The date must not be null");  
5     }  
6  
7     //if (date.getTime() < 0) {  
8     //    GregorianCalendar cal = new GregorianCalendar();  
9     //    cal.setTime(date);  
10    //    return fromCalendarFields(cal);  
11    //}  
12  
13  
14    return new LocalDate(  
15        date.getYear() + 1900,  
16        date.getMonth() + 1,  
17        date.getDate());  
18 }
```

---

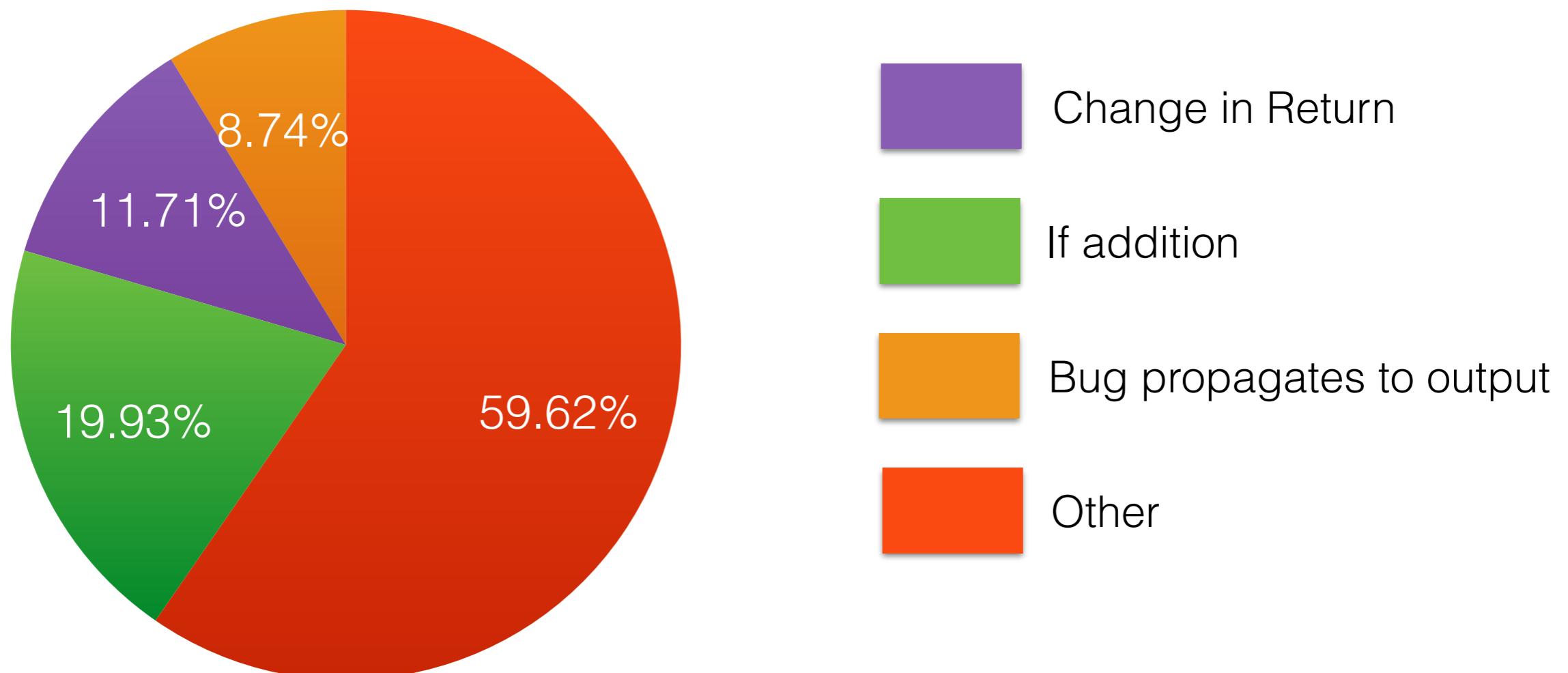
# Fix propagates to output

---

```
1 public double getChiSquare() {  
2     double chiSquare = 0;  
3     for (int i = 0; i < rows; ++i) {  
4         final double residual = residuals[i];  
5         chiSquare += residual * residual *  
6                         residualsWeights[i];  
7         //chiSquare += residual * residual /  
8         //                         residualsWeights[i];  
9     }  
10    return chiSquare;  
11 }
```

---

# Reasons for the absence of FEP



# FEP in mutants

---

```
1 protected double getInitialDomain(double p) {  
2     double ret = 0.0;  
3     //mut0: double ret = 1.0;  
4     //pp1  
5     double d = getDenominatorDegreesOfFreedom();  
6  
7     if (d > 2.0) {  
8         ret = d / (d - 2.0);  
9     }  
10    //pp_ret  
11    return ret;  
12 }
```

---

# Implications

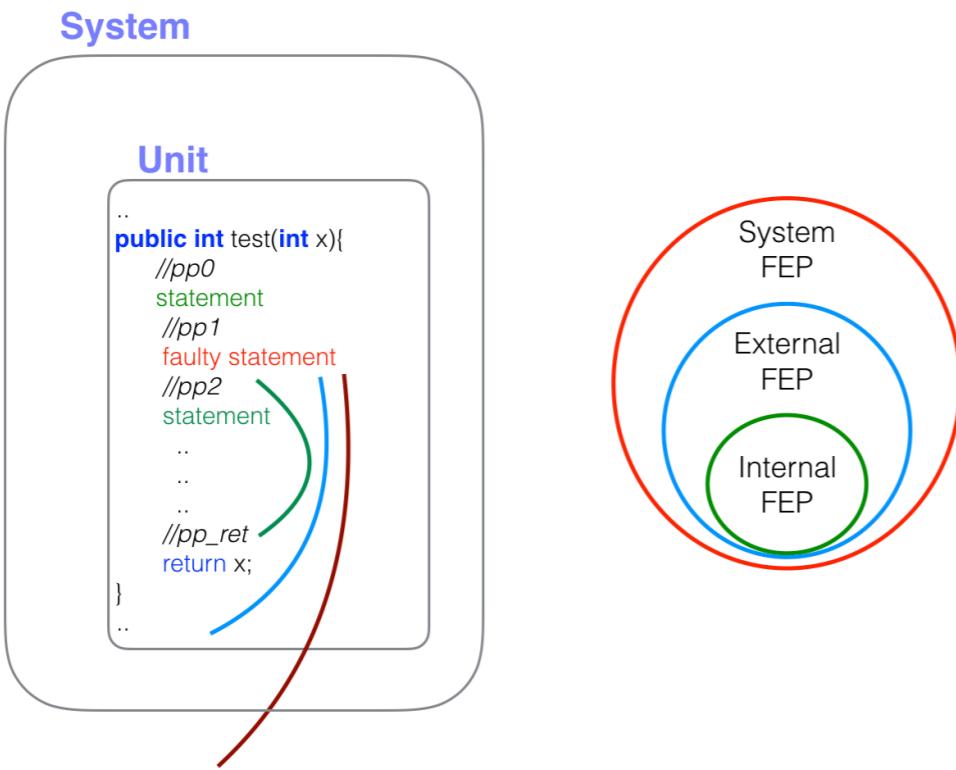
Internal Oracles

Post-conditions

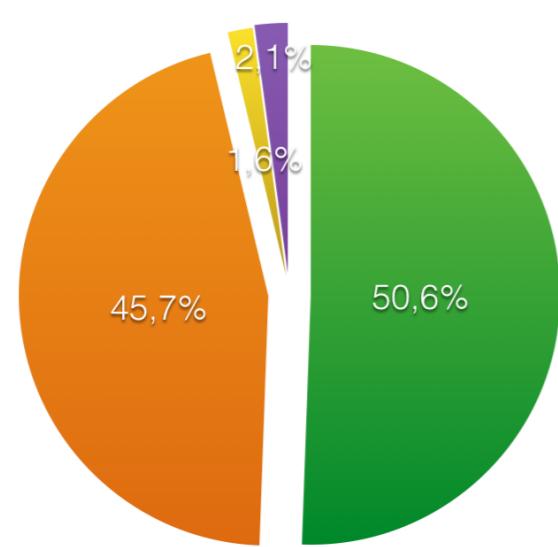
Subsystem Testing

Mutants vs. Real Faults

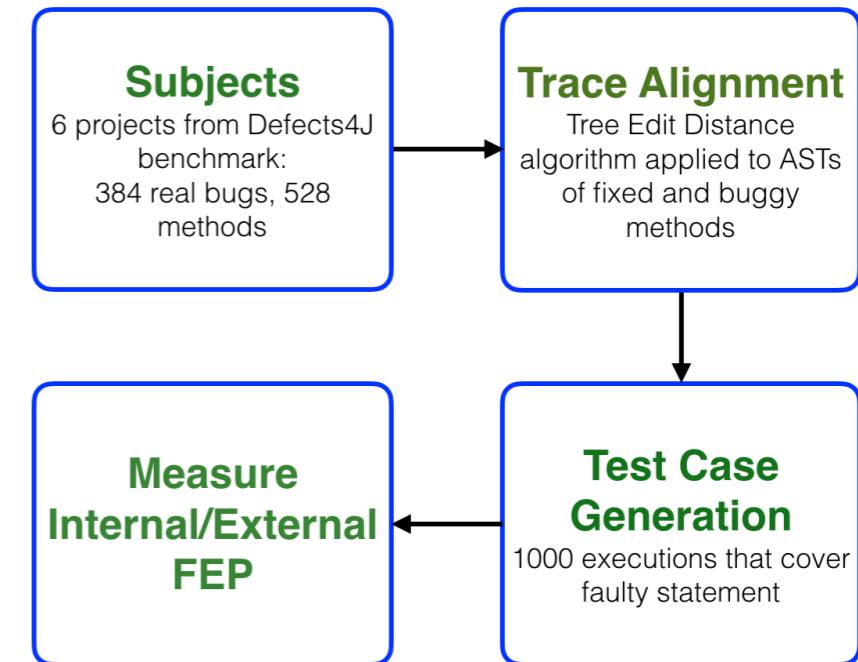
# Failed Error Propagation



## RQ2: Executions



# Experimental Procedure



## Reasons for the absence of FEP

