



Some Application of Optimisation to  
Software Engineering Problems

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# Outline

- ✦ Motivation
- ✦ Application Areas
  - ✦ Case Study 1. Requirement Engineering
  - ✦ Case Study 2. Regression Testing
- ✦ Optimisation Techniques
- ✦ Future Directions

# Motivation: why optimise?

- ✦ Easier than building a perfect solution
- ✦ Computational power: fast, scalable
- ✦ Data-driven, quantitative
- ✦ Insightful; allows holistic observation of problem space

“The heavy use of computer analysis has pushed the game itself in new directions. The machine doesn't care about style or patterns or hundreds of years of established theory. It is entirely free of prejudice and doctrine and this has contributed to the development of players who are almost as free of dogma as the machines with which they train. (...) Although we still require a strong measure of intuition and logic to play well, humans today are starting to play more like computers.”

- Gary Kasparov, *“The Chess Master and the Computer”*

# Application Areas

Requirement Analysis   Model Checking

Test Data Generation   Regression Testing

Refactoring   Software Design Tools

Program Comprehension   Agent-based System

Automated Patch Generation   Project Management

... still expanding with many more to come

# Application Areas

## Tier 1

Combinatorial problems  
in SE context

Requirement Analysis

Regression Testing

Project Management

## Tier 2

Problems that are  
specific to SE

Test Data Generation

Software Design Tools

Model Checking

Agent-based System

Refactoring

Program Comprehension

Automated Patch Generation

# Application Areas

## Tier 1

Combinatorial problems  
in SE context

## Tier 2

Problems that are  
specific to SE

Test Data Generation

Software Design Tools

Model Checking

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Program Comprehension

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# Application Areas

## Tier 1

Combinatorial problems  
in SE context

Set-cover

Prioritisation

Bin-packing

## Tier 2

Problems that are  
specific to SE

Test Data Generation

Software Design Tools

Model Checking

Agent-based System

Refactoring

Program Comprehension

Automated Patch Generation



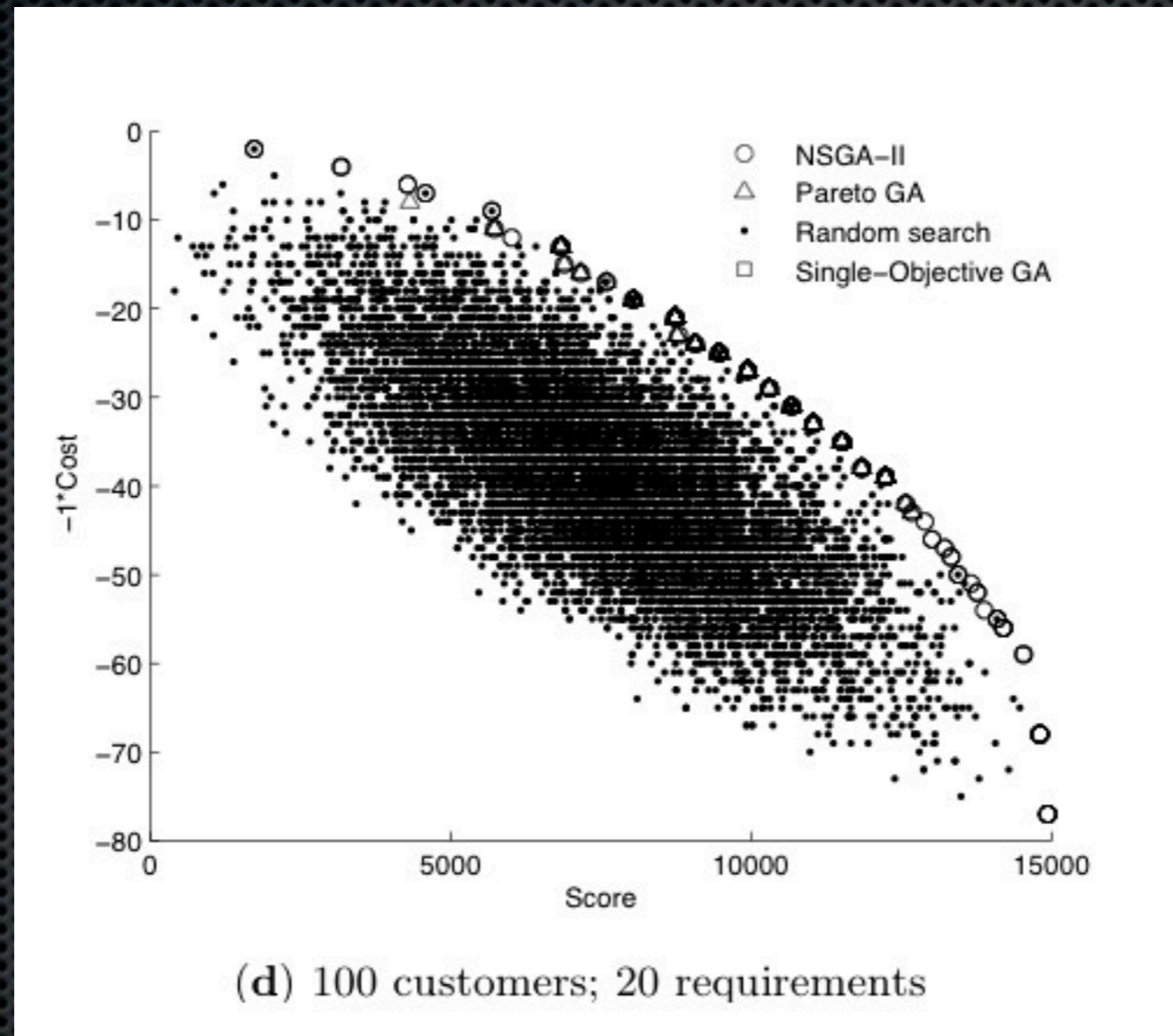
# Case Study: Requirements

- ✦ “What is the most **cost-effective subset** of software requirements to be included in the next version?”
- ✦ “What is the most **efficient release schedule**?”
- ✦ “Are customers treated **fairly**?”

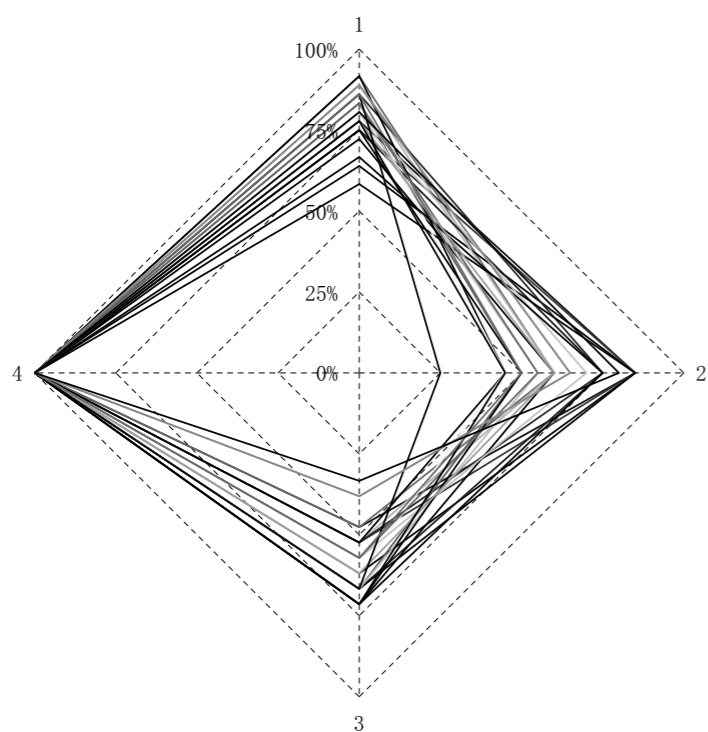
# Requirements: selection

- ✦ Essential problem structure: **knapsack problem**
  - ✦ Requirements value: based on customer input, customer value, expected revenue, etc
  - ✦ Requirement cost: development cost, time, etc
- ✦ Goal: minimise cost, maximise value

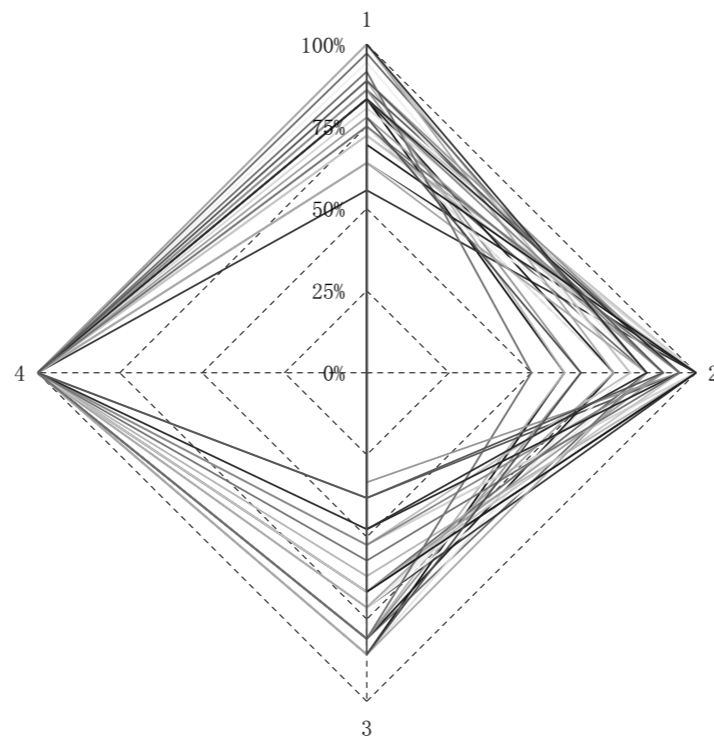
# Requirements: selection



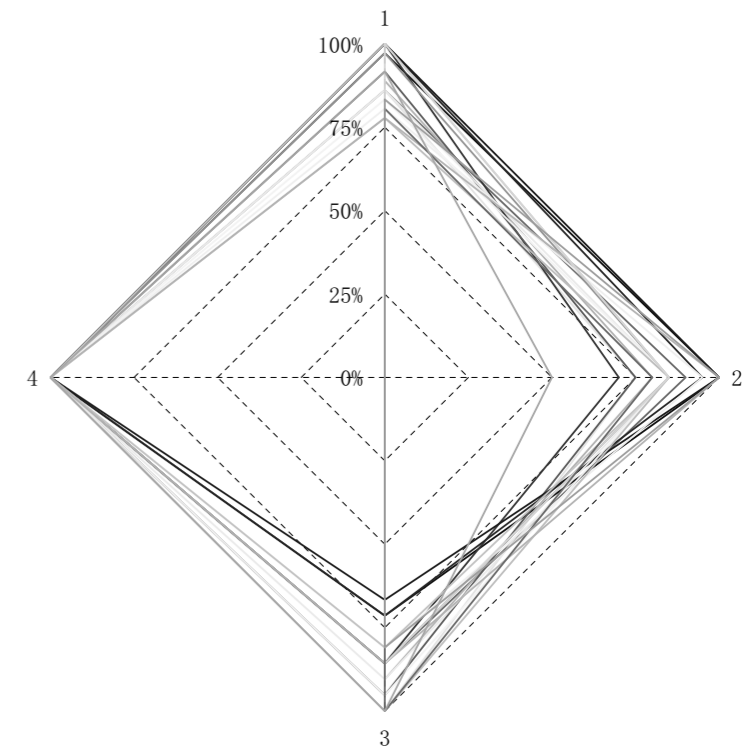
# Requirements: selection



**(a)** Motorola Data Set:  
4 customers; 35 requirements  
30% resource limitation



**(b)** Motorola Data Set:  
4 customers; 35 requirements  
50% resource limitation



**(c)** Motorola Data Set:  
4 customers; 35 requirements  
70% resource limitation

# Case Study: Regression

- ✦ Regression testing: a test process that aims to gain confidence that “**existing**” functionality hasn’t been damaged by recent changes
- ✦ In order to test existing functionality, one has to execute old tests, of which there are **too many**

# Case Study: Regression

- ✦ **Software testing can only reveal faults, it cannot guarantee the lack of faults**
- ✦ In order to test existing functionality, one has to execute old tests, of which there are **too many**

# Case Study: Regression

- Regression testing: a test process that aims to gain confidence that “**existing**” functionality hasn’t been damaged by recent changes
- In order to test existing functionality, one has to execute old tests, of which there are **too many**

# Case Study: Regression

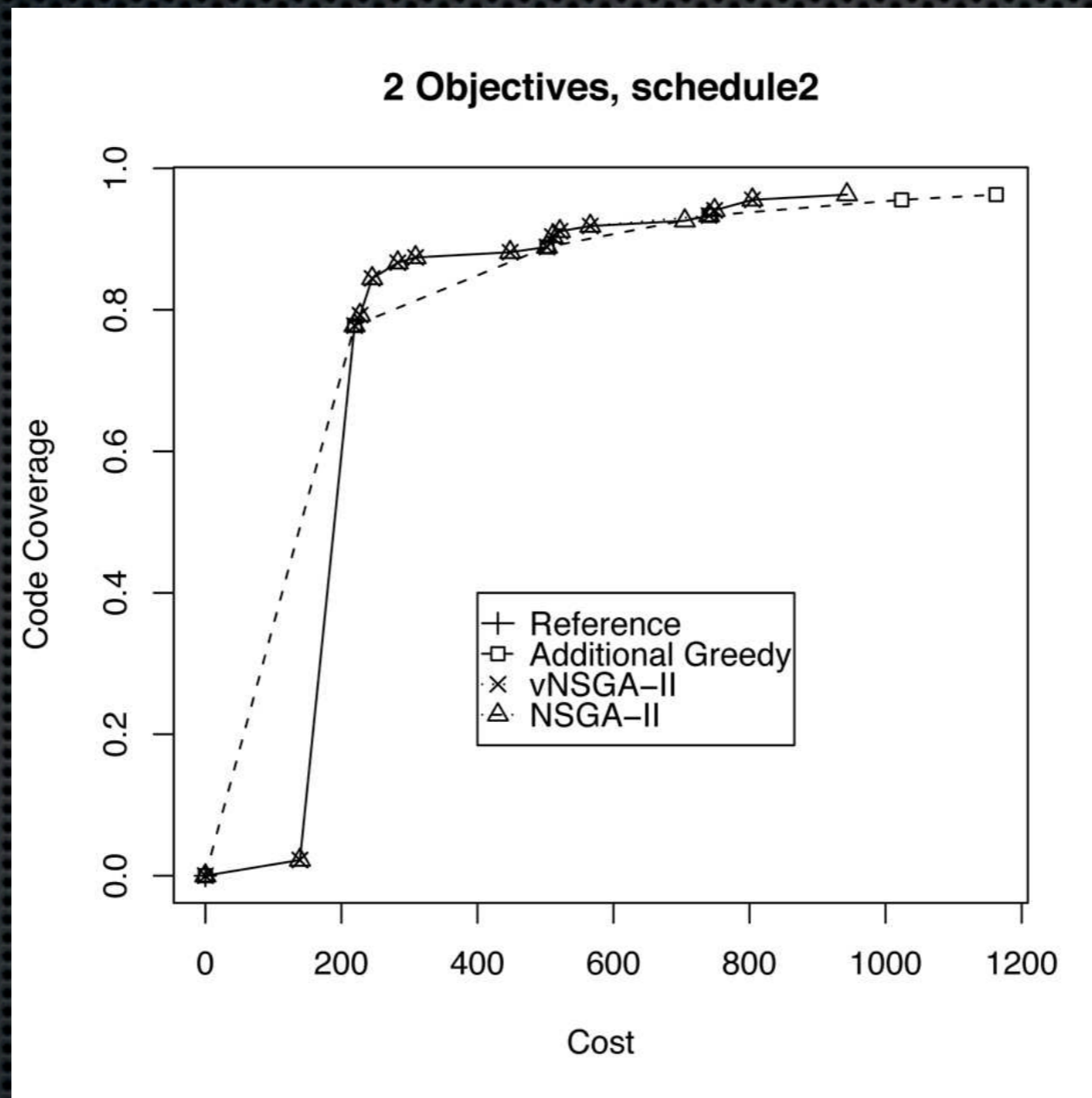
- ✦ “What is the subset of tests that is **most likely to detect** the largest number of **faults**?”
- ✦ “Which test should I execute first in order to **detect faults as early as possible**?”



# Regression: minimisation

- ✦ Essential problem structure: **set-cover problem**
  - ✦ Each test satisfies (or **covers**) different sets of test requirements; different coverage metrics have different correlation with fault-finding
  - ✦ Each test has associated cost
- ✦ Goal: to obtain the smallest subset that achieves the maximum test requirements

# Regression: minimisation



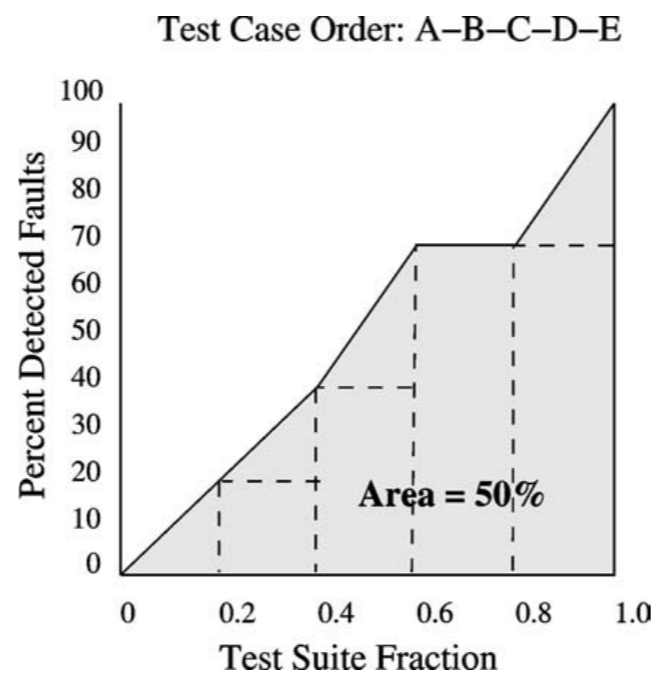
# Regression: prioritisation

- ✦ Essential problem structure: **permutation**
  - ✦ Early maximisation of coverage - greedy algorithm is by definition very efficient but unable to deal with multiple criteria

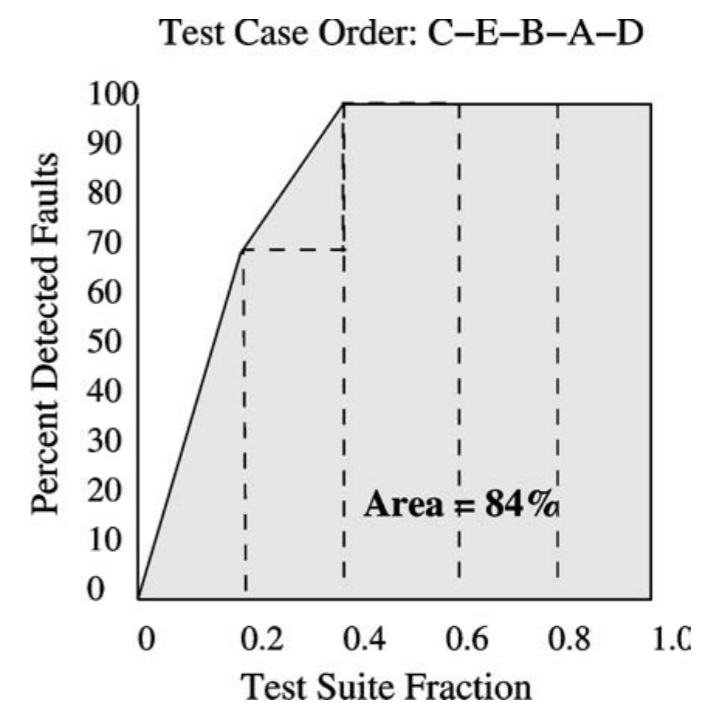
# Regression: prioritisation

test	fault										
	1	2	3	4	5	6	7	8	9	10	
A	X				X						
B	X				X	X	X				
C	X	X	X	X	X	X	X				
D					X						
E									X	X	X

(a)



(b)



(c)

# Benefits of Abstraction

Requirements

Design

Implementation

Integration

Testing

Maintenance

# Benefits of Abstraction

Requirements

subset selection

prioritisation

Design

Implementation

Integration

Testing

Maintenance

# Benefits of Abstraction

Requirements

subset selection

prioritisation

Design

Implementation

Integration

Testing

Maintenance

subset selection

prioritisation

# Benefits of Abstraction

Requirements

subset selection

prioritisation

Design

Implementation

Integration

Testing

Maintenance

subset selection

prioritisation

Reformulating SE problems  
into optimisation problems  
reveals **hidden similarities**



# Benefits of Abstraction

- ✦ Analytic Hierarchical Process: first used in Requirement Engineering, now also used for regression test prioritisation
- ✦ Average Percentage of Fault Detection: metric devised for regression test prioritisation, now being recast for prioritisation or requirements

# Optimisation Techniques

- ✦ Genetic Algorithm: versatile, most popular (cool factor?)
- ✦ Hill climbing, Simulated Annealing: often as competitive as, or even better than, GA
- ✦ Exact methods: least widely used - scalable? flexible? multi-objectiveness?

# Future Directions

- ✦ Multi-Objective Paradigm: already explored in testing and requirements, others to follow
  - ✦ Copes with complex constraints
  - ✦ Works well when there are multiple surrogate fitness

# Future Directions

- ✦ Interactivity: relatively unexplored due to the high cost of human input
  - ✦ Eliciting human knowledge
  - ✦ Resolving ambiguities that are hard to quantise

# Kasparov's Advanced Chess

- ✦ Competition between teams consist of human + chess software
- ✦ It looks similar to our goal in a lot of ways...

# Kasparov's Advanced Chess

- ✦ “..being able to access a database of a few million games meant that we didn't have to strain our memories nearly as much in the opening..”
- ✦ “Having a computer partner also meant never having to worry about making a tactical blunder.”
- ✦ “Weak human + machine + better process was superior to a strong computer alone and, more remarkably, superior to a strong human + machine + inferior process.”

# Future Directions

- ✦ Our final goal is not to replace human decision making process; it is to **aid** the process with an **unbiased** alternative and an **insight** into the problem structure

# References

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