

# Dynamic Adaptive Search Based Software Engineering

Mark Harman



# Dynamic Adaptive SBSE

Compile SBSE into deployed Software





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Compile SBSE into deployed Software

What do you mean?



# Dynamic Adaptive Search Based Software Engineering

Mark Harman<sup>1</sup>, Edmund Burke<sup>2</sup>, John A. Clark<sup>3</sup> and Xin Yao<sup>4</sup>

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## ABSTRACT

Search Based Software Engineering (SBSE) has proved to be a very effective way of optimising software engineering problems. Nevertheless, its full potential as a means of dynamic adaptivity remains under explored. This paper sets out the agenda for Dynamic Adaptive SBSE, in which the optimisation is embedded into deployed software to create self-optimising adaptive systems. Dynamic Adaptive SBSE will move the research agenda forward to encompass both software development processes and the software products they produce, addressing the long-standing, and as yet largely unsolved, grand challenge of self-adaptive systems.

## Categories and Subject Descriptors

D.2 [Software Engineering]

## General Terms

Search Based Software Engineering (SBSE), Evolution, Automatic Programming, Measurement, Testing

## Keywords

SBSE, Search Based Optimization, Self-Adaptive Systems, Autonomic Computing

## 1. INTRODUCTION

Current software development practices achieve adaptivity at only a glacial pace, largely through enormous human engineering skill and effort. We force highly experienced engineers to waste their time and expertise adapting many tedious implementation details. Often, the resulting software is equally inflexible: users often find themselves relying on their innate human adaptivity to compensate with 'workarounds'. This has to change.

To address the twin goals of adaptivity and automation, we advocate a development of the Search Based Software

<sup>\*</sup>This position paper is written to accompany Mark Harman's keynote talk at the 6<sup>th</sup> International Symposium on Empirical Software Engineering and Measurement (ESEM 12) in Lund, Sweden. It is joint work with Edmund Burke, John Clark and Xin Yao, funded by the EPSRC programme grant DAASE (EP/J017515/).

Engineering (SBSE) agenda that we call 'Dynamic Adaptive Search Based Software Engineering'. We seek greater software engineering automation through the development of hyper heuristics for SBSE. At the same time we seek greater adaptivity through the use of dynamic optimisation; optimisation embedded into the deployed software to re-tune its performance parameters and even to replace large portions of code with automatically re-evolved code.

## 2. SBSE

Search Based Software Engineering (SBSE) is the name given to a field of research and practice in which computational search (as well as optimisation techniques more usually associated with Operations Research) are used to address problems in Software Engineering [39]. The SBSE approach seeks to optimise software engineering processes and products using generic, robust, flexible, scalable and insight-rich computational search. SBSE provides a mechanism for managed automation of software engineering activities.

SBSE has proved to be a widely applicable and successful approach, with many applications right across the full spectrum of activities in software engineering, from initial requirements, project planning, and cost estimation to regression testing and onward evolution. Few aspects of development and deployment of software systems have remained untouched by the SBSE research agenda.

There is also an increasing interest in search based optimization from the industrial sector, as illustrated by work on testing involving Berner and Mattner and Daimler [49, 64], Ericsson [3], Google [69] and Microsoft [14, 50], and work on requirements analysis and optimisation involving Ericsson [70], Motorola [9] and NASA [20].

The increasing maturity of the field has led to a number of tools for SBSE applications, including AUSTIN (for C language test data generation, [49]), Bunch (for modularisation, [55]), Code-Imp (for automated refactoring, [56]), eTOC (for Java class testing, [63]), EvoSUITE (for Java test data generation, [26]), GenProg (for automated bug patching, [52]), MiLu (for higher order mutation testing, [46]), ReleasePlanner (for Requirements Optimisation, [58]), and SWAT (for PHP server-side test data generation [5]).

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Experimental



Empirical



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... but no time to discuss this today ...



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# The project

DAASE:

Dynamic Adaptive Automated Software Engineering

£12m project (2012-2018)

PhD studentships

RA positions



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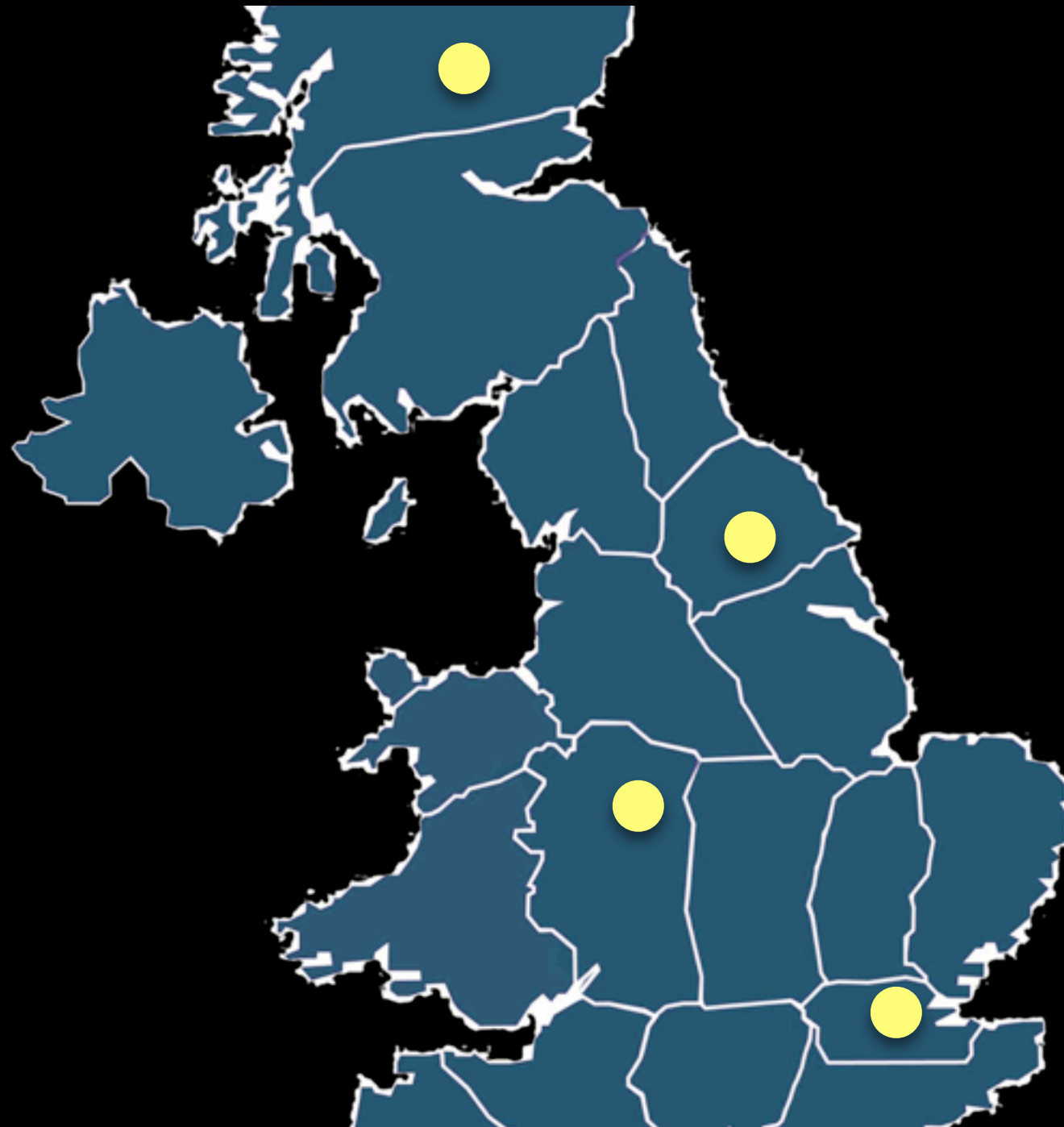
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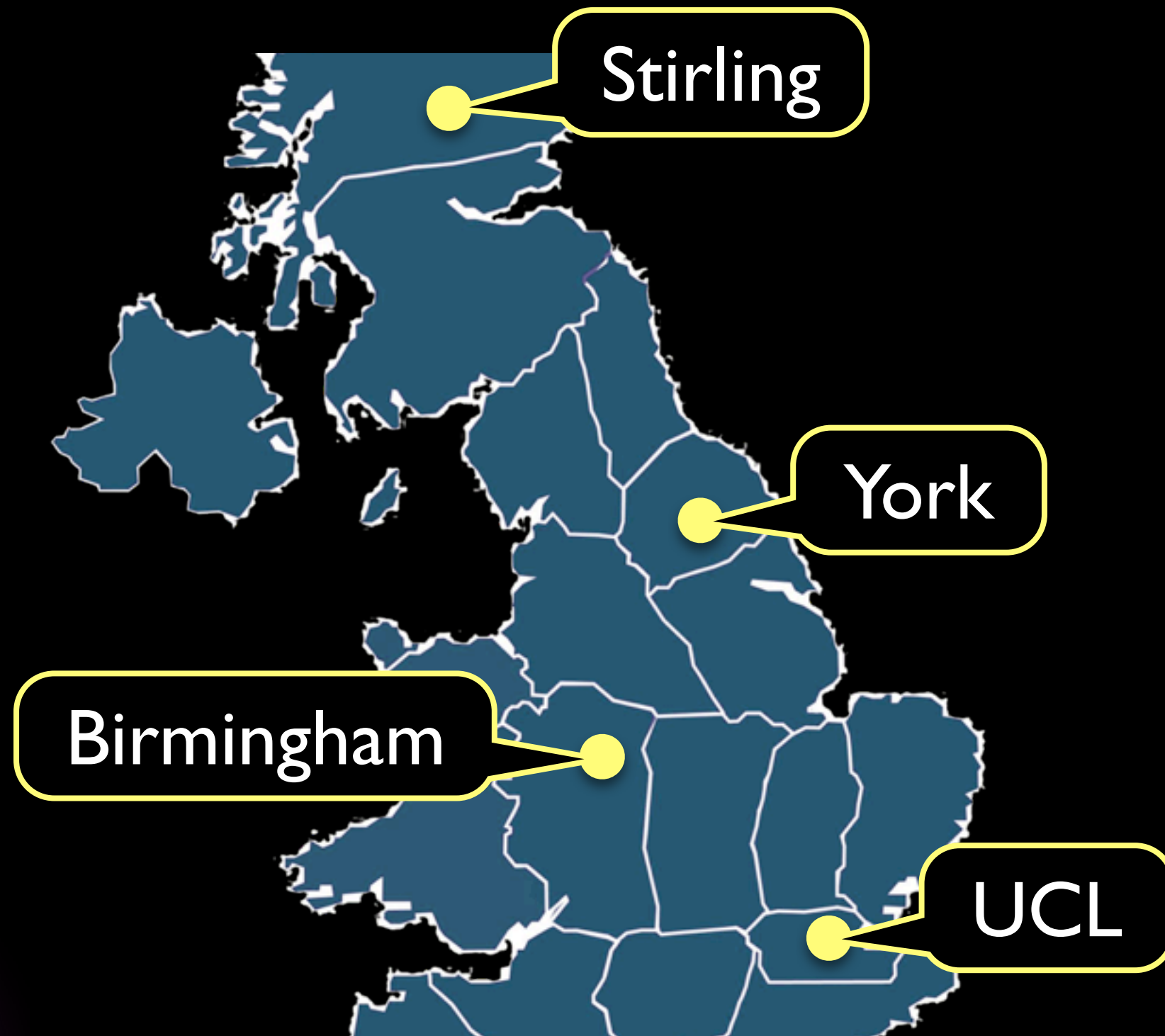
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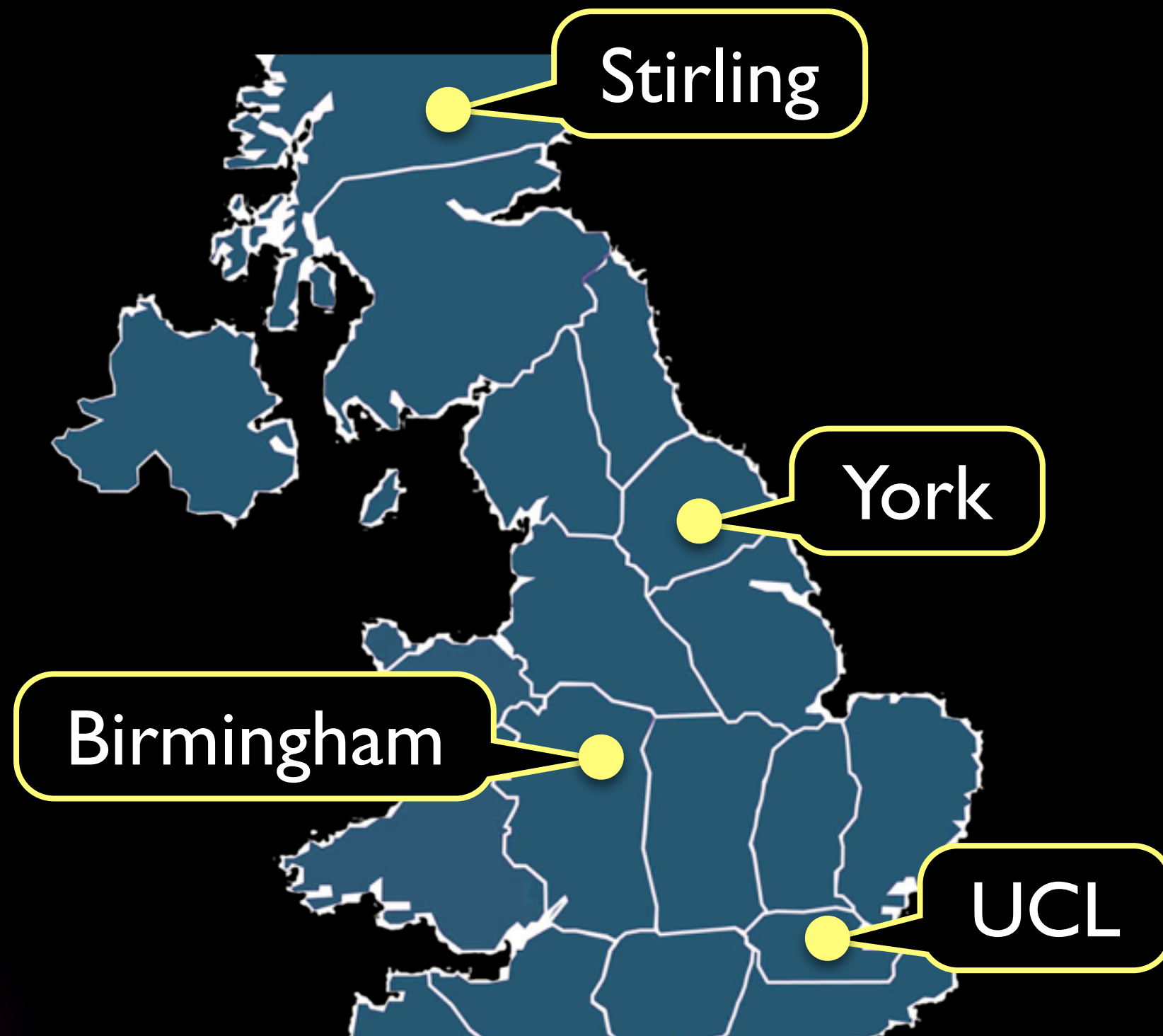
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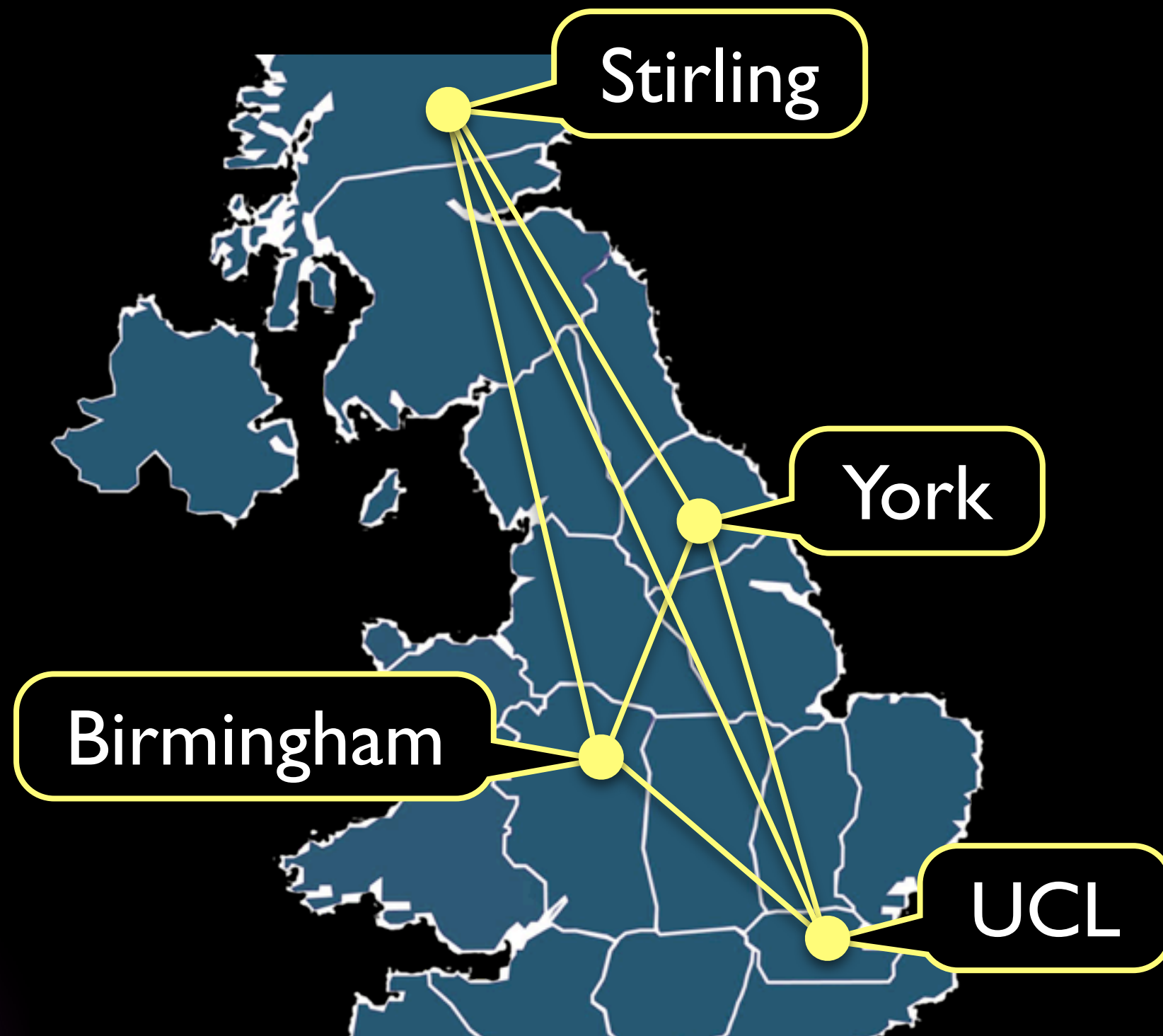
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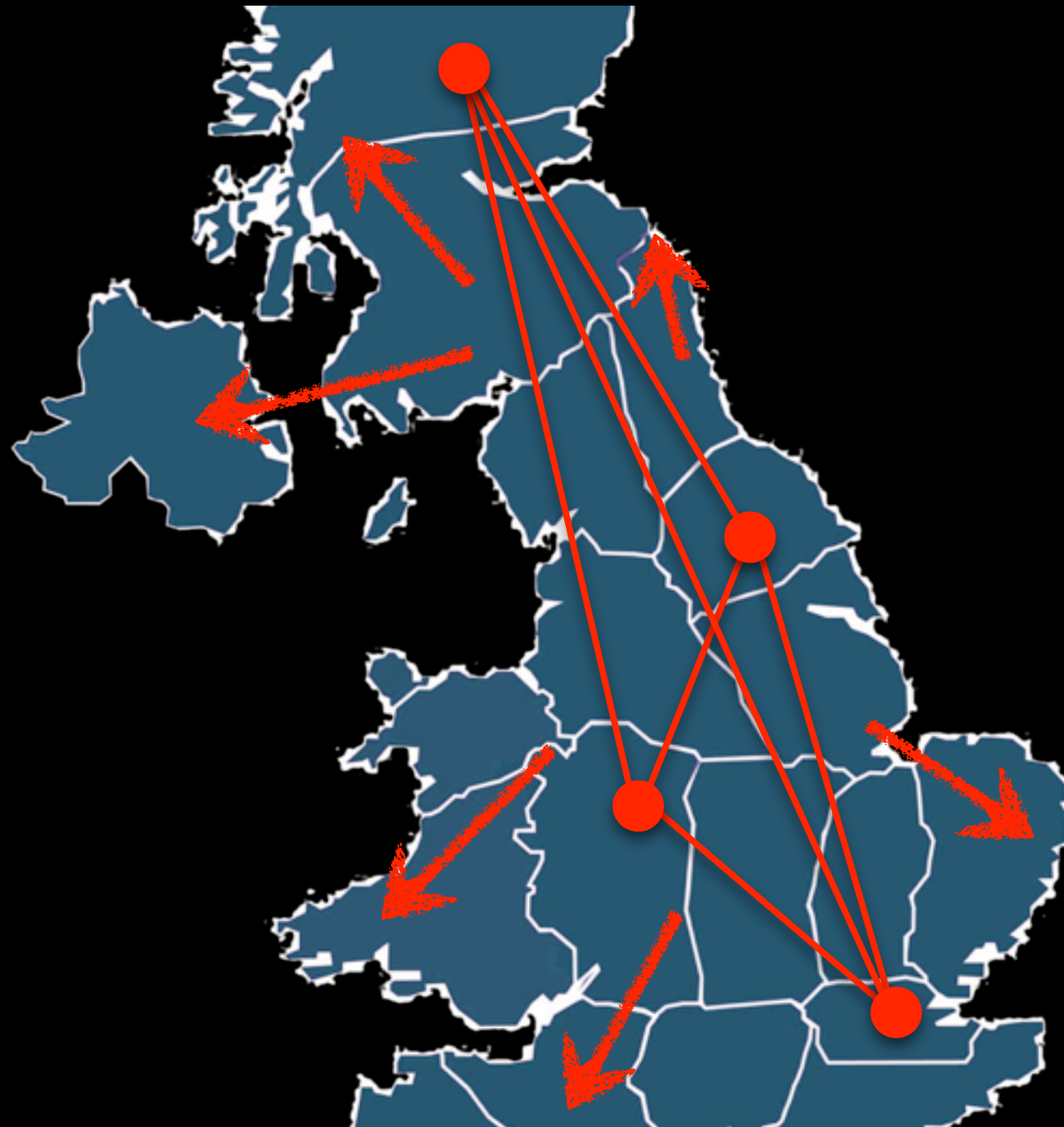




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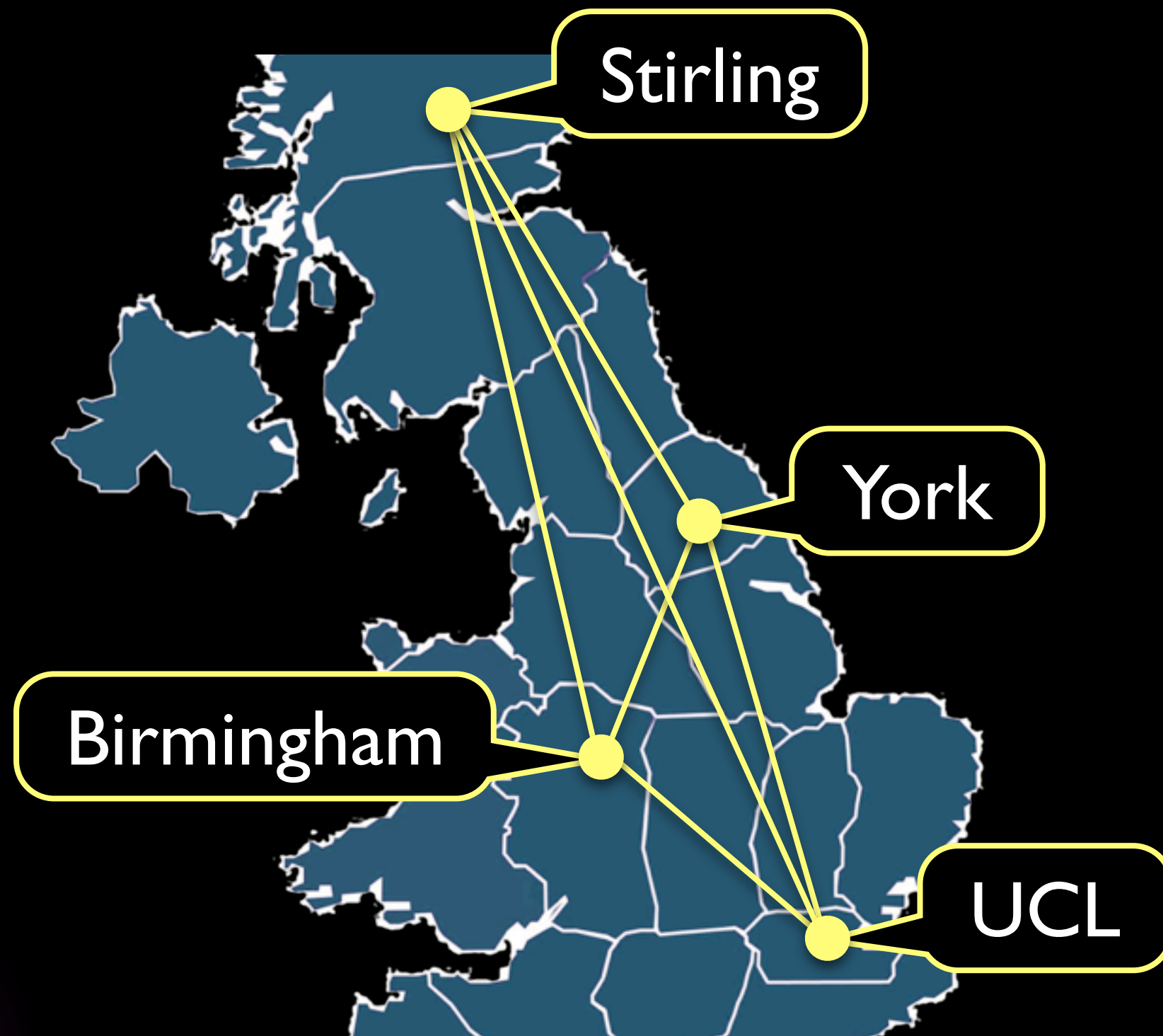
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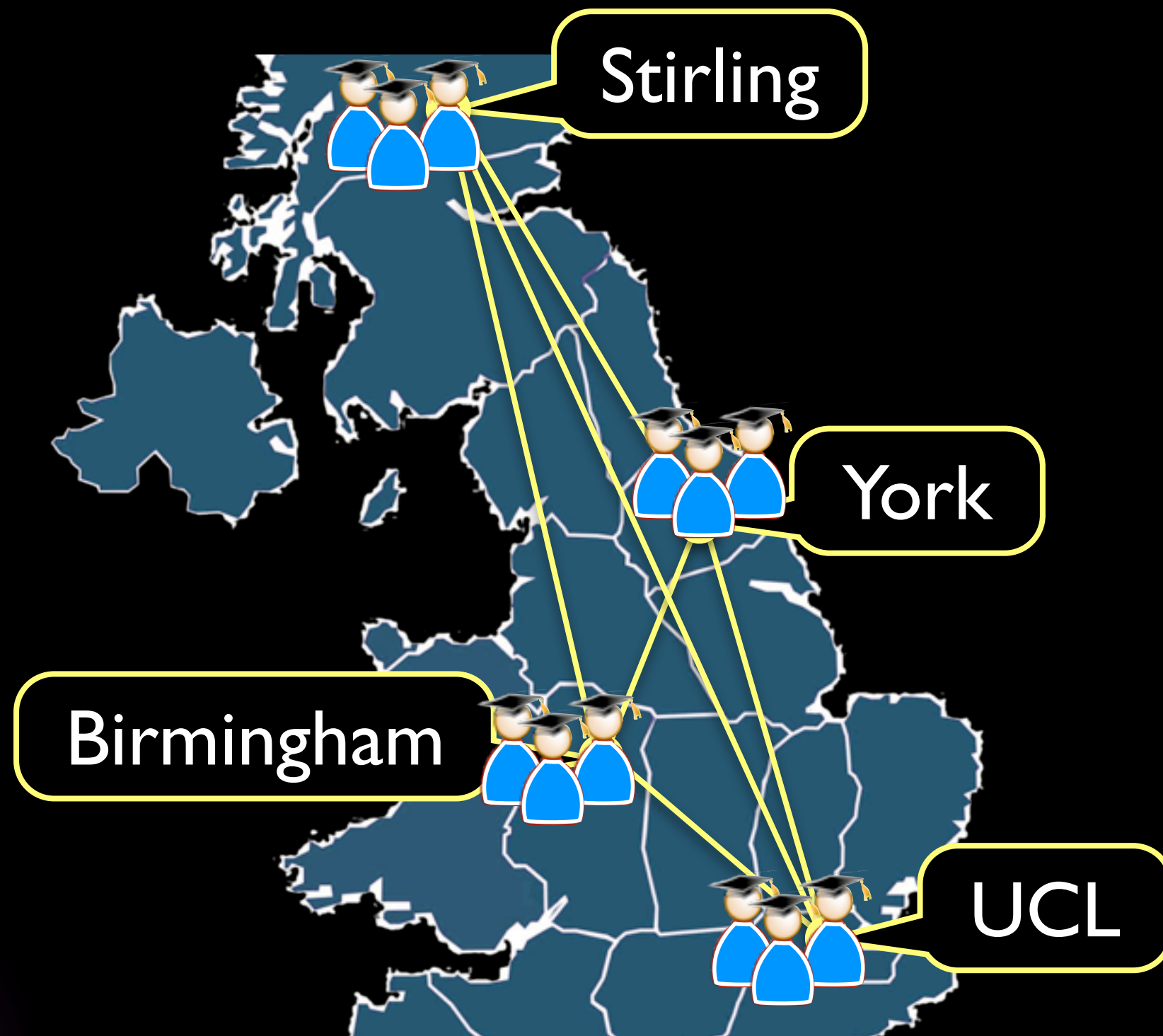




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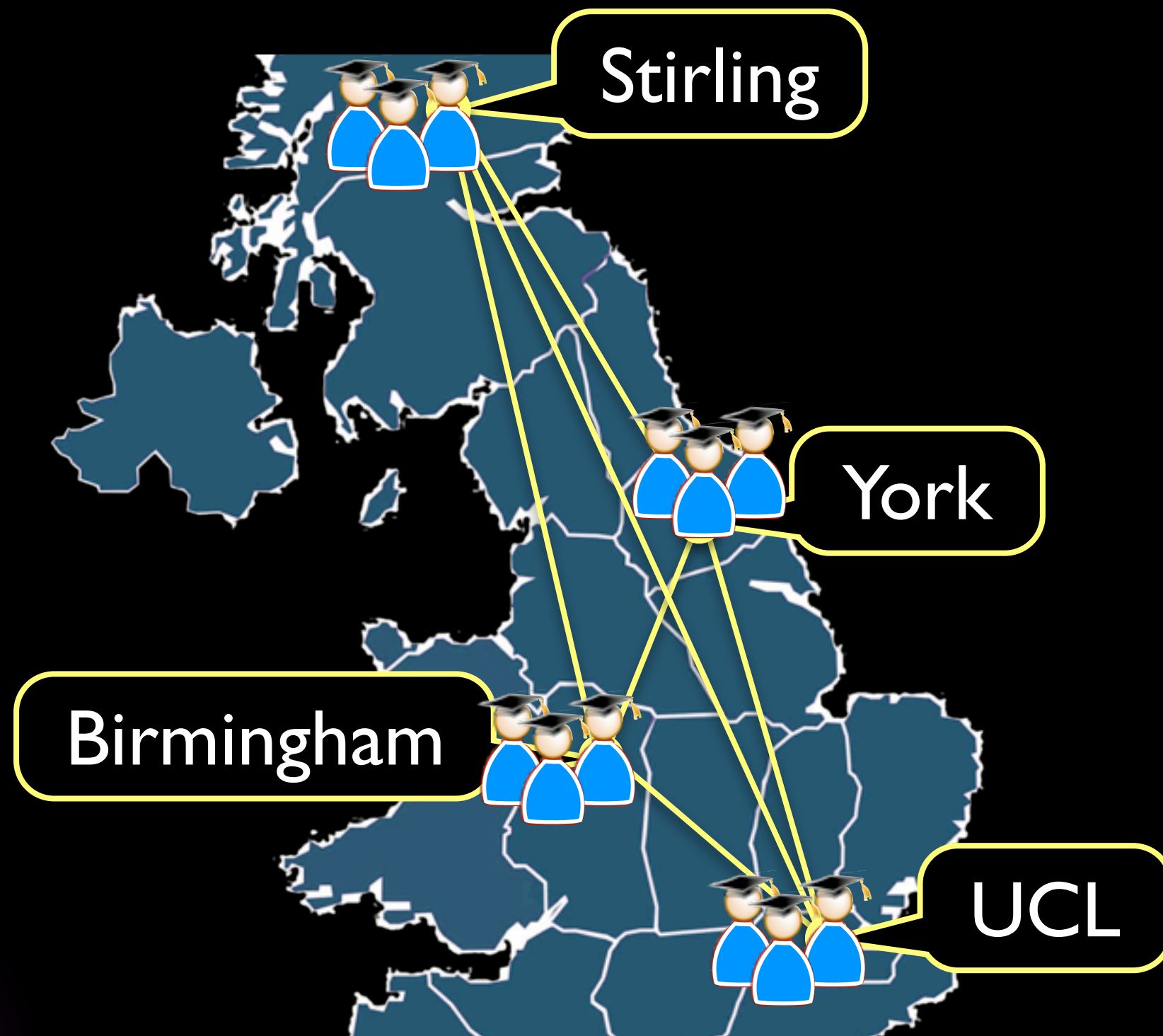
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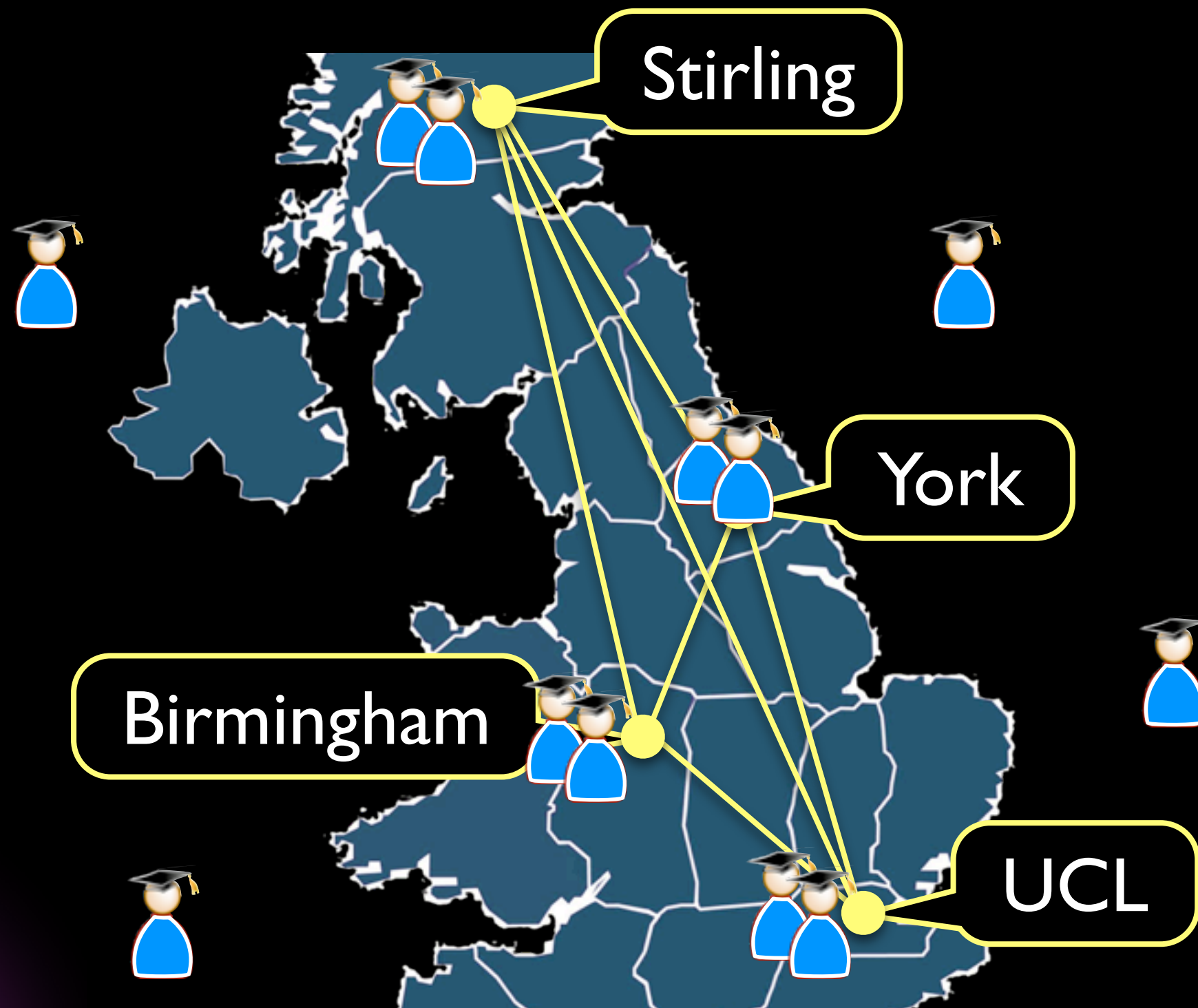
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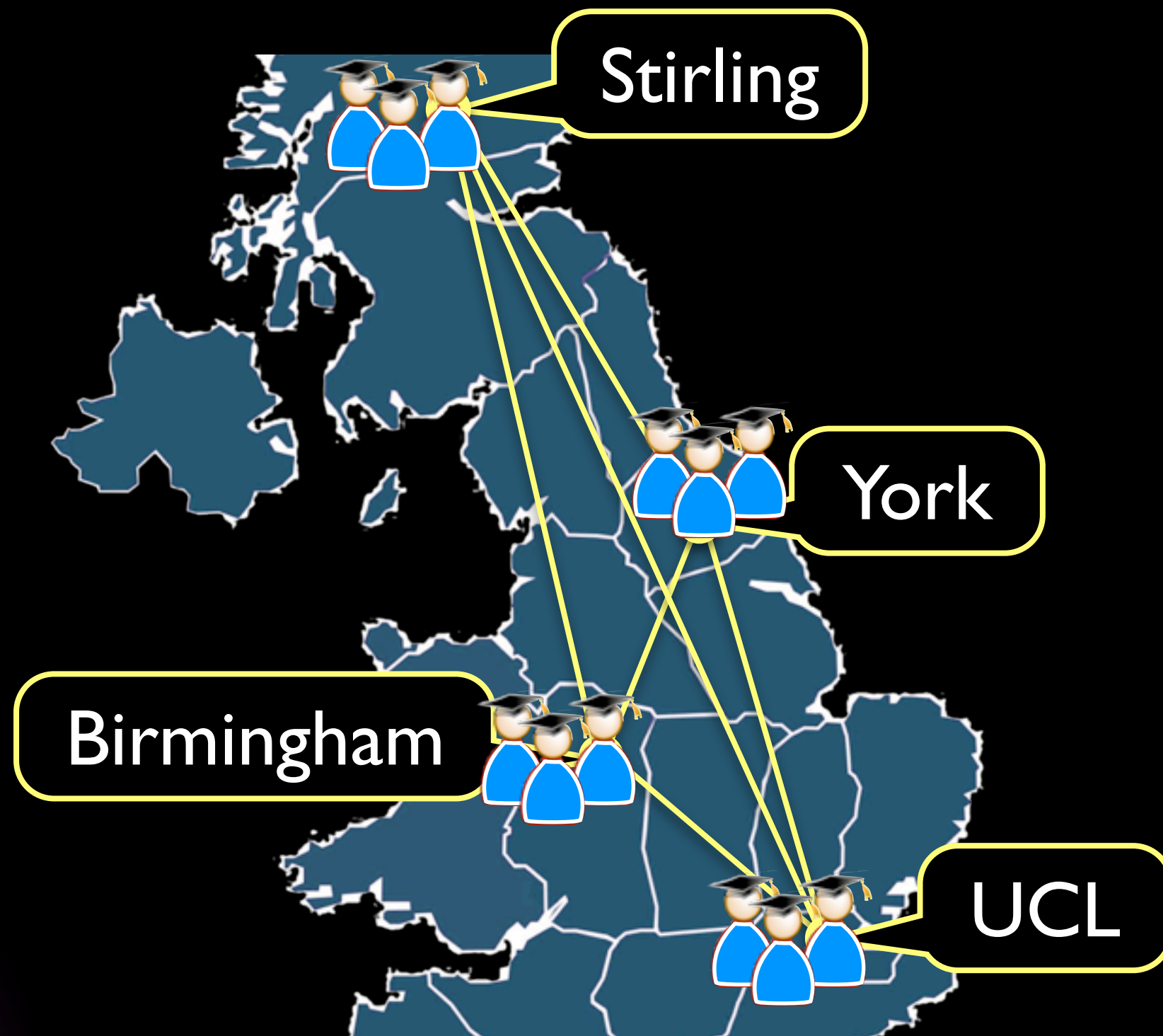
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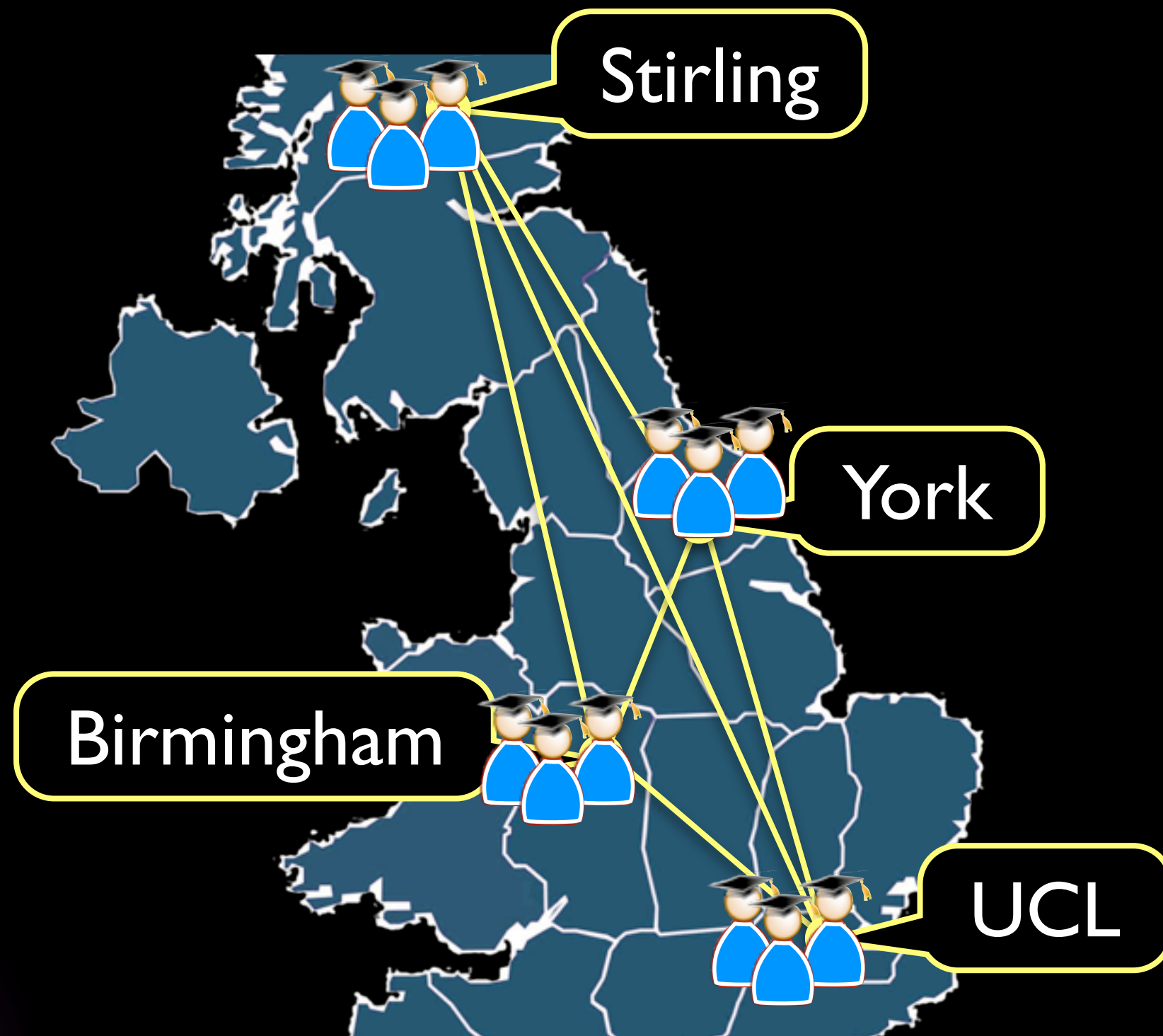




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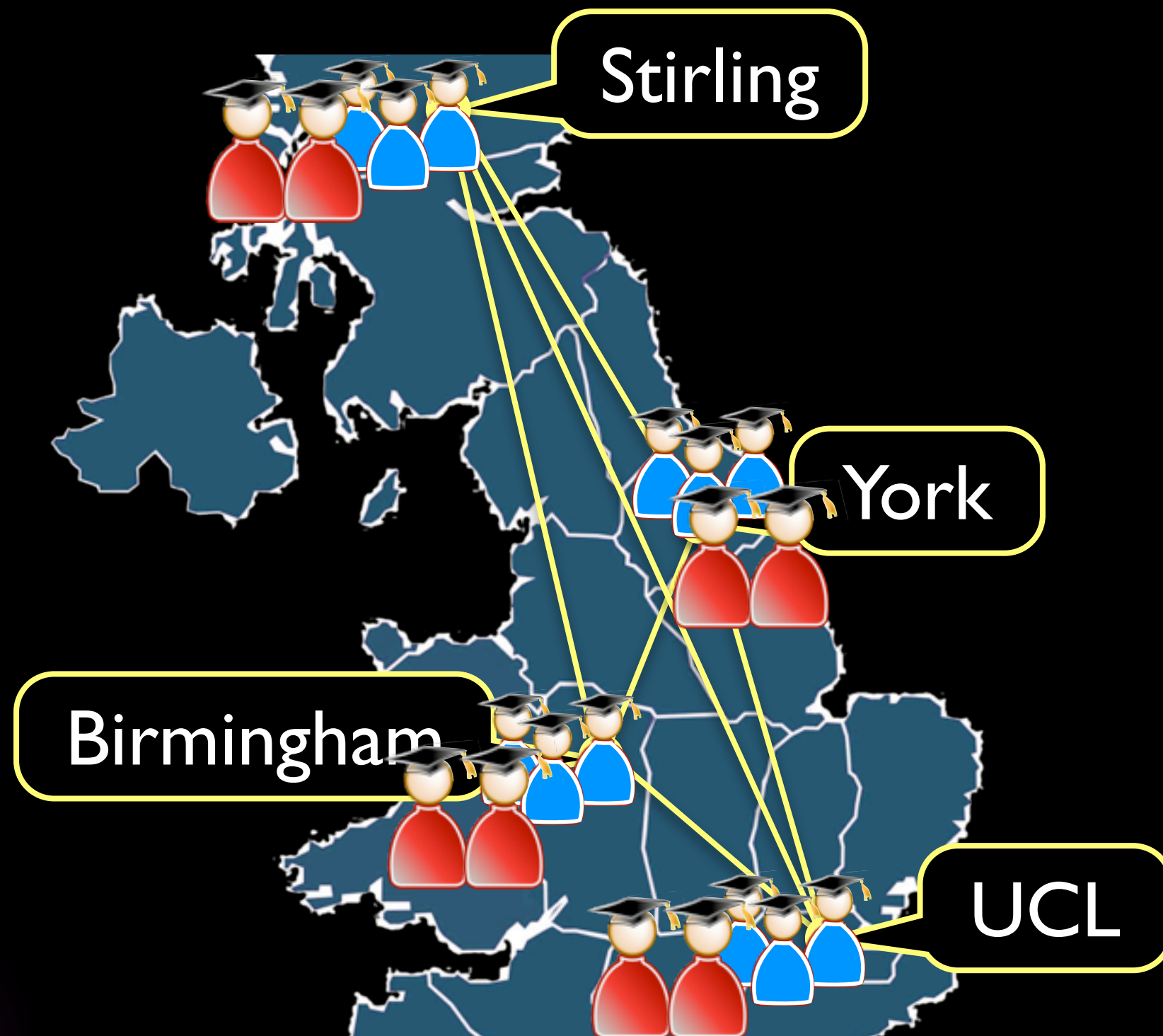
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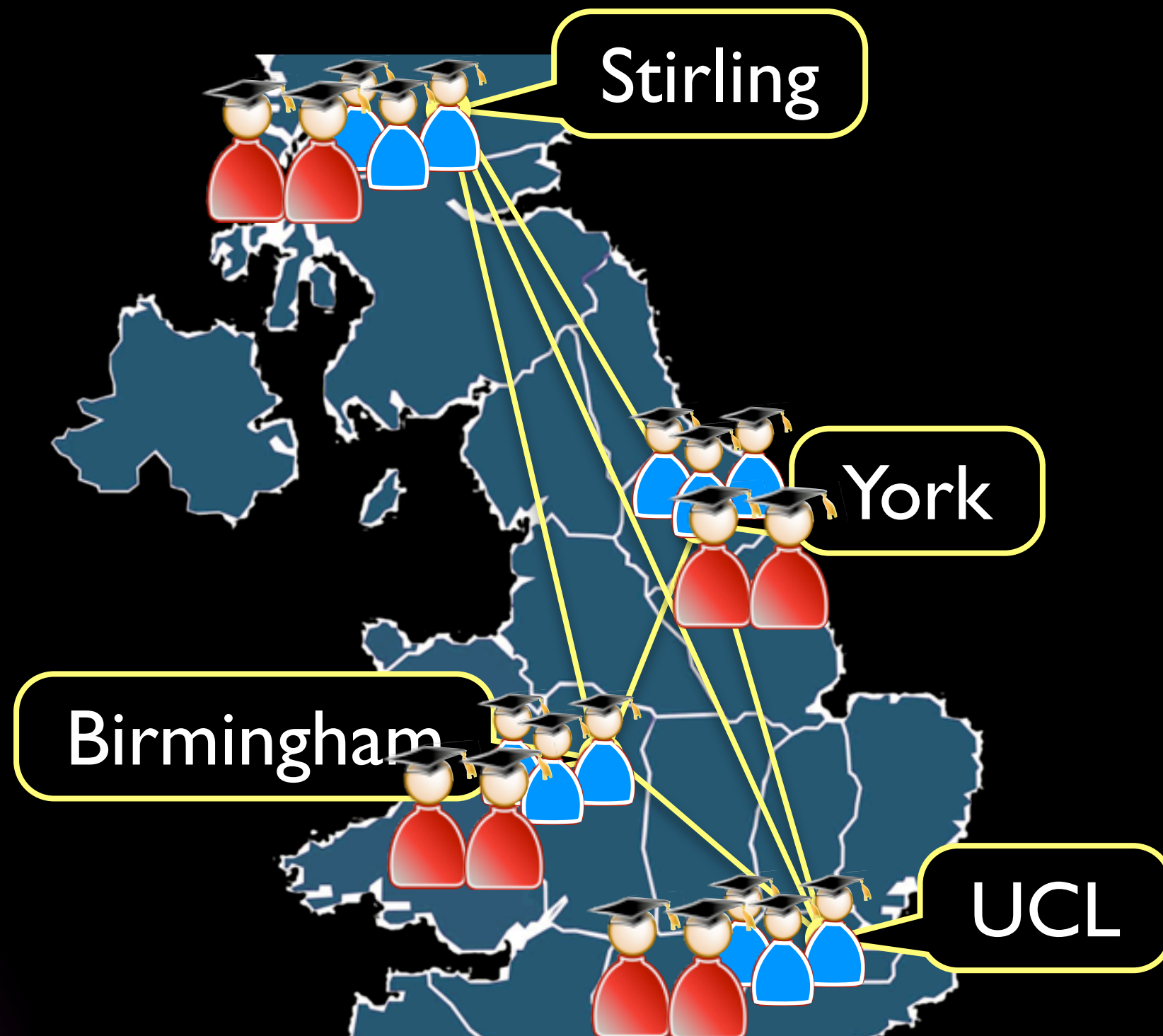
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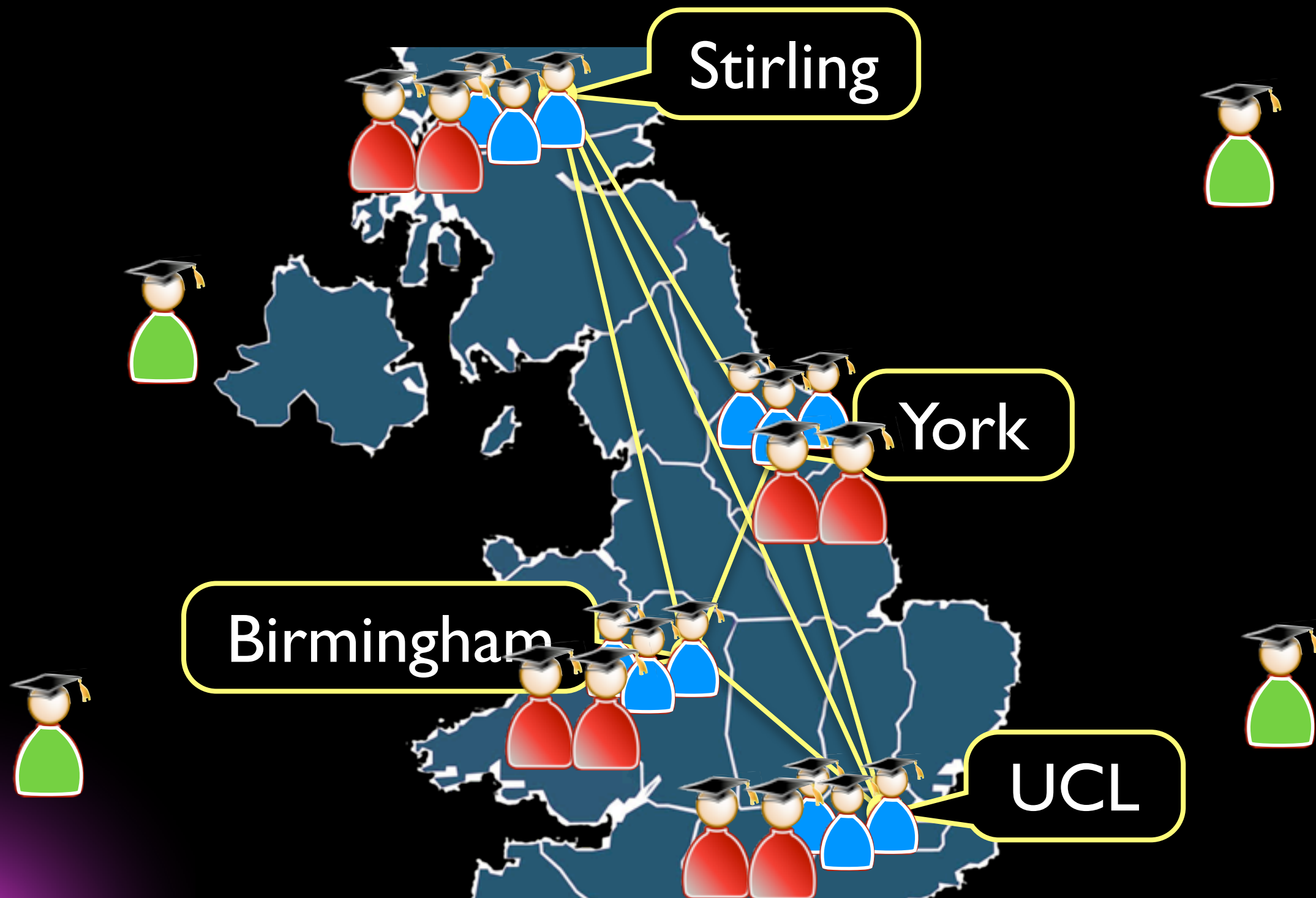
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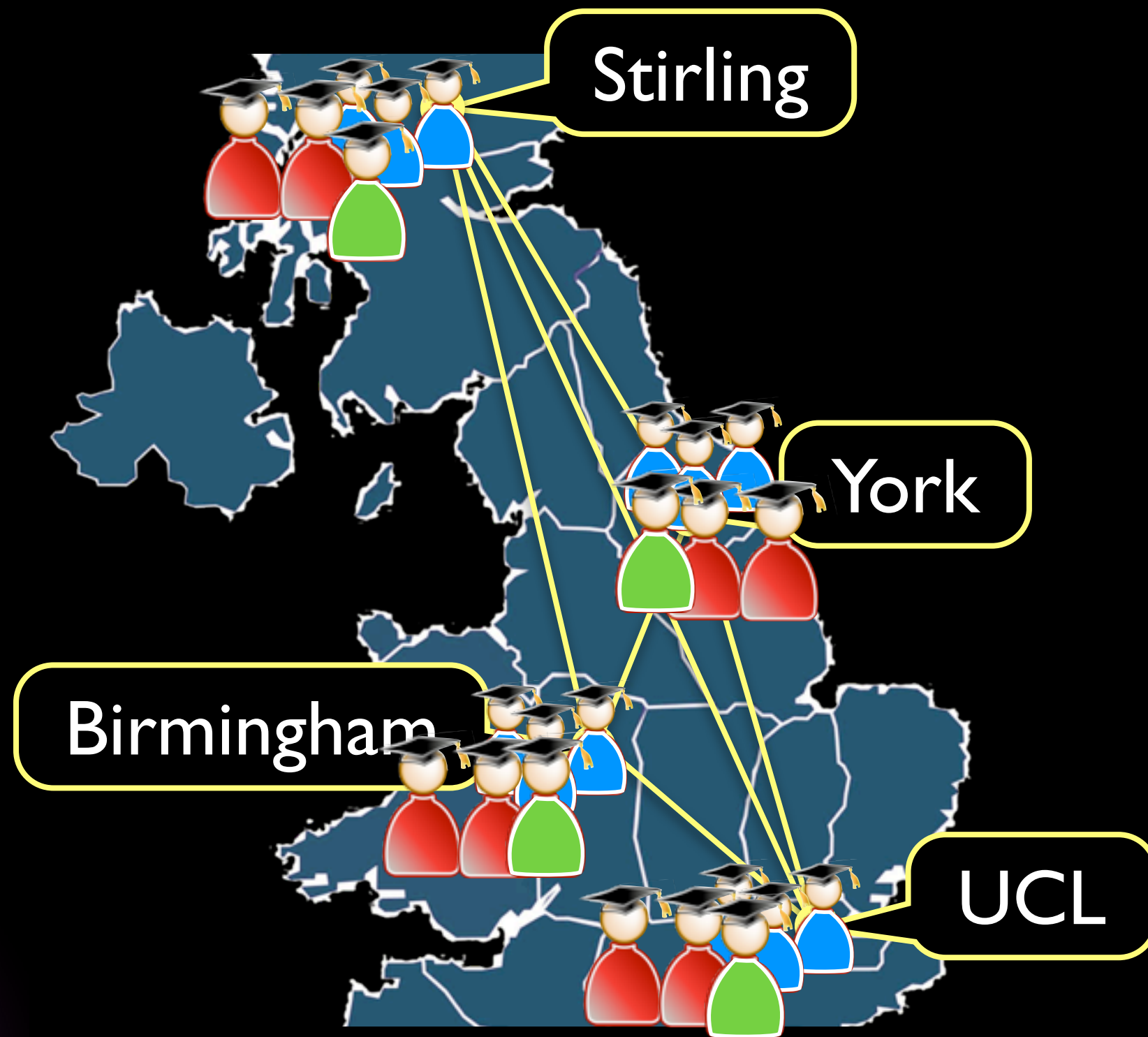




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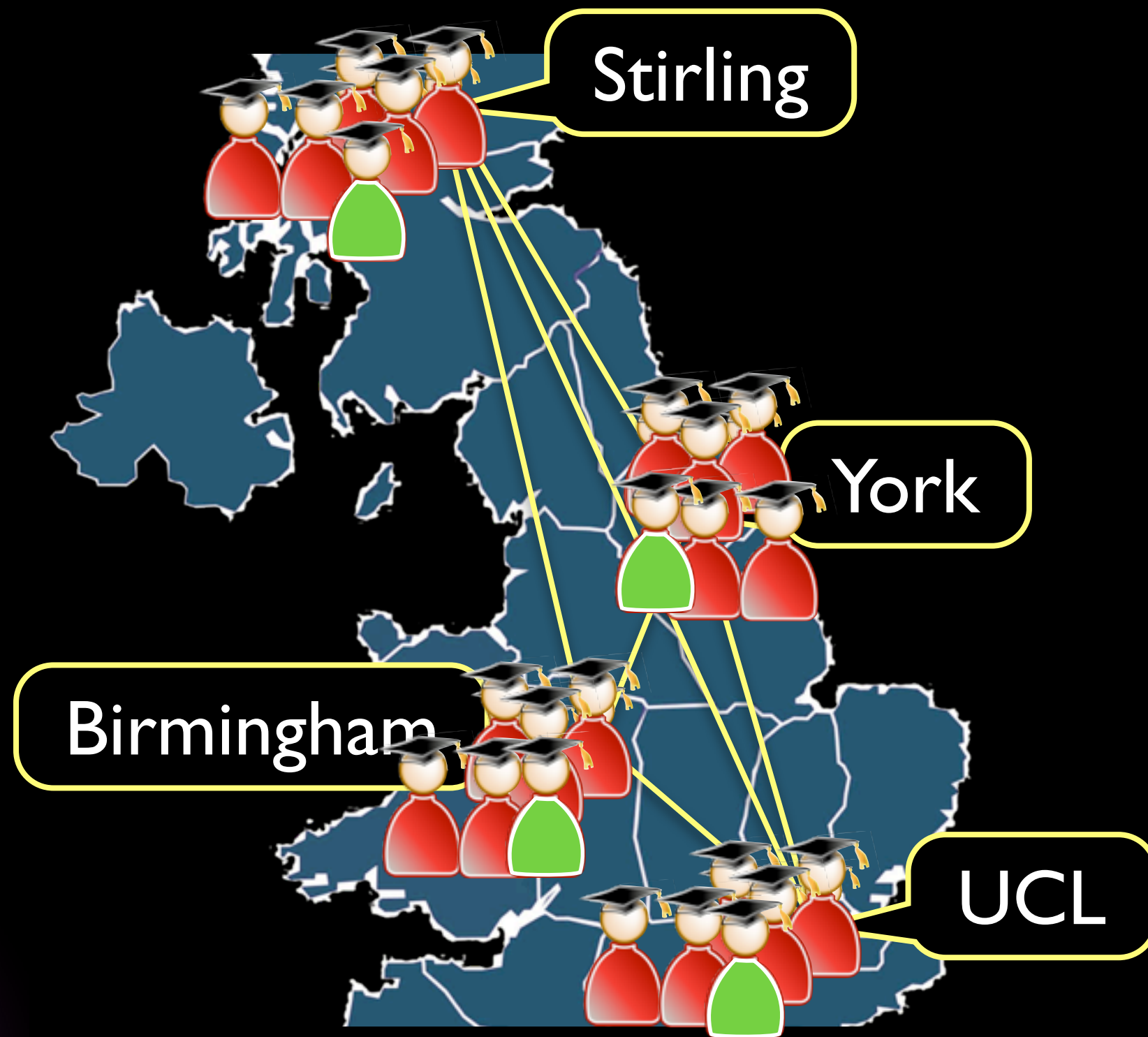
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Compile SBSE into deployed Software



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Compile SBSE into deployed Software

What *is* SBSE?



# What is SBSE





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In SBSE we apply search techniques to search large search spaces, guided by a fitness function that captures properties of the acceptable software artefacts we seek.



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In SBSE we apply search techniques to search large search spaces, guided by a fitness function that captures properties of the acceptable software artefacts we seek.

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like code search?

like breadth first search?



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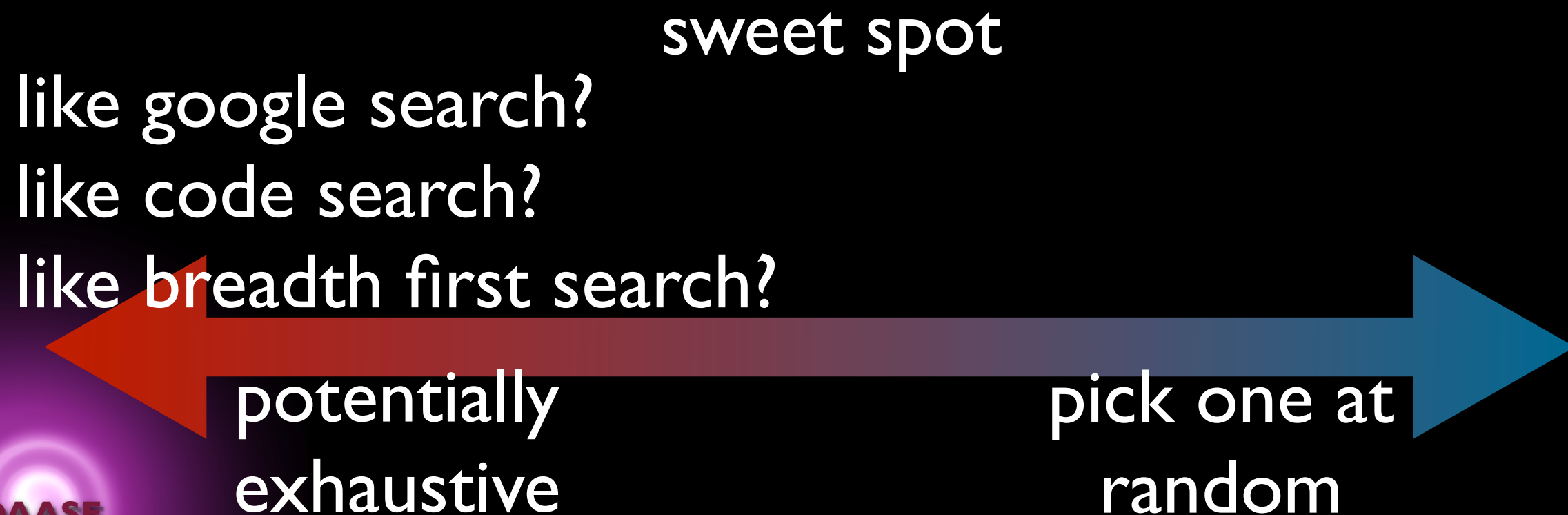


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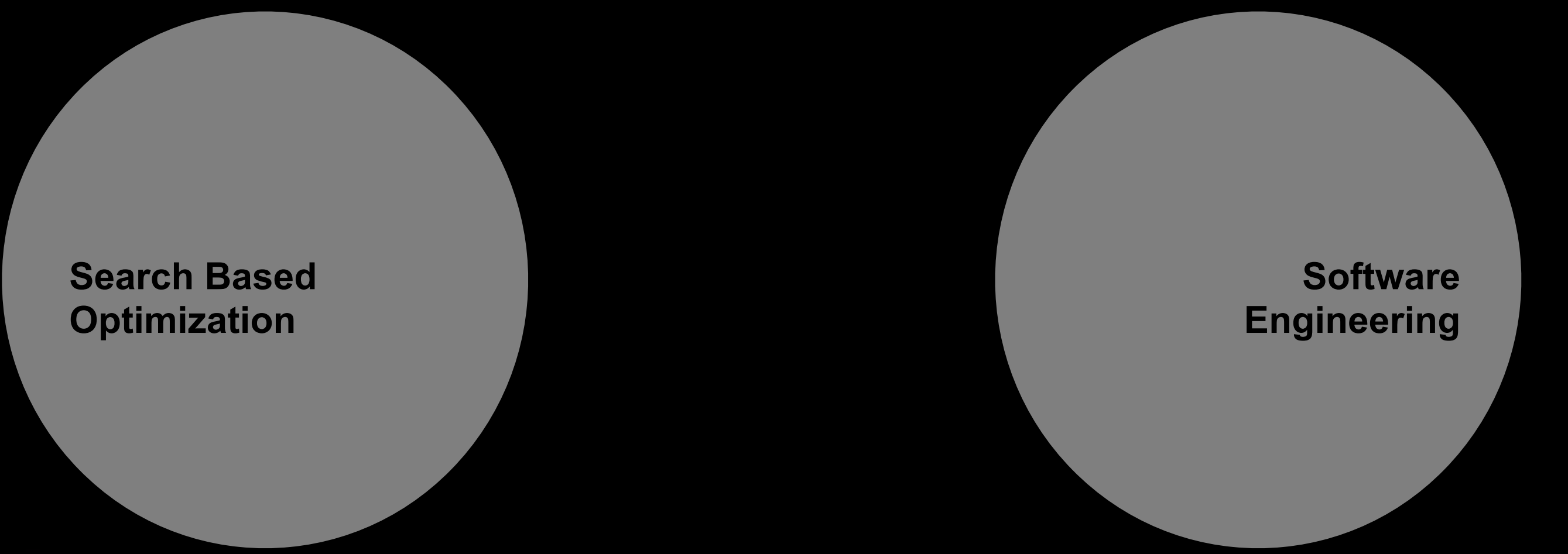
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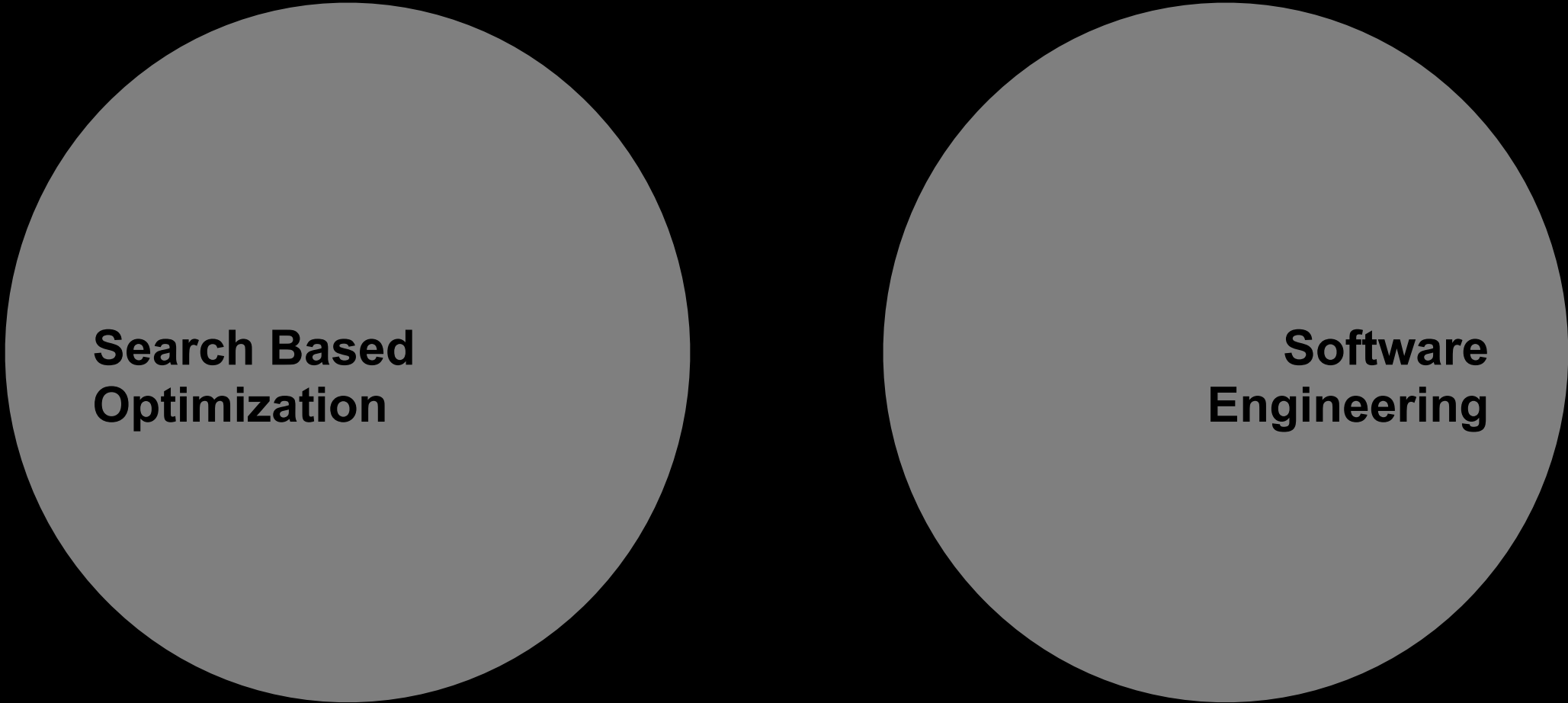
**Search Based  
Optimization**

**Software  
Engineering**





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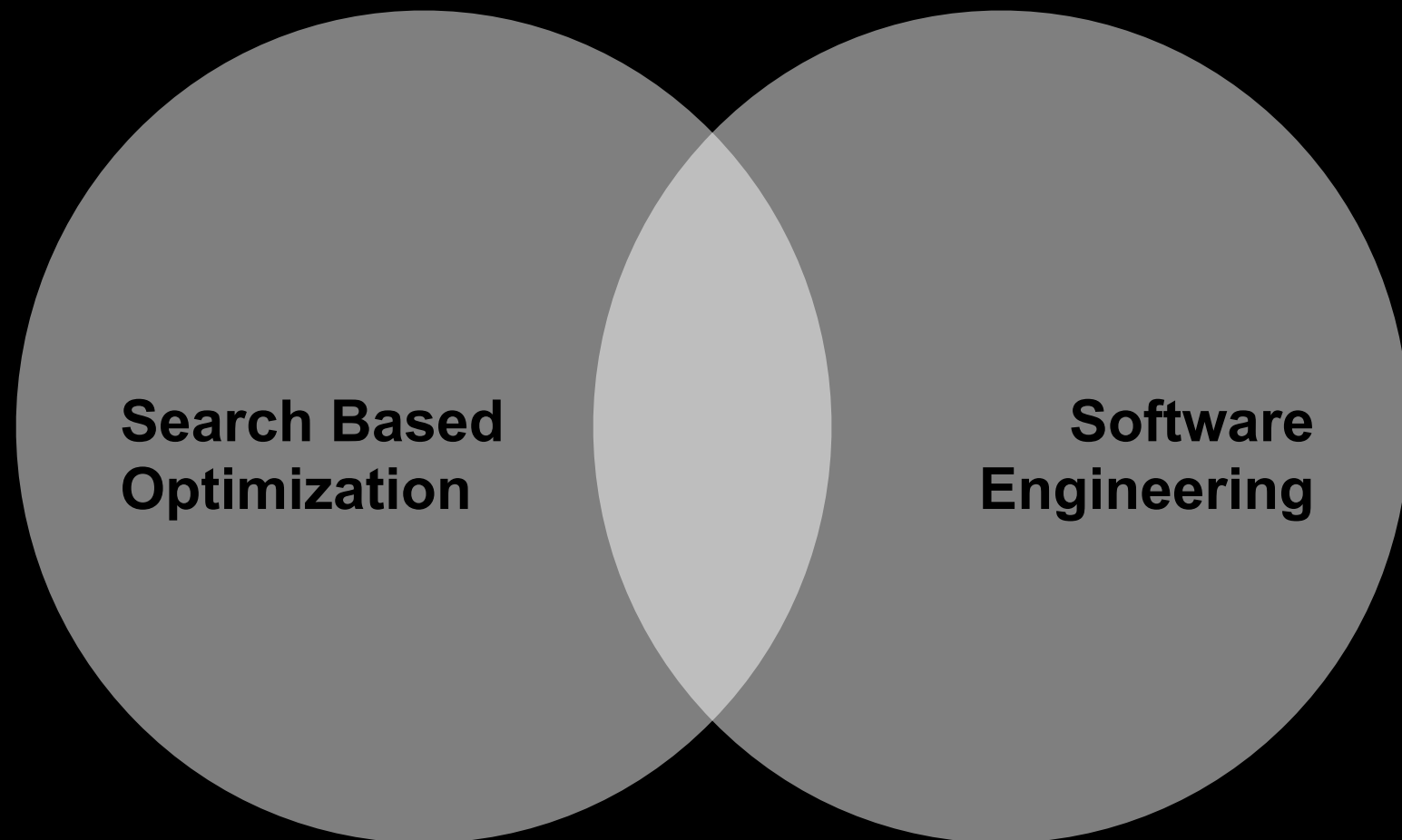
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**Search Based  
Optimization**

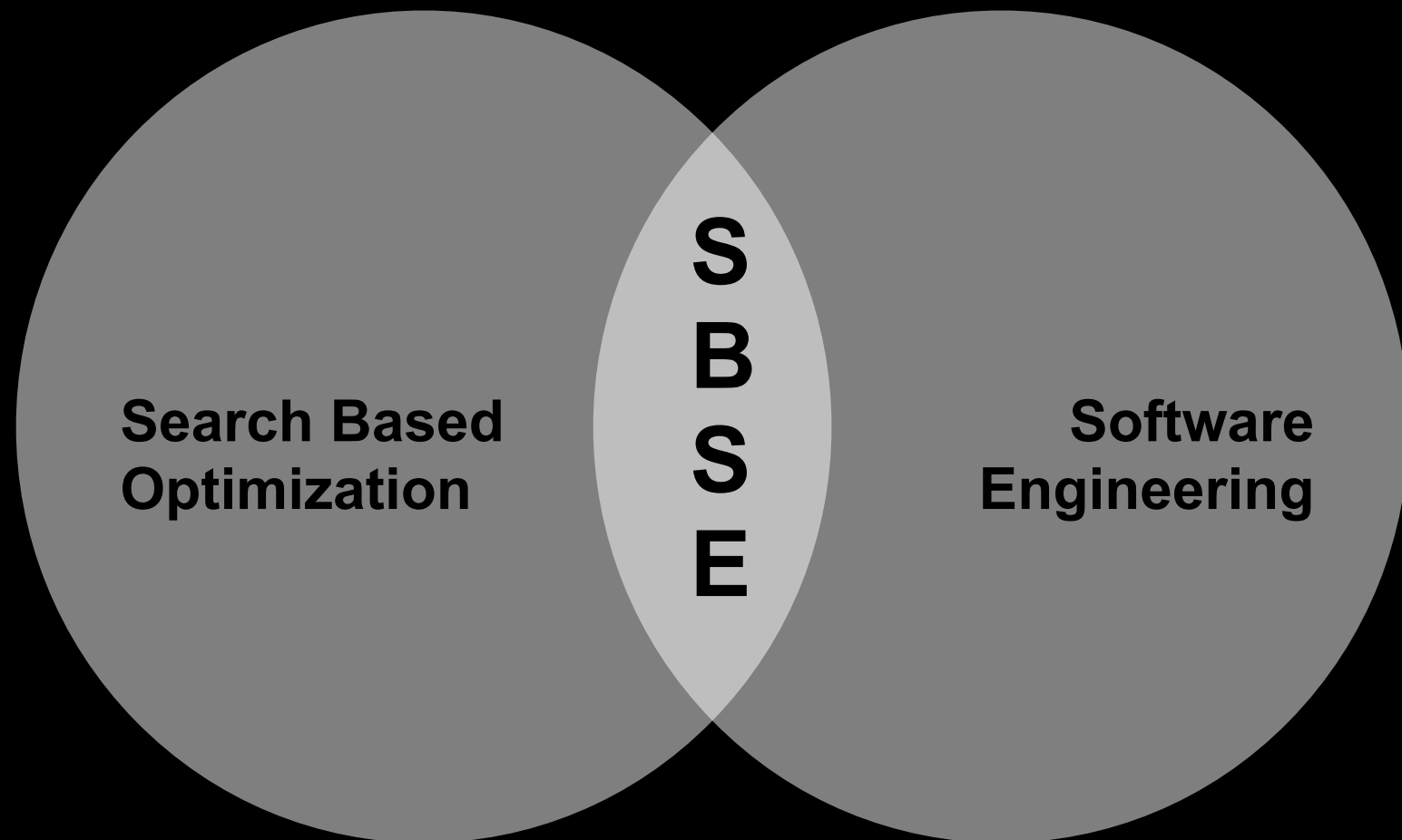
**Software  
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Hill Climbing      Genetic Algorithms  
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# Origins



# Origins





# Origins



1999 - 2003



# Origins



1999 - 2003



# Origins



1999 - 2003



2006 - 2011



# Origins



1999 - 2003



2006 - 2011

1998: Tracy, Clark and Mander





# Origins



1999 - 2003



2006 - 2011

1998: Tracy, Clark and Mander    Feldt



# Origins



1999 - 2003



2006 - 2011

1998: Tracy, Clark and Mander    Feldt

1996: Roper



# Origins



1999 - 2003



2006 - 2011

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1996: Roper

1995: Korel, Jones, Sthamer, Watkins



# Origins



1999 - 2003



2006 - 2011

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2006 - 2011

1998: Tracy, Clark and Mander    Feldt

1996: Roper

1995: Korel, Jones, Sthamer, Watkins

1992: Xanthakis et al.

1976: Miller and Spooner





# What is SBSE

let's listen to software engineers ...

... what sort of things do they say?



# Software Engineers Say



# Software Engineers Say



# Software Engineers Say

We need to satisfy business and technical concerns

We need to reduce risk while maintaining completion time

We need increased cohesion and decreased coupling

We need fewer tests that find more nasty bugs

We need to optimise for all metrics  $M_1, \dots, M_n$



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# Software Engineers Say

Requirements: We need to satisfy business and technical concerns

Management: We need to reduce risk while maintaining completion time

Design: We need increased cohesion and decreased coupling

Testing: We need fewer tests that find more nasty bugs

Refactoring: We need to optimise for all metrics  $M_1, \dots, M_n$



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Refactoring: We need to optimise for all metrics  $M_1, \dots, M_n$

All have been addressed in the SBSE literature



# Engineering words



# Engineering words

tolerance      with acceptable bounds  
improve performance  
**optimise**  
reduce cost      **optimize**  
fit for purpose      within constraints



# Engineering words

tolerance

with acceptable bounds

improve performance

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within constraints





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# The advantages of SBSE



# The advantages of SBSE



# The advantages of SBSE



Insight-rich



Scalable



Robust



Generic



Realistic

# The advantages of SBSE



Scalable



Insight-rich



Robust



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Robust



Generic



Realistic

# The advantages of SBSE



Insight-rich



Scalable



Robust



Generic



Realistic

... but ...  
why is  
Software Engineering  
different?



# in situ fitness test



# in situ fitness test

Physical Engineering



# in situ fitness test

## Physical Engineering





# in situ fitness test

## Physical Engineering



cost: \$20,000.00



# in situ fitness test

Physical Engineering



cost: \$20,000.00

Virtual Engineering



# in situ fitness test

## Physical Engineering



cost: \$20,000.00

## Virtual Engineering



# in situ fitness test

## Physical Engineering



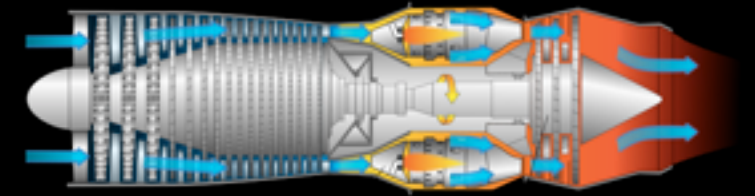
cost: \$20,000.00

## Virtual Engineering



cost: \$0.00.000000000002

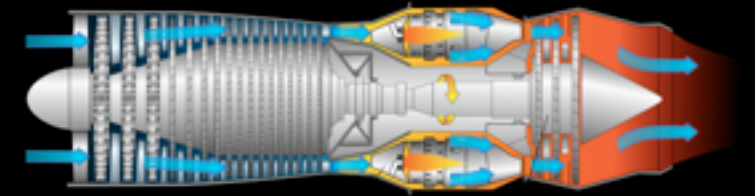
# spot the difference





# spot the difference

## Traditional Engineering Artifact

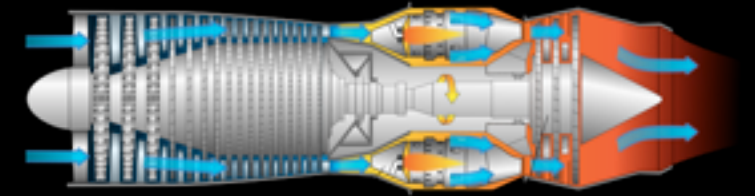


# spot the difference

Traditional  
Engineering Artifact



Optimization  
goal





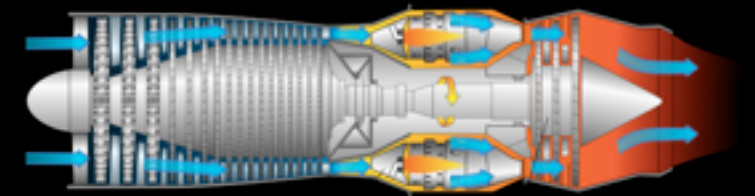
# spot the difference

Traditional  
Engineering Artifact



Optimization  
goal

Maximize compression



# spot the difference

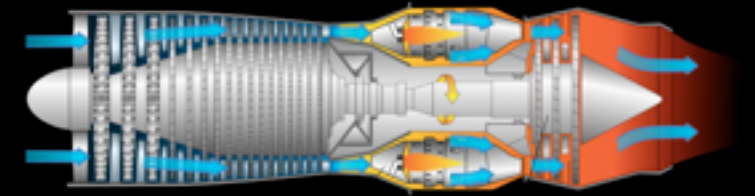
Traditional  
Engineering Artifact



Optimization  
goal

Maximize compression

Minimize fuel consumption



# spot the difference

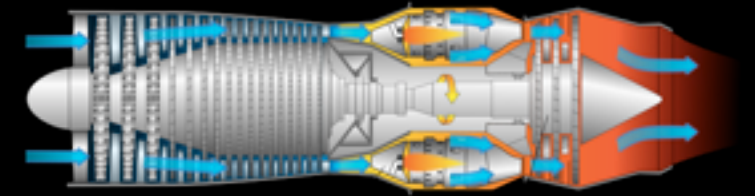
Traditional  
Engineering Artifact



Optimization  
goal

Maximize compression  
Minimize fuel consumption

Fitness computed  
on a representation



# spot the difference

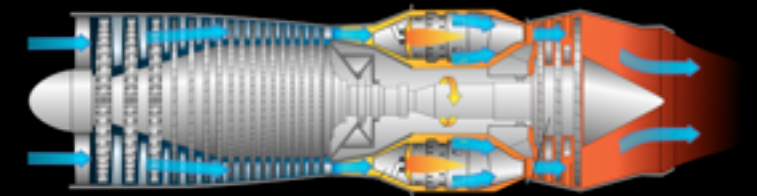
Traditional  
Engineering Artifact



Optimization  
goal

Maximize compression  
Minimize fuel consumption

Fitness computed  
on a representation



Software  
Engineering Artifact



# spot the difference

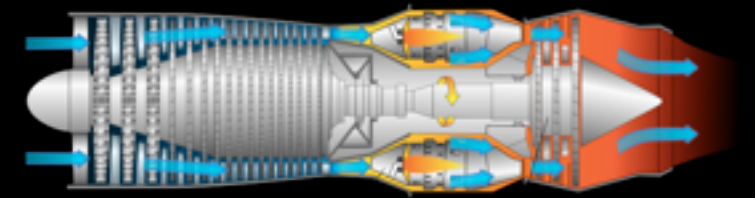
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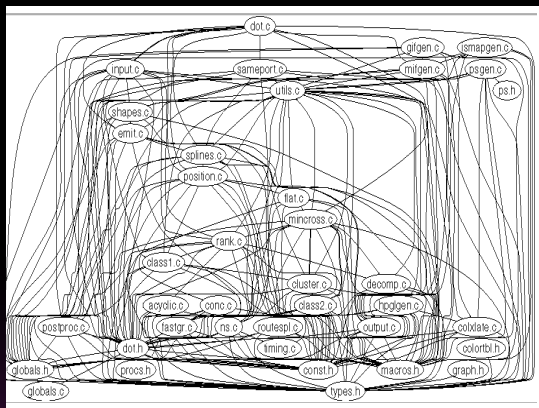
## Optimization goal

Maximize compression  
Minimize fuel consumption

## Fitness computed on a representation



## Software Engineering Artifact





# spot the difference

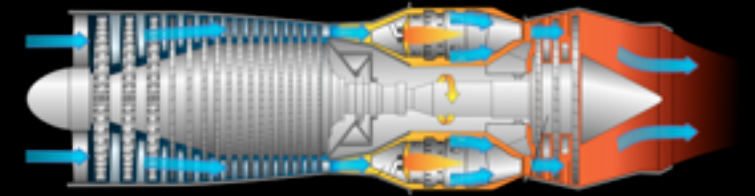
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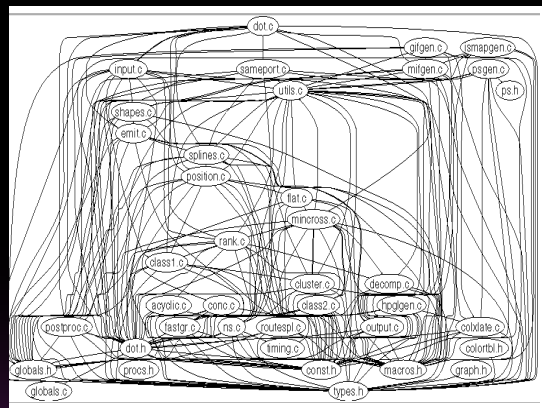
## Optimization goal

Maximize compression  
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## Software Engineering Artifact



## Optimization goal



# spot the difference

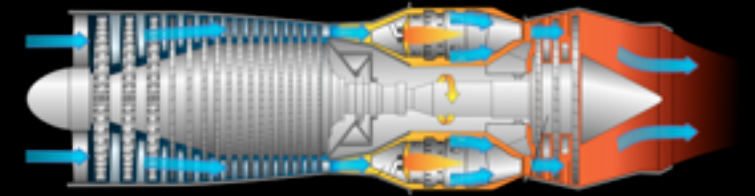
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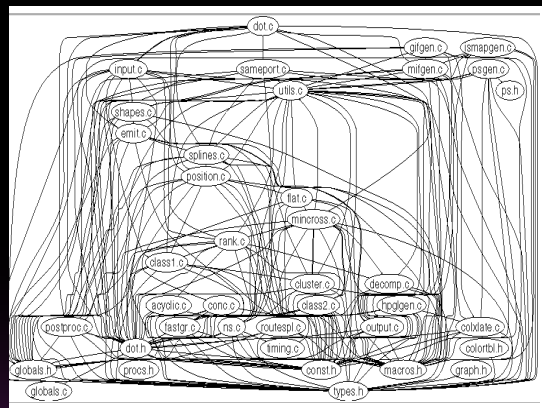
## Optimization goal

Maximize compression  
Minimize fuel consumption

## Fitness computed on a representation



## Software Engineering Artifact



## Optimization goal

Maximize cohesion

# spot the difference

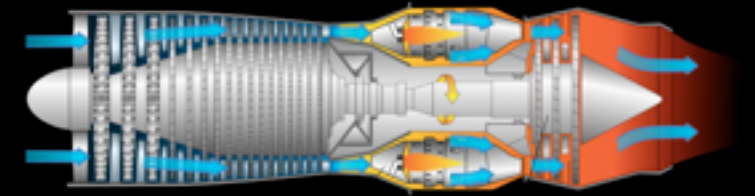
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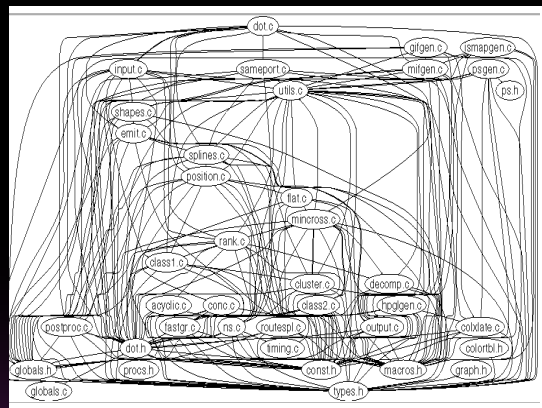
## Optimization goal

Maximize compression  
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## Software Engineering Artifact



## Optimization goal

Maximize cohesion  
Minimize coupling

# spot the difference

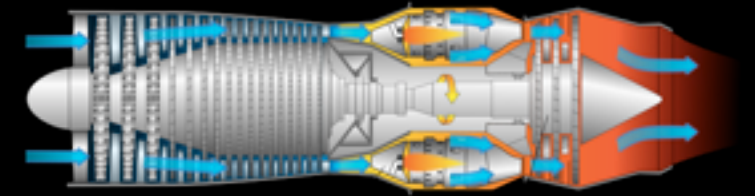
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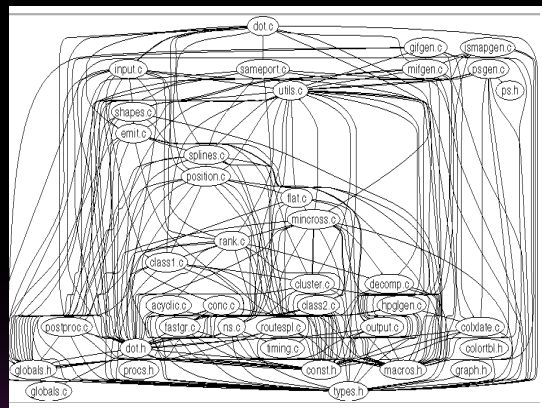
## Optimization goal

Maximize compression  
Minimize fuel consumption

## Fitness computed on a representation



## Software Engineering Artifact



## Optimization goal

Maximize cohesion  
Minimize coupling

## Fitness computed Directly

# spot the difference

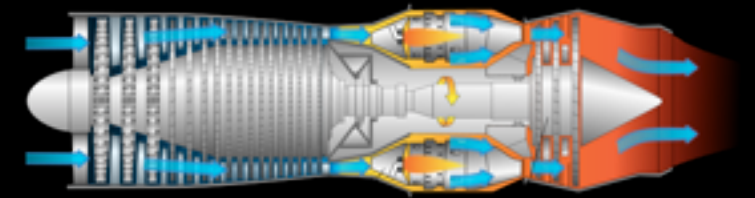
Traditional  
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Optimization  
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Maximize compression  
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Fitness computed  
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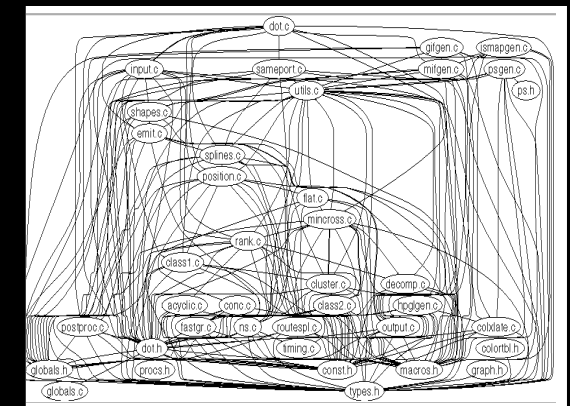


Software  
Engineering Artifact

Optimization  
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Maximize cohesion  
Minimize coupling

Fitness computed  
Directly





# spot the difference

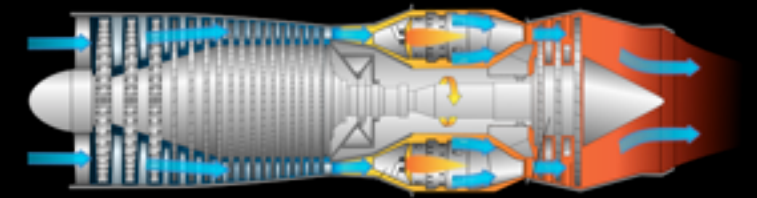
Traditional  
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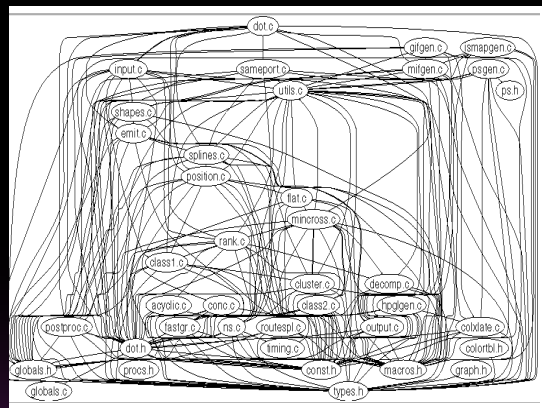
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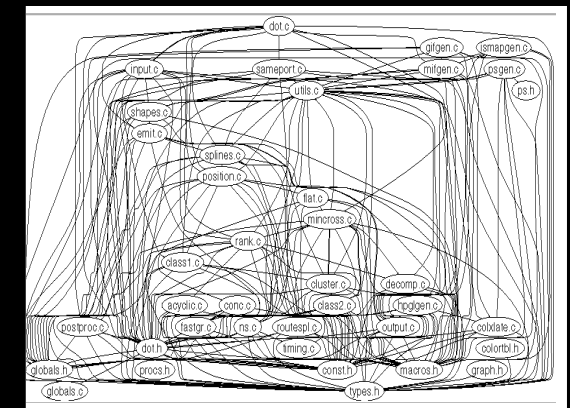
Software  
Engineering Artifact



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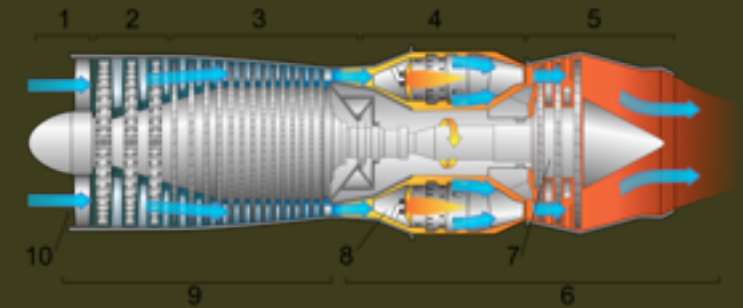
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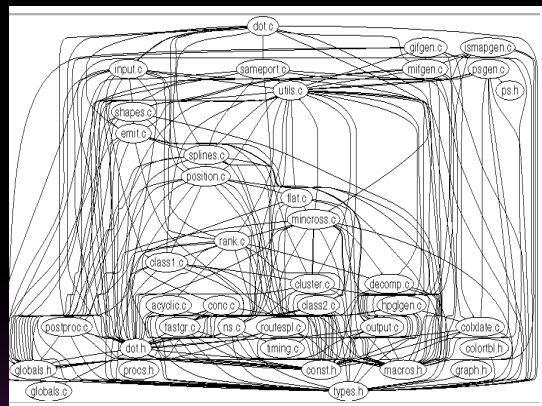
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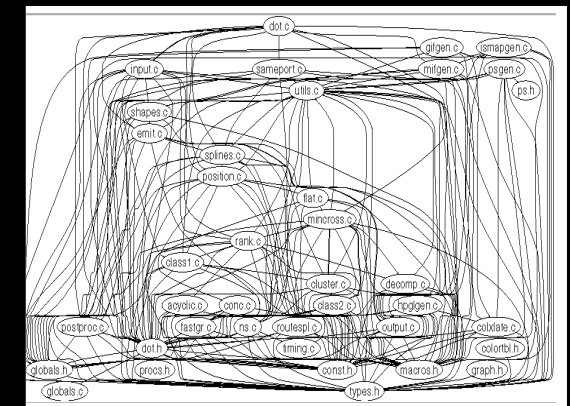
## Software Engineering Artifact



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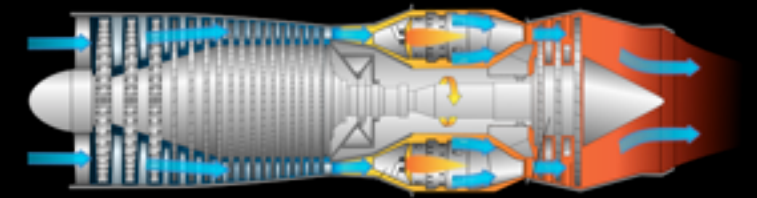
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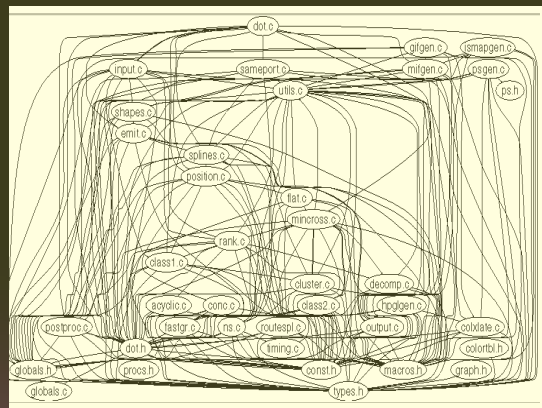
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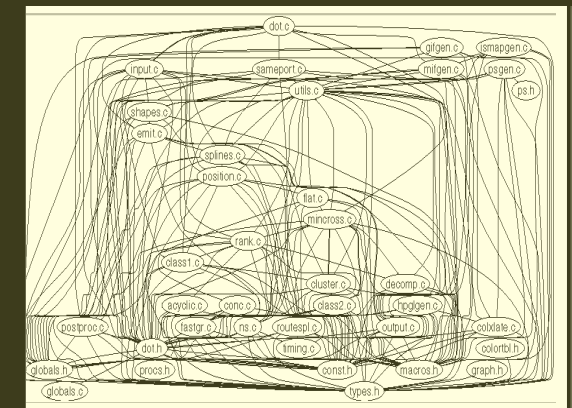
## Software Engineering Artifact



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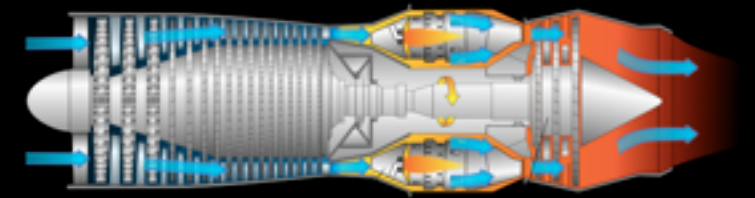
Traditional  
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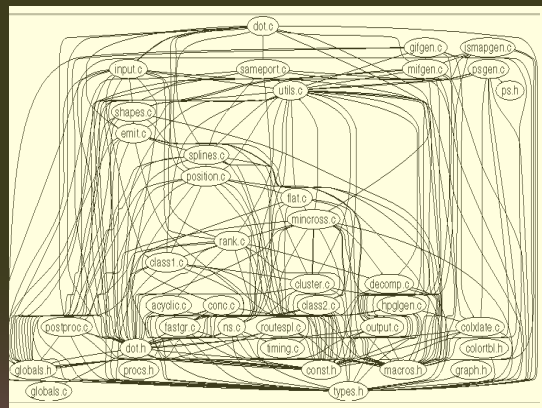
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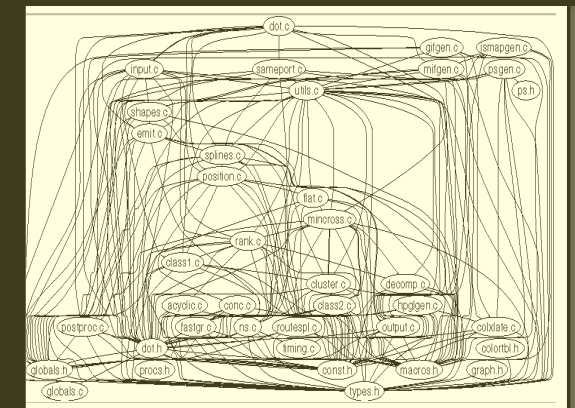
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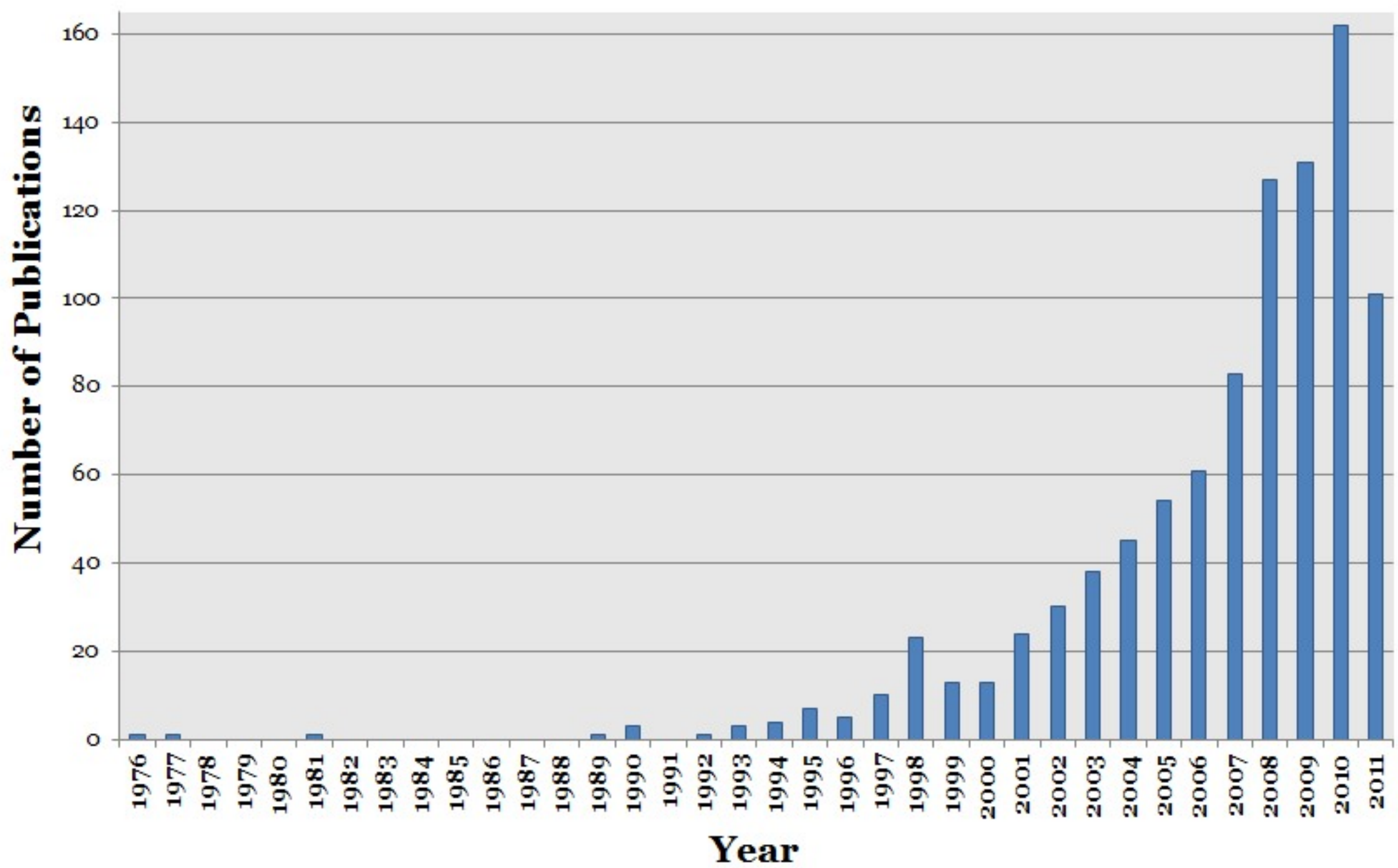
Mark Harman: ETAPS 2010 Keynote paper

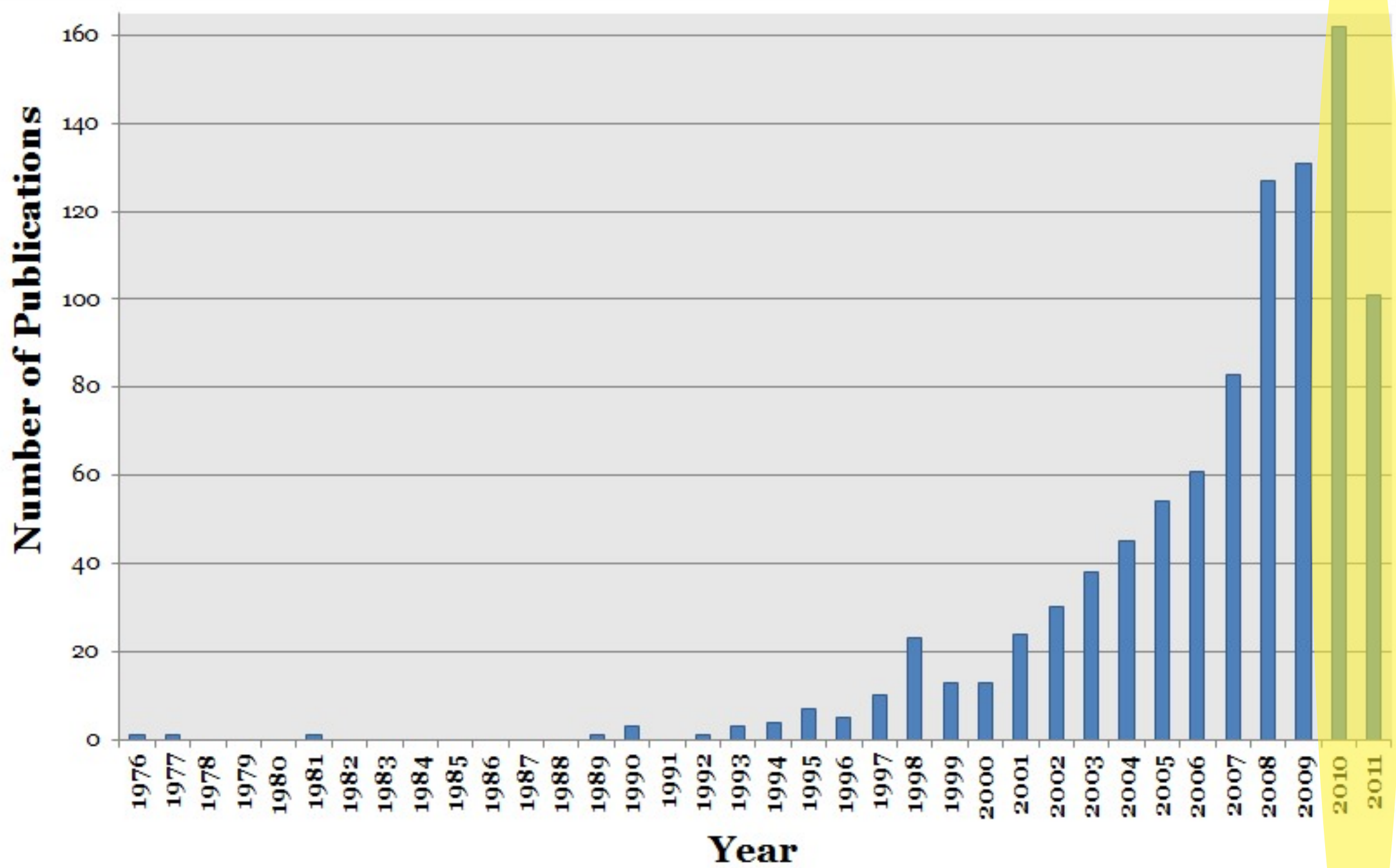


Mark Harman, CREST

# Growth Trends

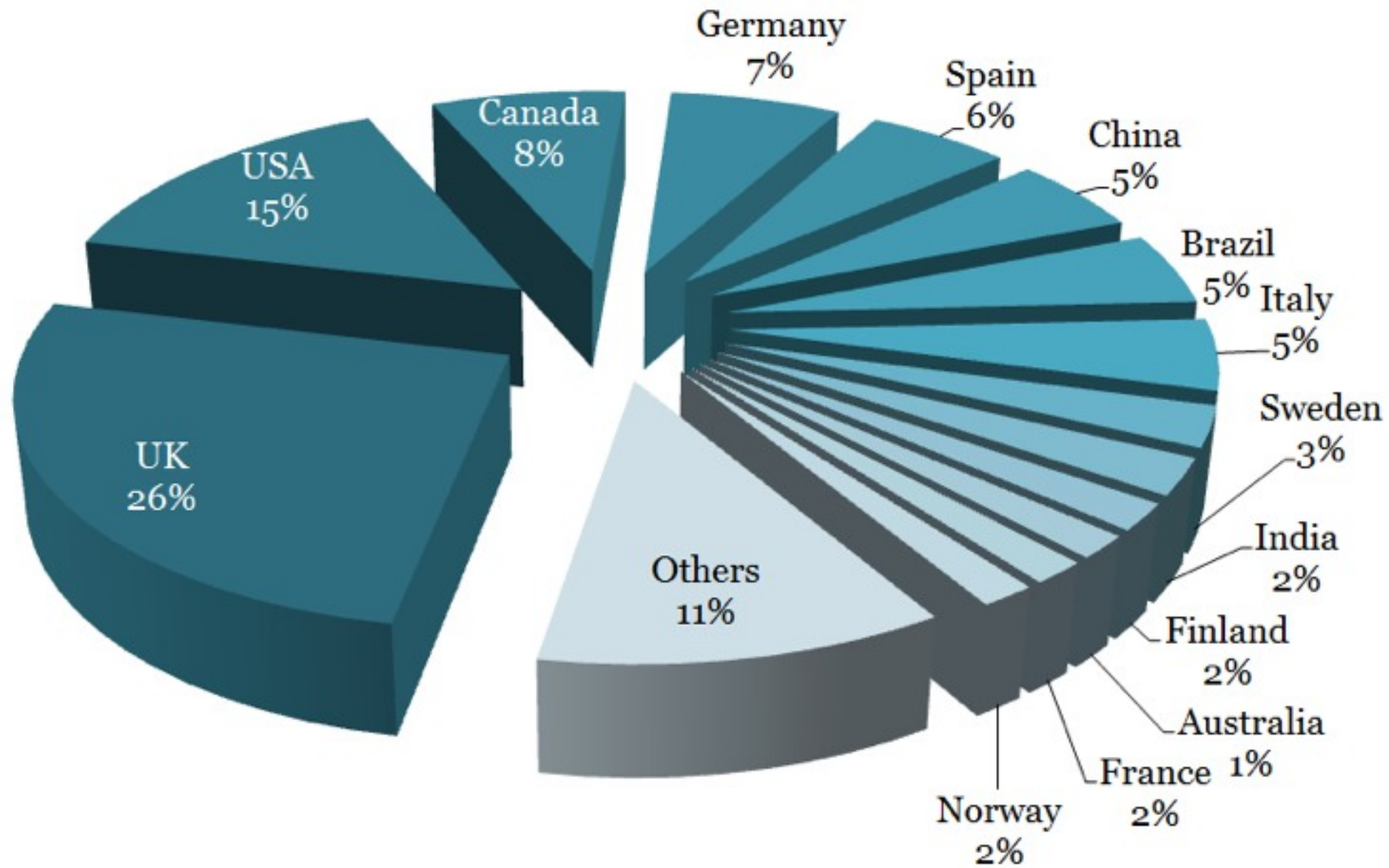




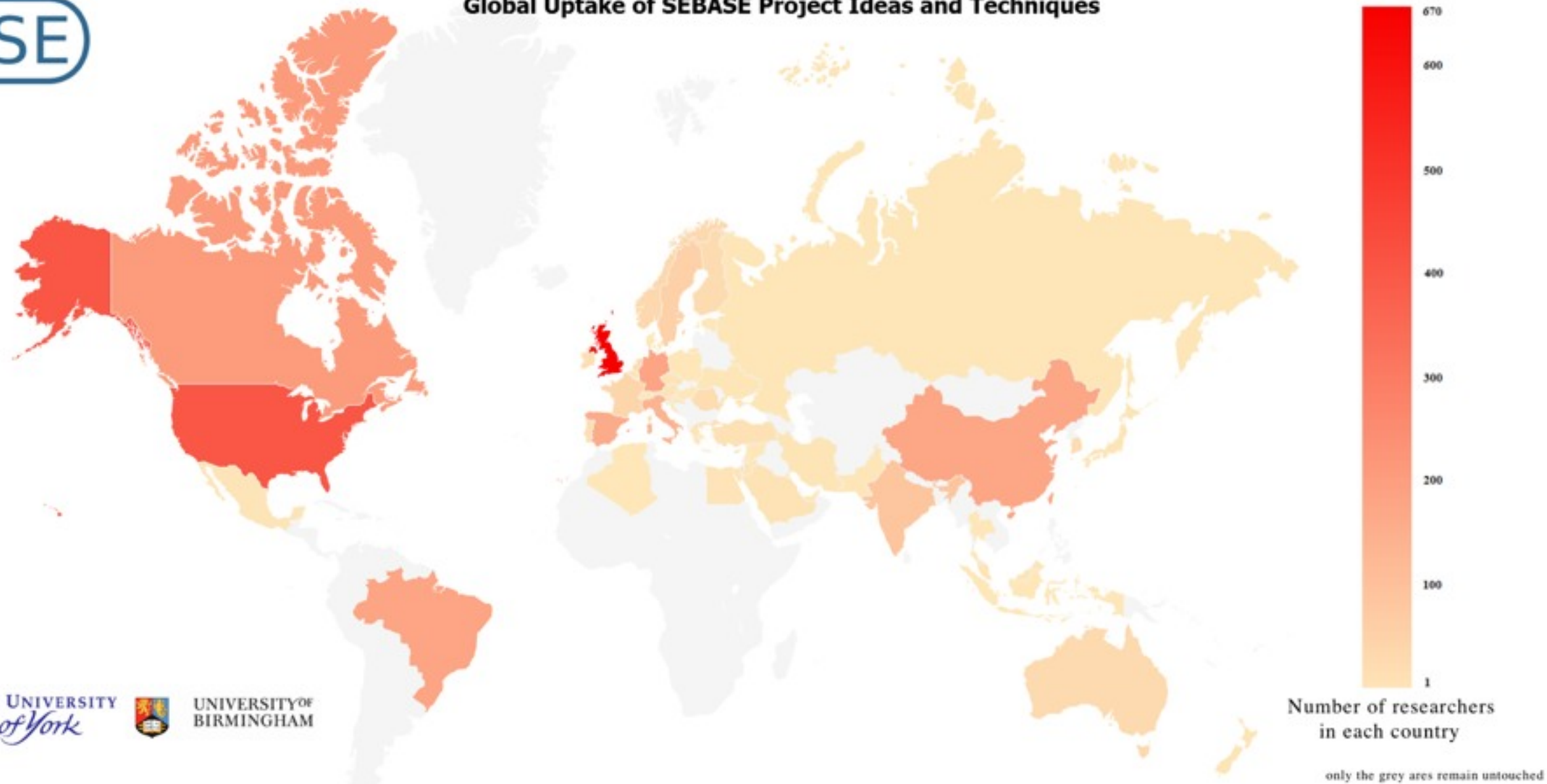




## Percentage of Paper Number









# The First Chinese SBSE Workshop

## WESB 2012

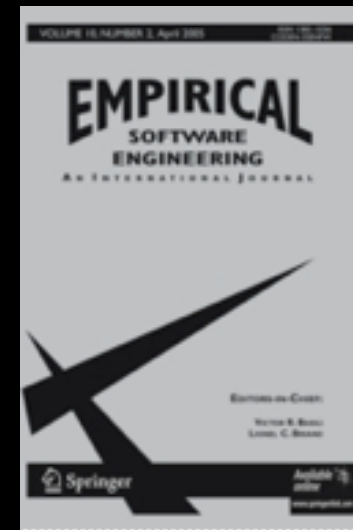
3º Workshop de Engenharia de Software Baseada em Buscas

23 de Setembro de 2012 | Natal-RN-Brasil



## 4th Symposium on Search Based Software Engineering

September 28th - 30th, 2012  
Riva del Garda | Trento | Italy



4th International Workshop on

## Search-Based Software Testing

March, 2011, Berlin, Germany

In conjunction with ICST 2011

IEEE International Conference on Testing, Verification and Validation



# The First Chinese SBSE Workshop

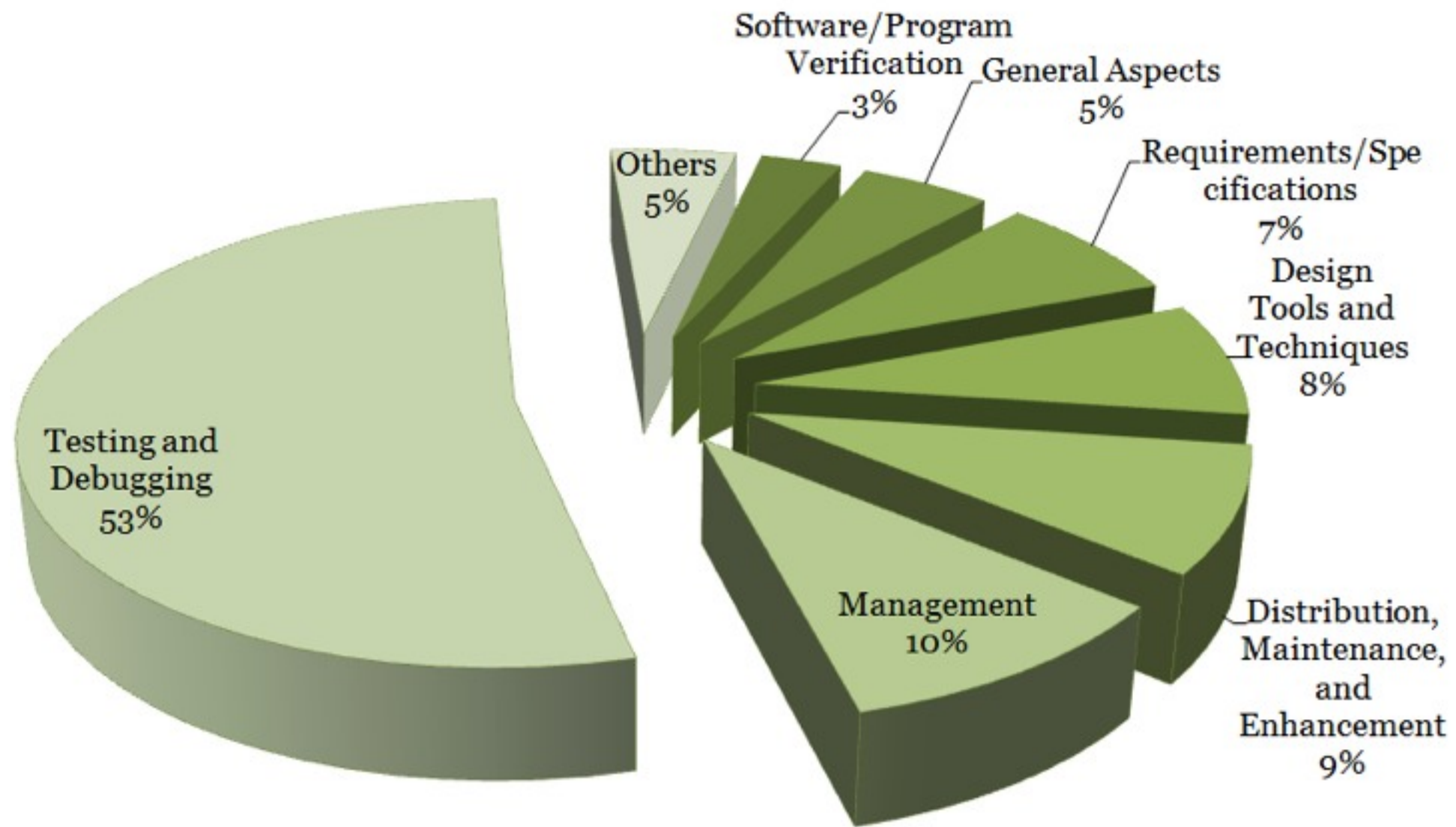




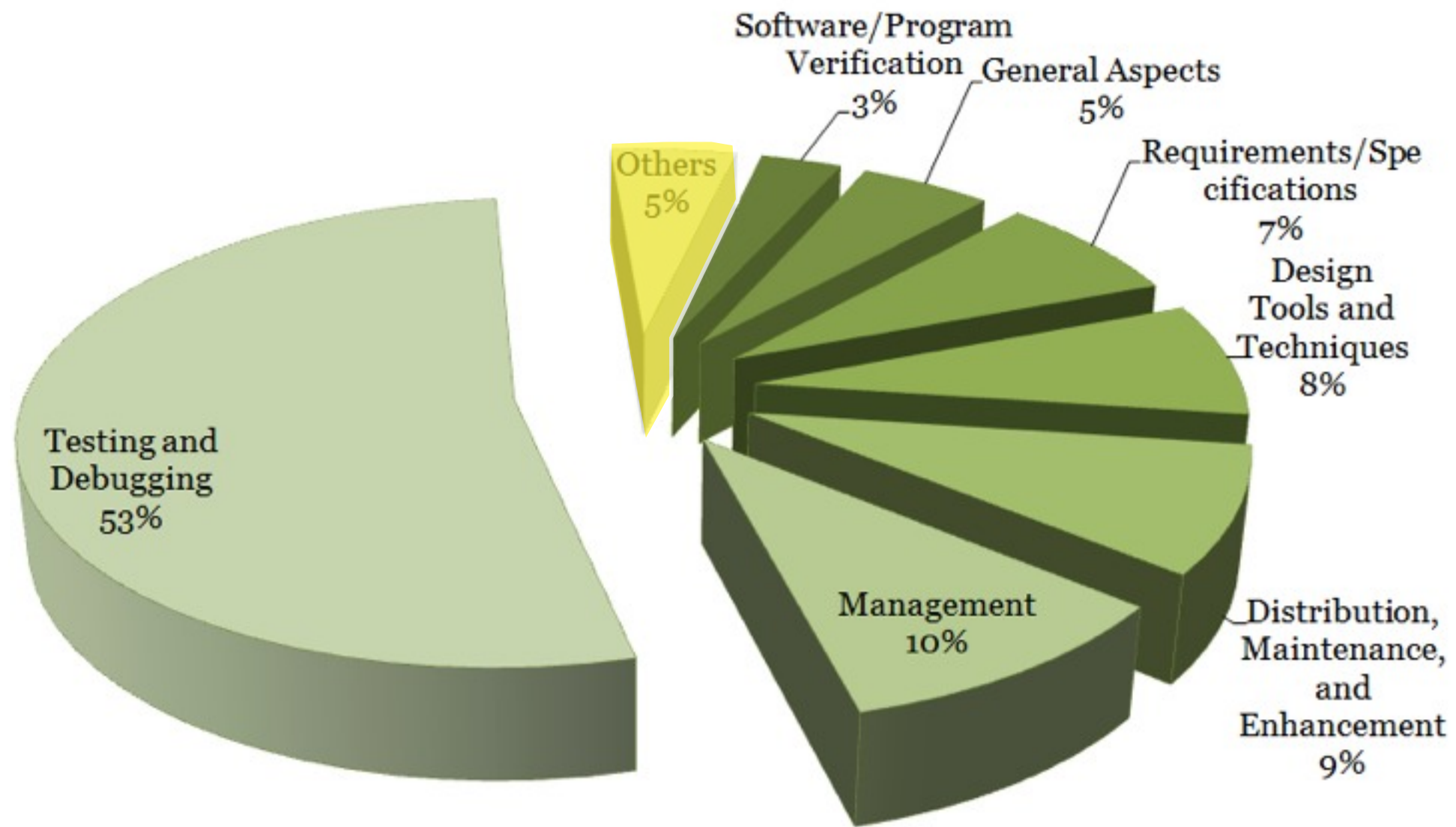
# SE Topic coverage



## Percentage of Paper Number



# Percentage of Paper Number





Just some of the many  
SBSE applications



# Just some of the many SBSE applications

Agent Oriented  
Aspect Oriented  
Assertion Generation  
Bug Fixing  
Component Oriented  
Design  
Effort Estimation  
Heap Optimisation  
Model Checking  
Predictive Modelling  
Probe distribution  
Program Analysis  
Program Comprehension  
Program Transformation  
Project Management  
Protocol Optimisation  
QoS  
Refactoring  
Regression Testing  
Requirements  
Reverse Engineering  
SOA  
Software Maintenance and Evolution  
Test Generation  
UIO generation

# Tutorial Paper

Mark Harman, Phil McMinn, Jerffeson Teixeira de Souza and Shin Yoo.  
Search Based Software Engineering: Techniques, Taxonomy, Tutorial.

*in* LNCS 7007.

Editors: Bertrand Meyer and Martin Nordio.

google: search based software engineering tutorial

PDF also freely available on my website



# Dynamic Adaptive SBSE

Compile SBSE into deployed Software



# Dynamic Adaptive SBSE

Compile SBSE into deployed Software



# Dynamic Adaptive SBSE

Compile SBSE into deployed Software

functional vs. non functional





# Requirements



# Functional Requirements

# Non-Functional Requirements

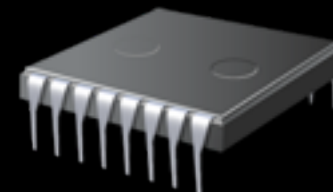


# Functional Requirements

# Non-Functional Requirements



Execution Time



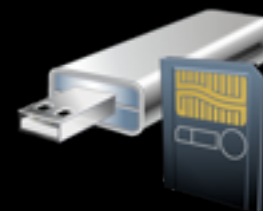
Memory



Bandwidth



Battery



Size



# Functional Requirements

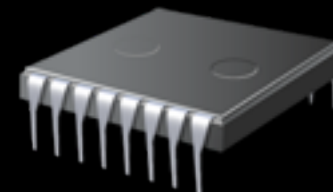
# Non-Functional Requirements



functionality of  
the Program



Execution Time



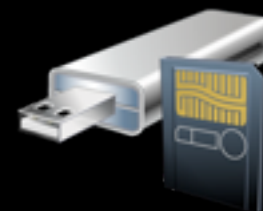
Memory



Bandwidth

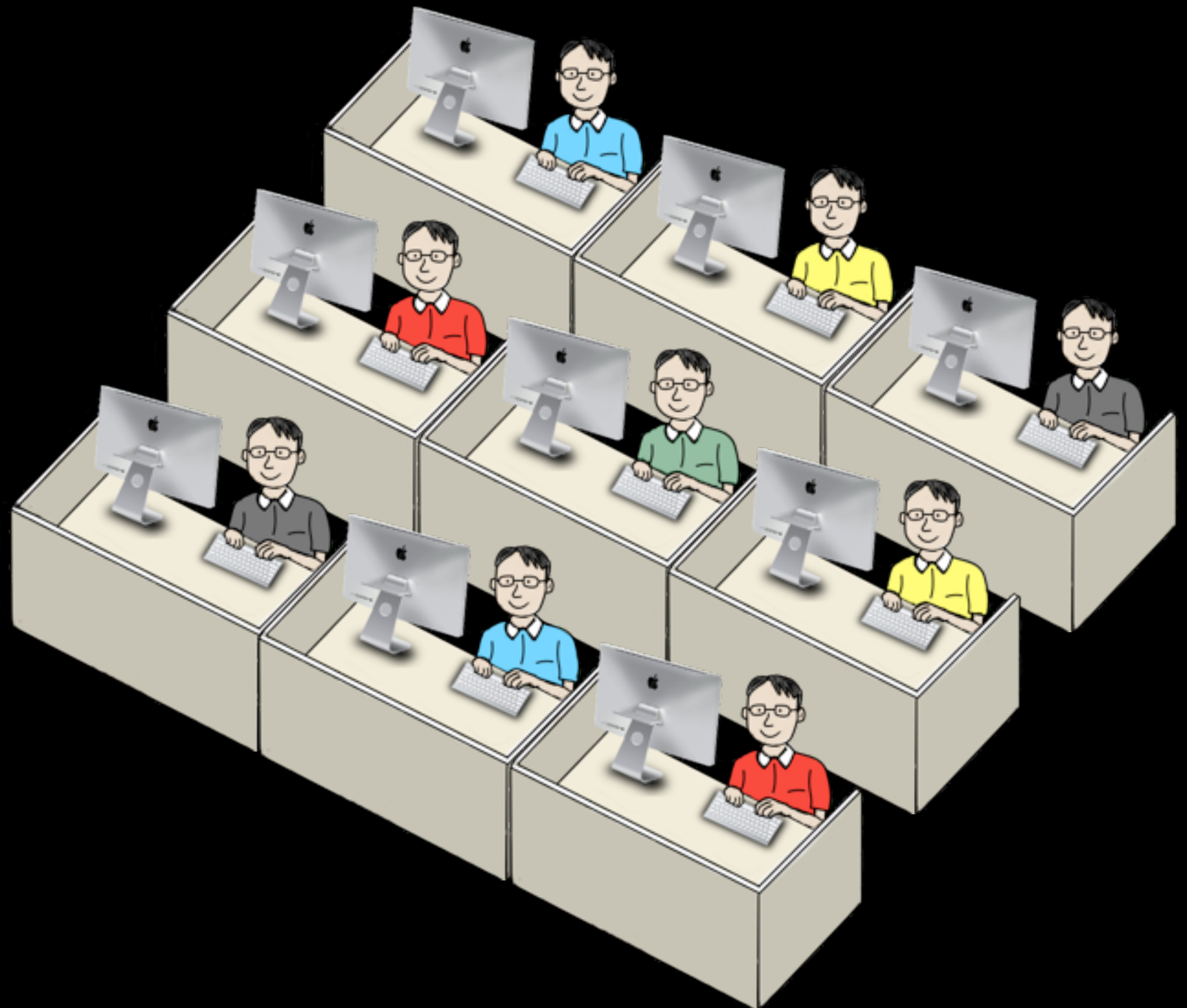


Battery

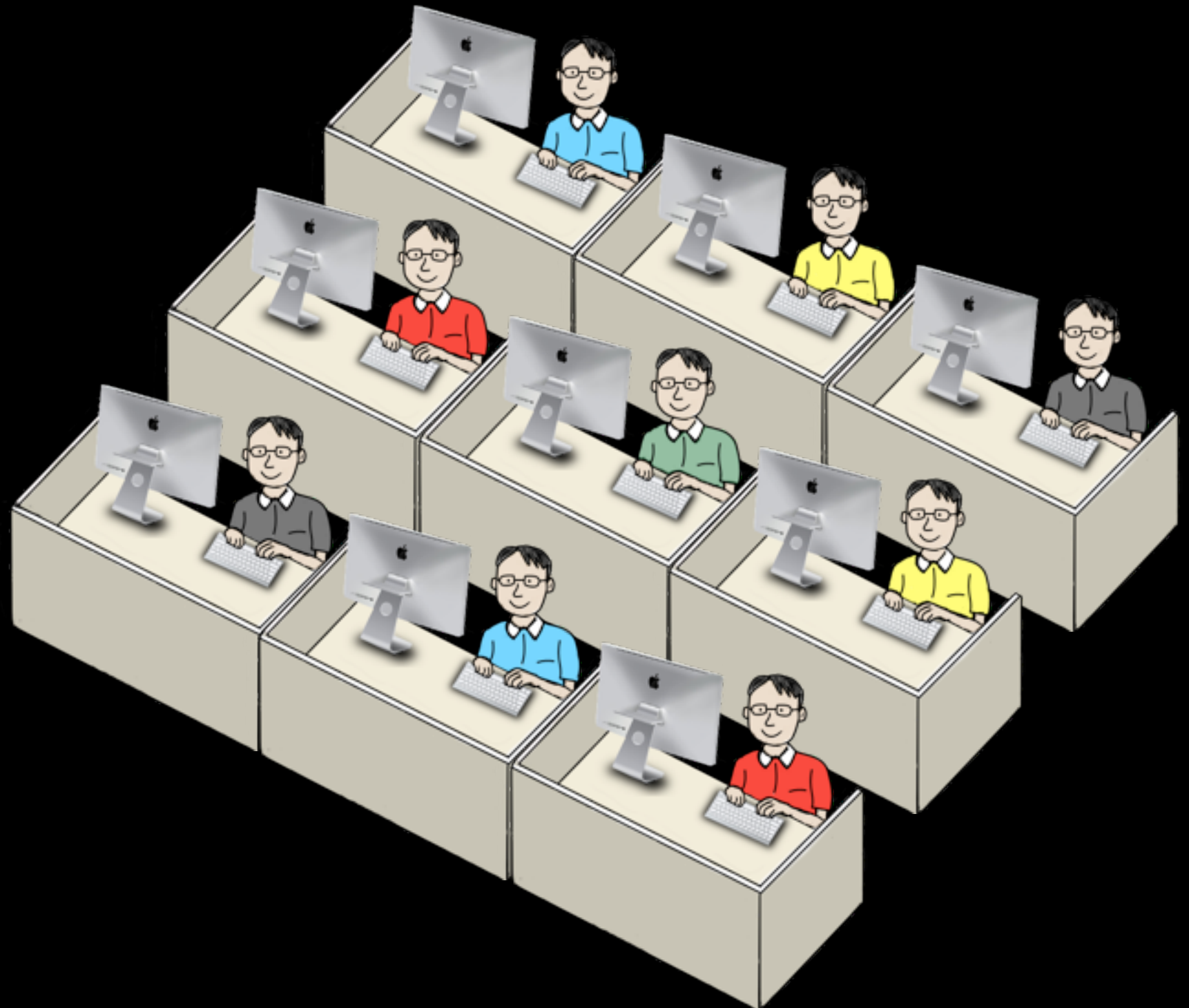


Size

# Software Design Process

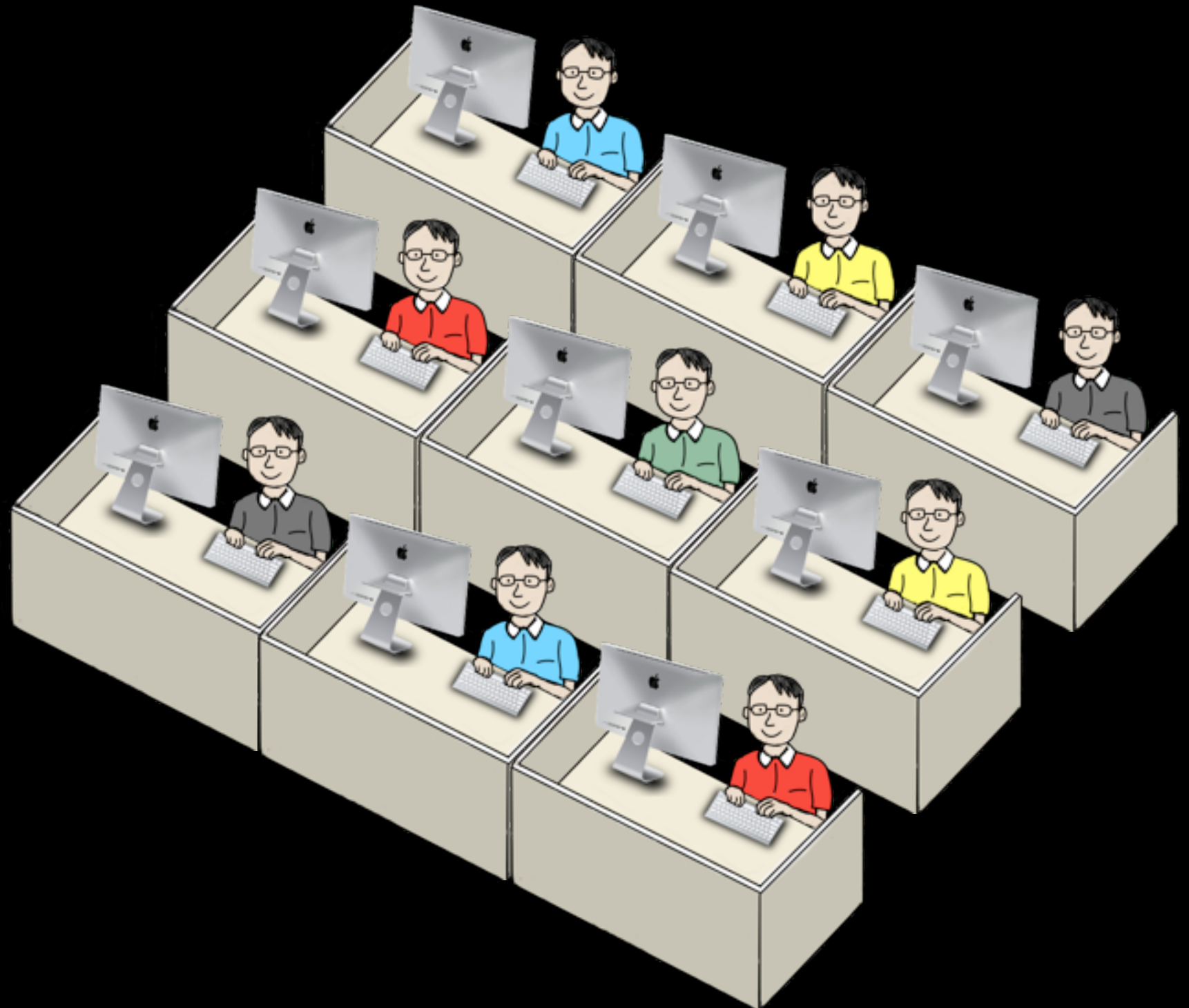


# Software Design Process

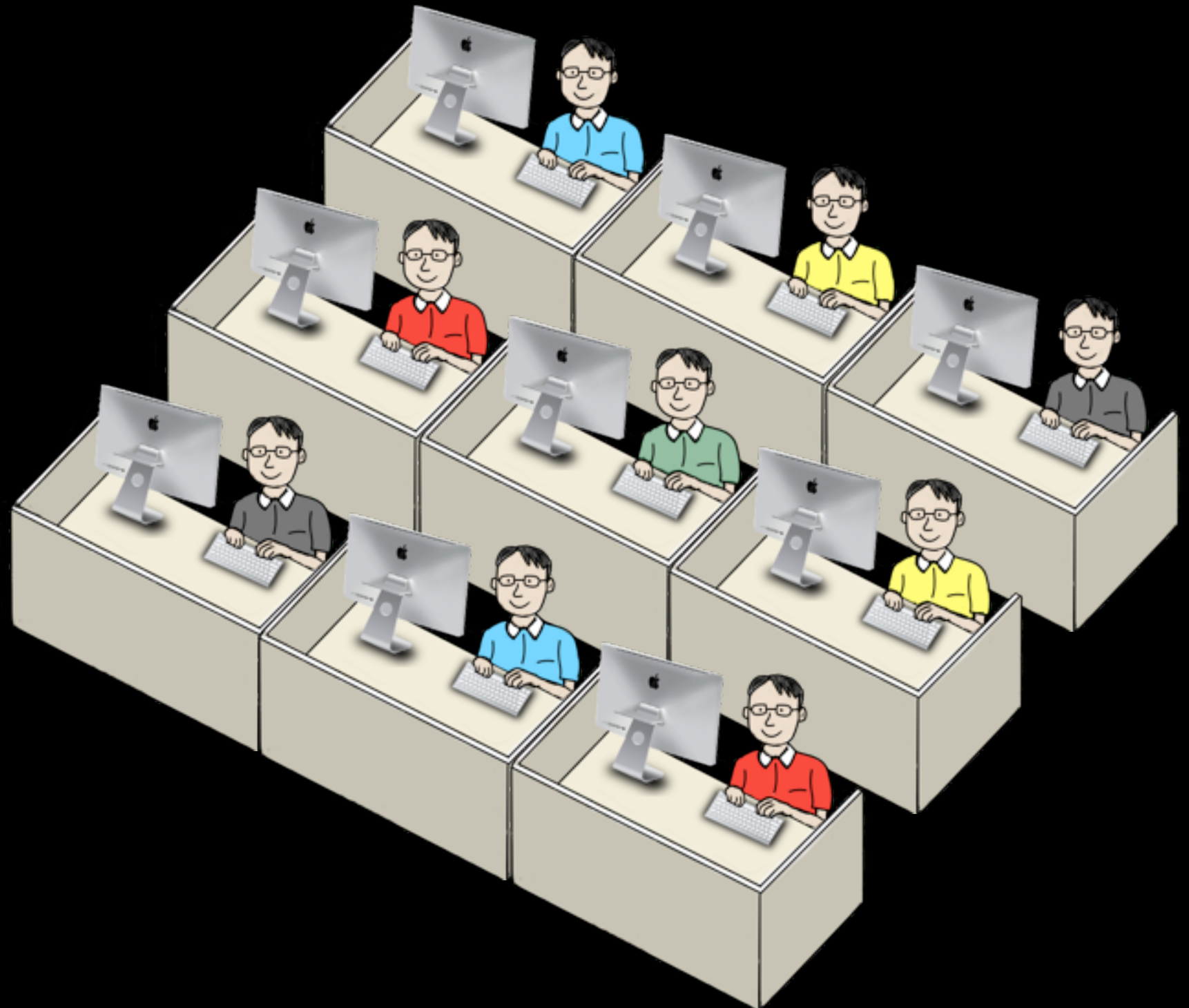




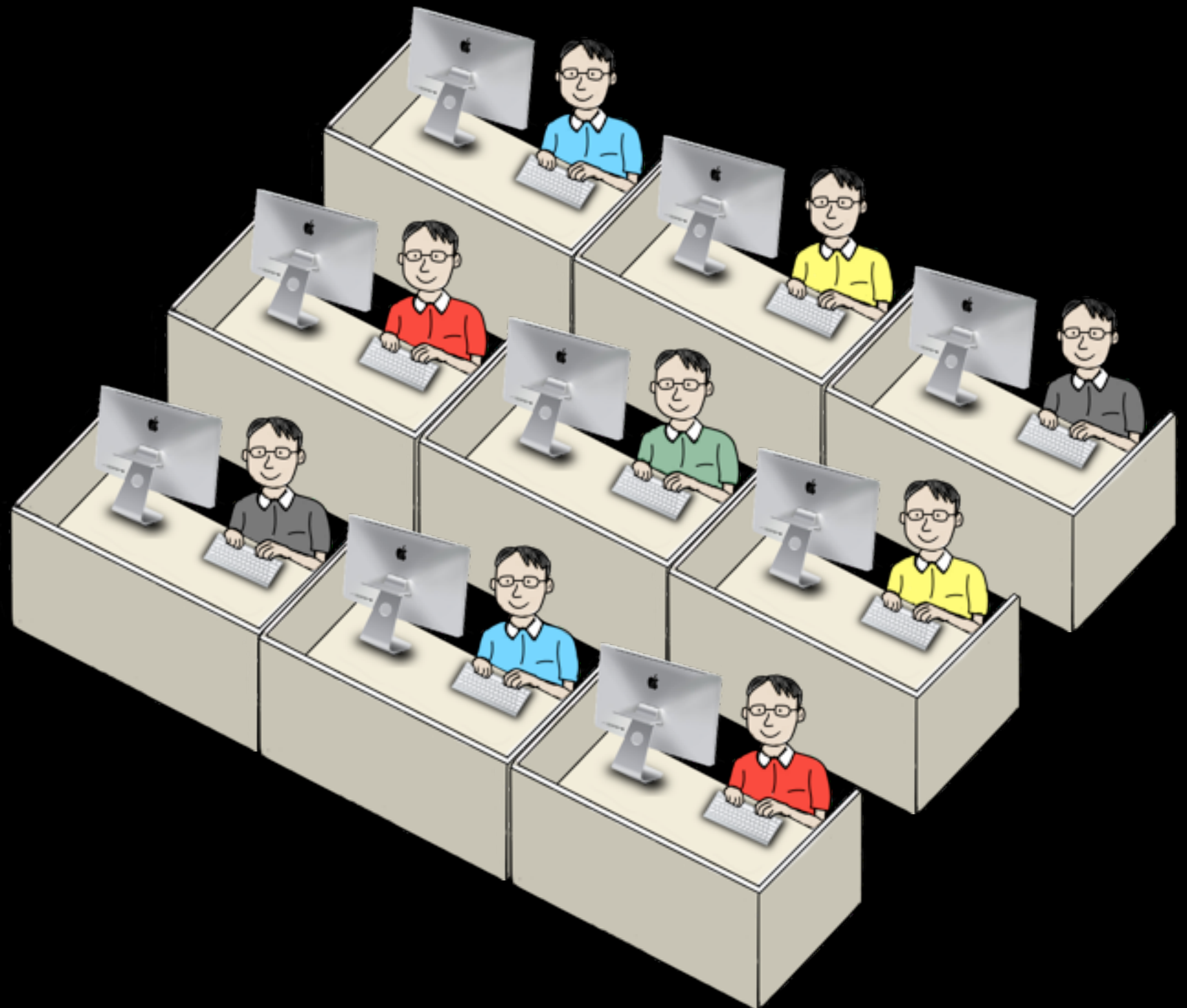
# Software Design Process



# Software Design Process

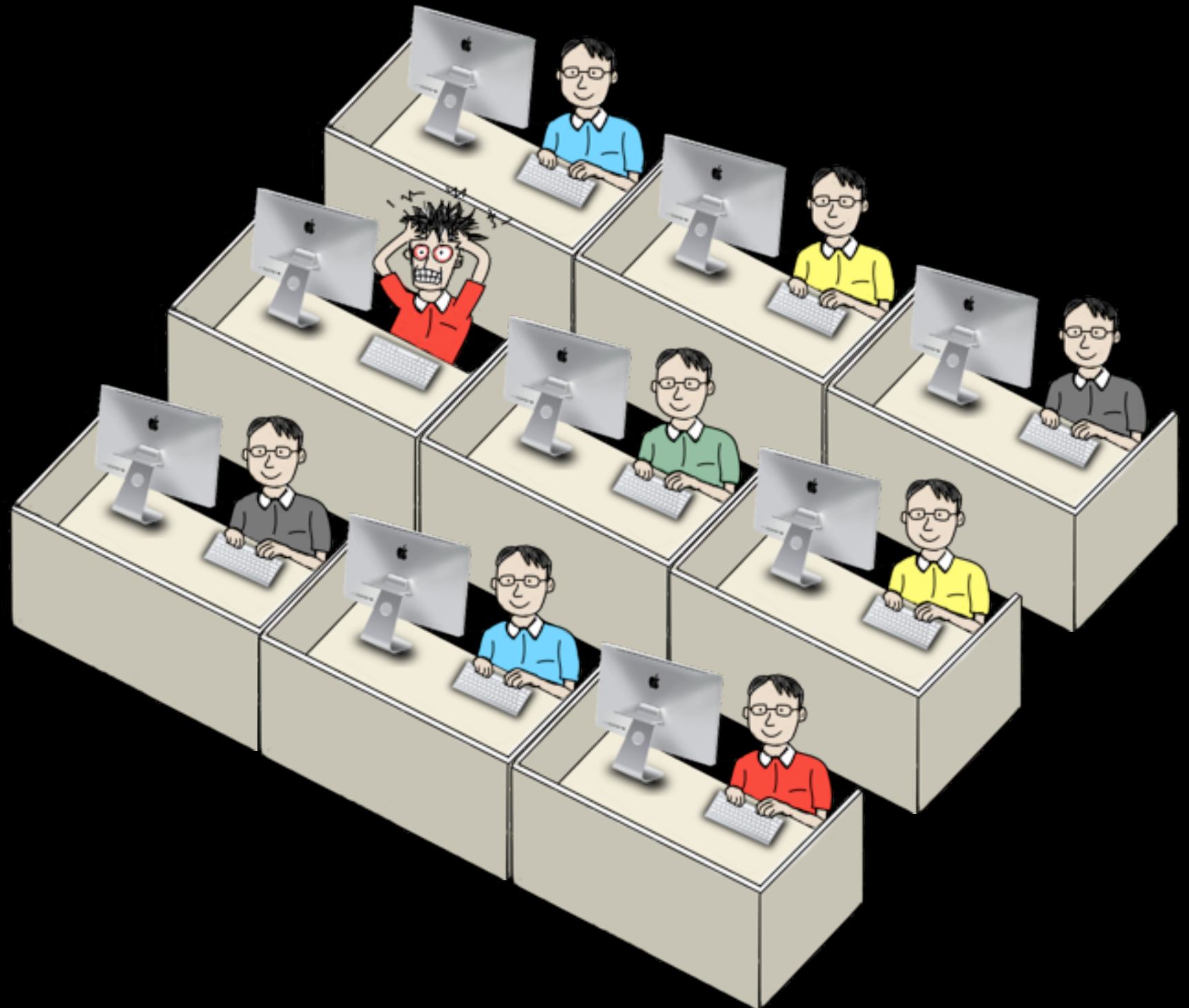


# Software Design Process

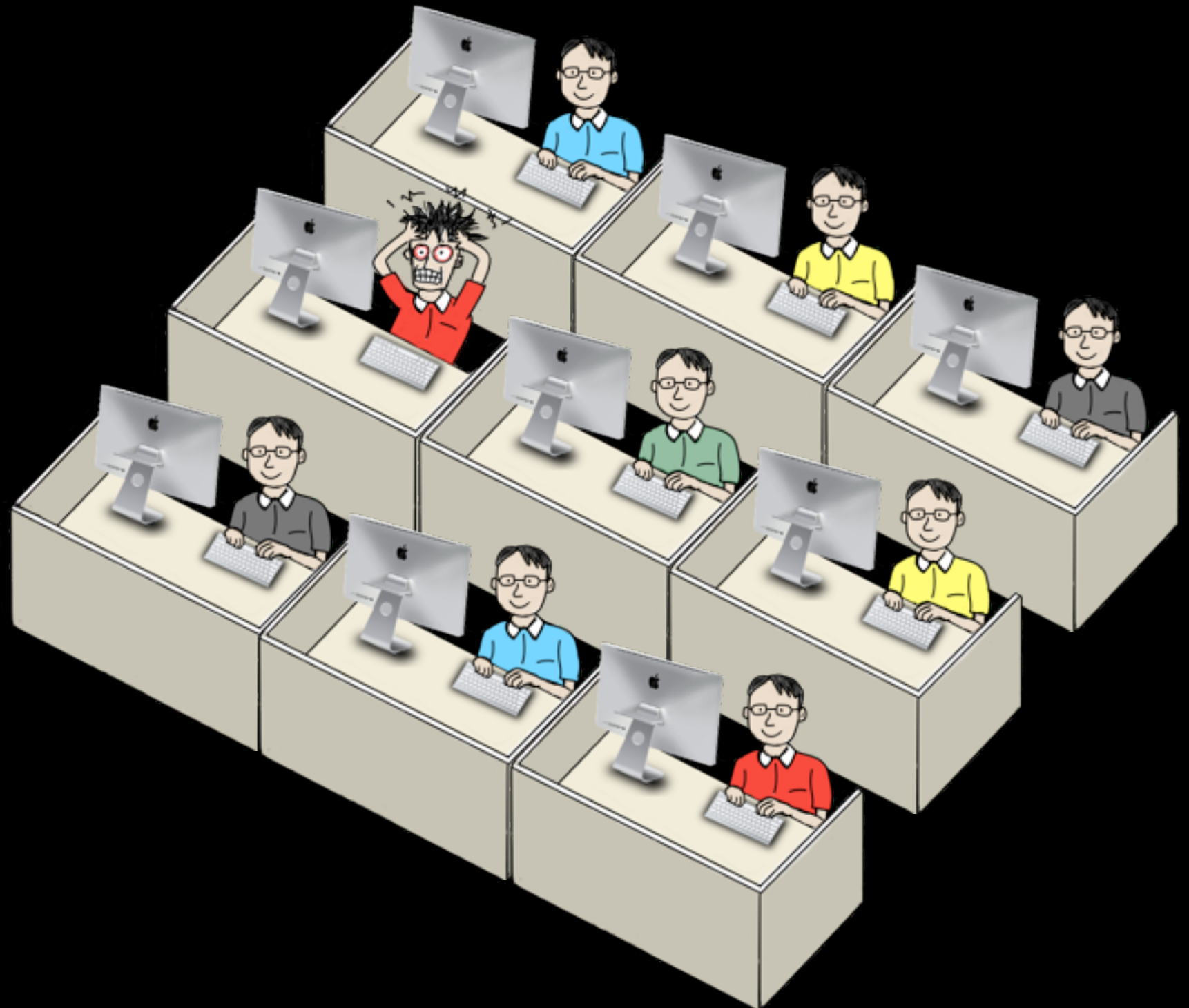




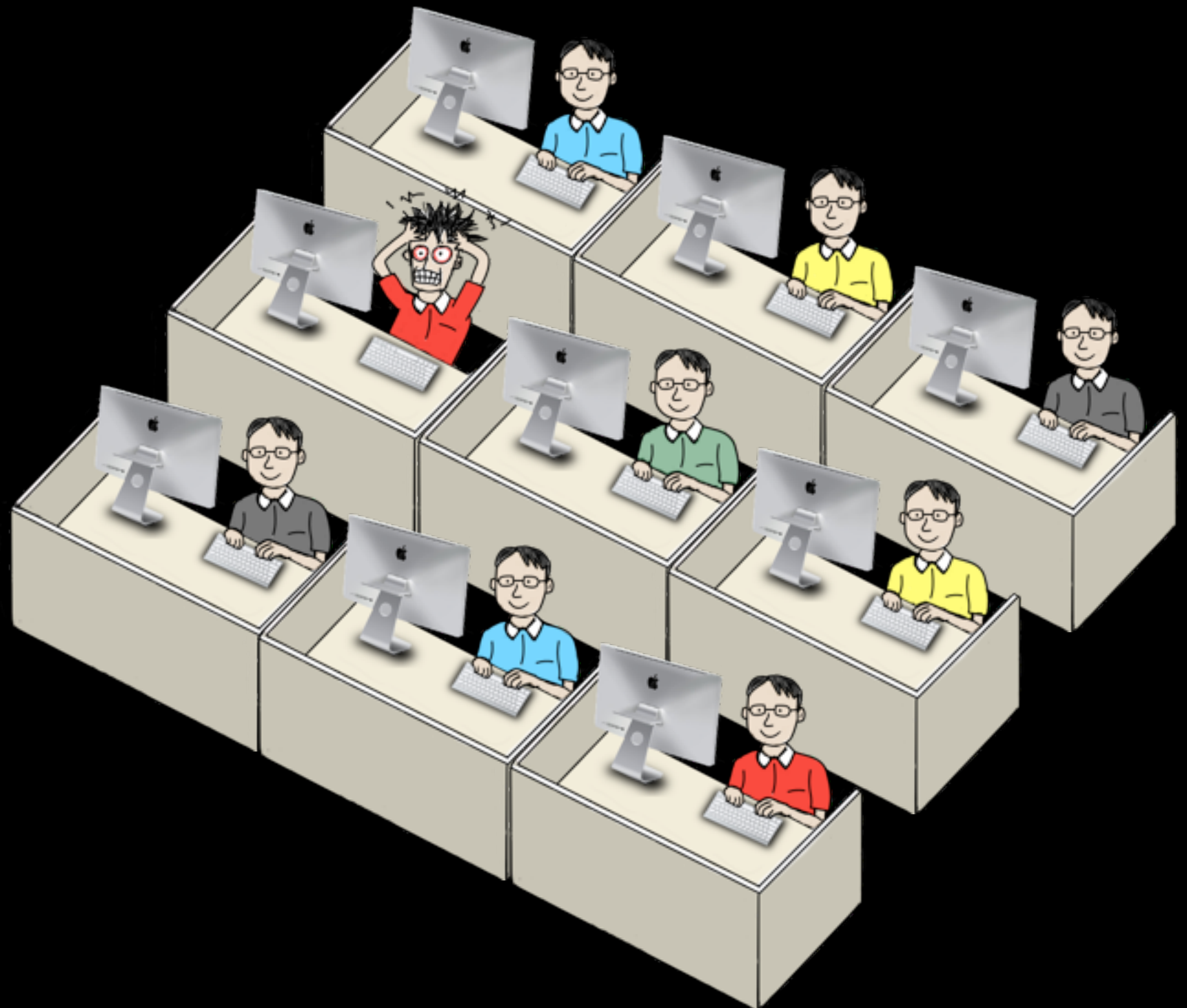
# Software Design Process



# Software Design Process

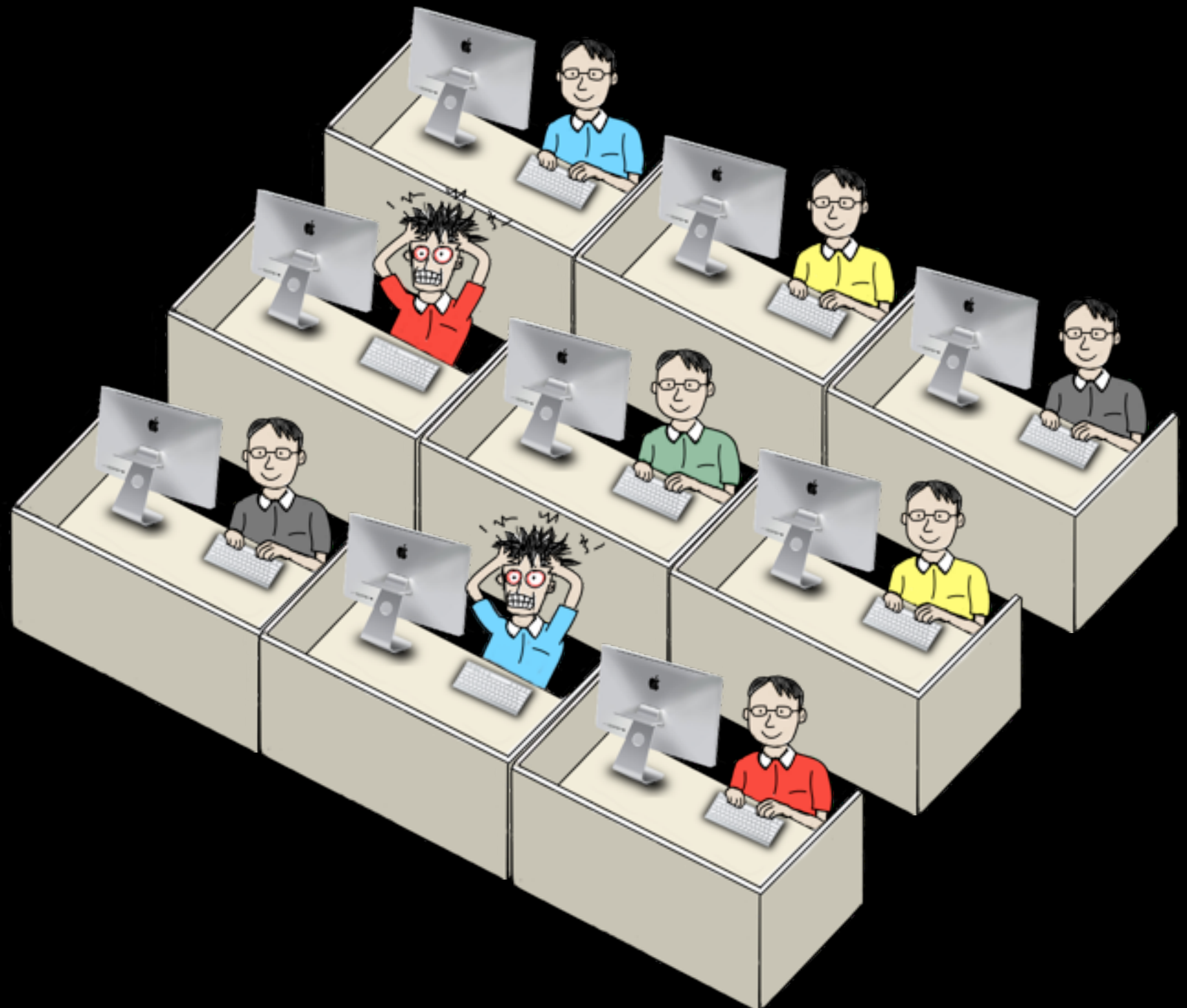


# Software Design Process

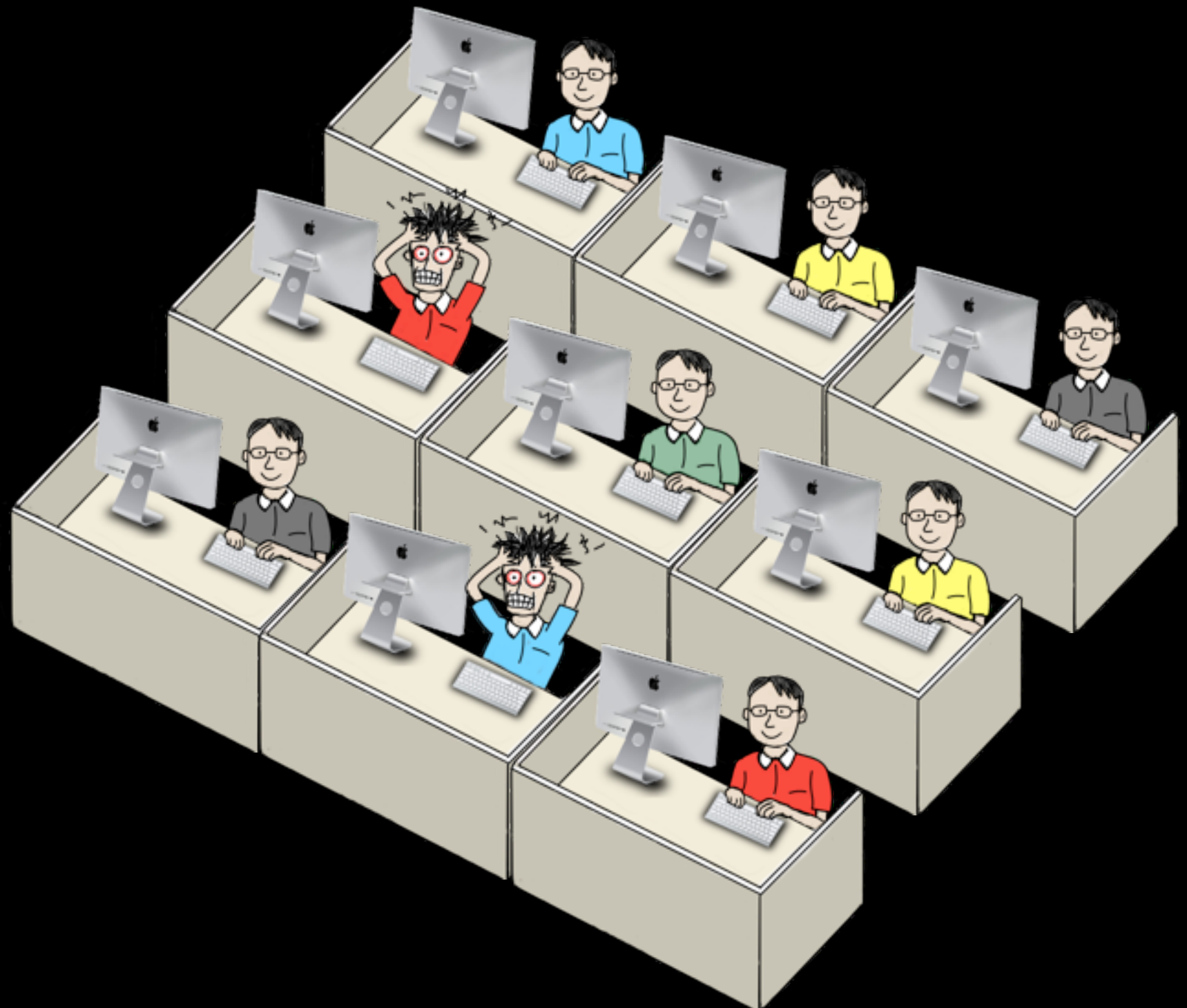




# Software Design Process

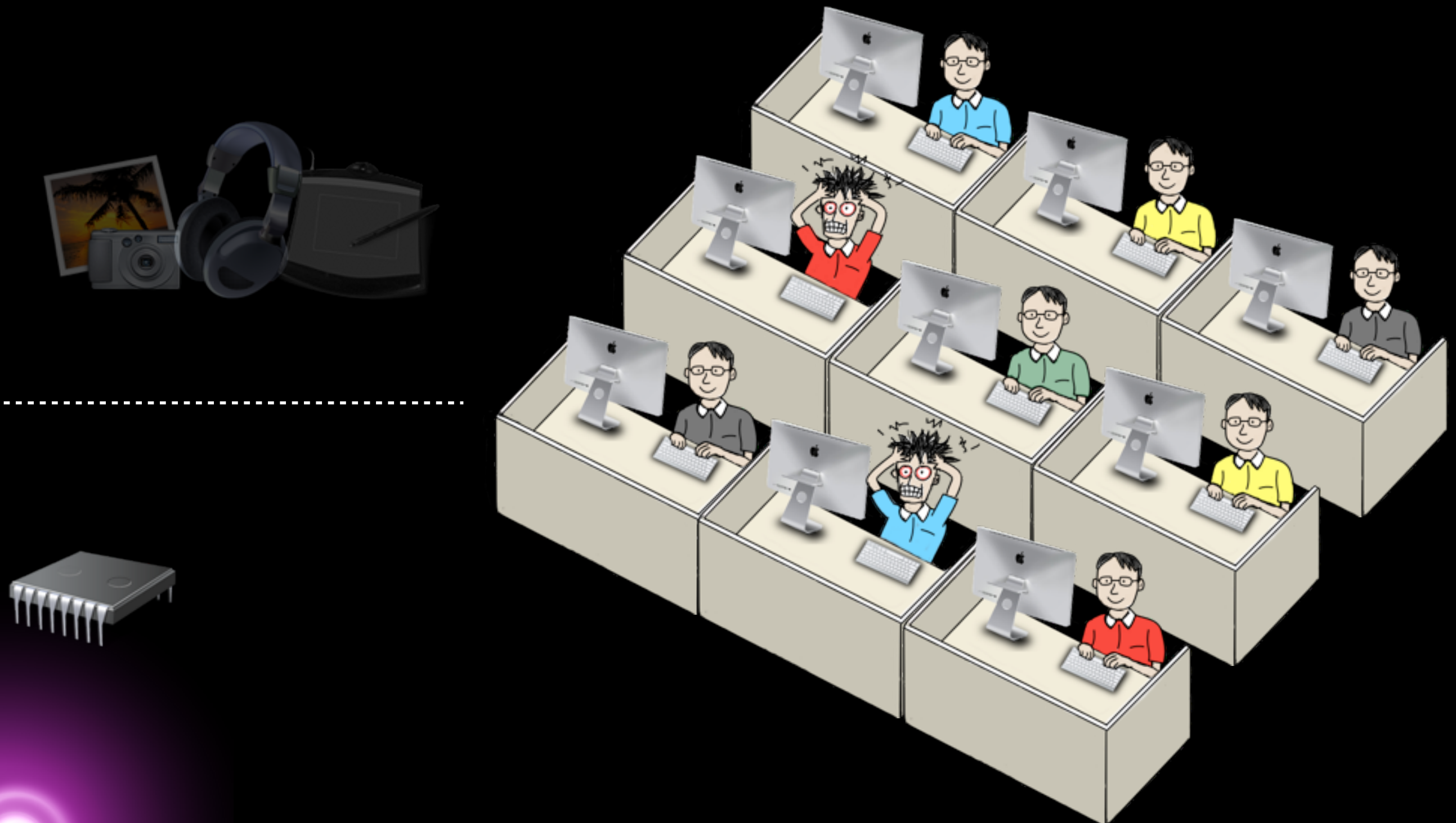


# Software Design Process

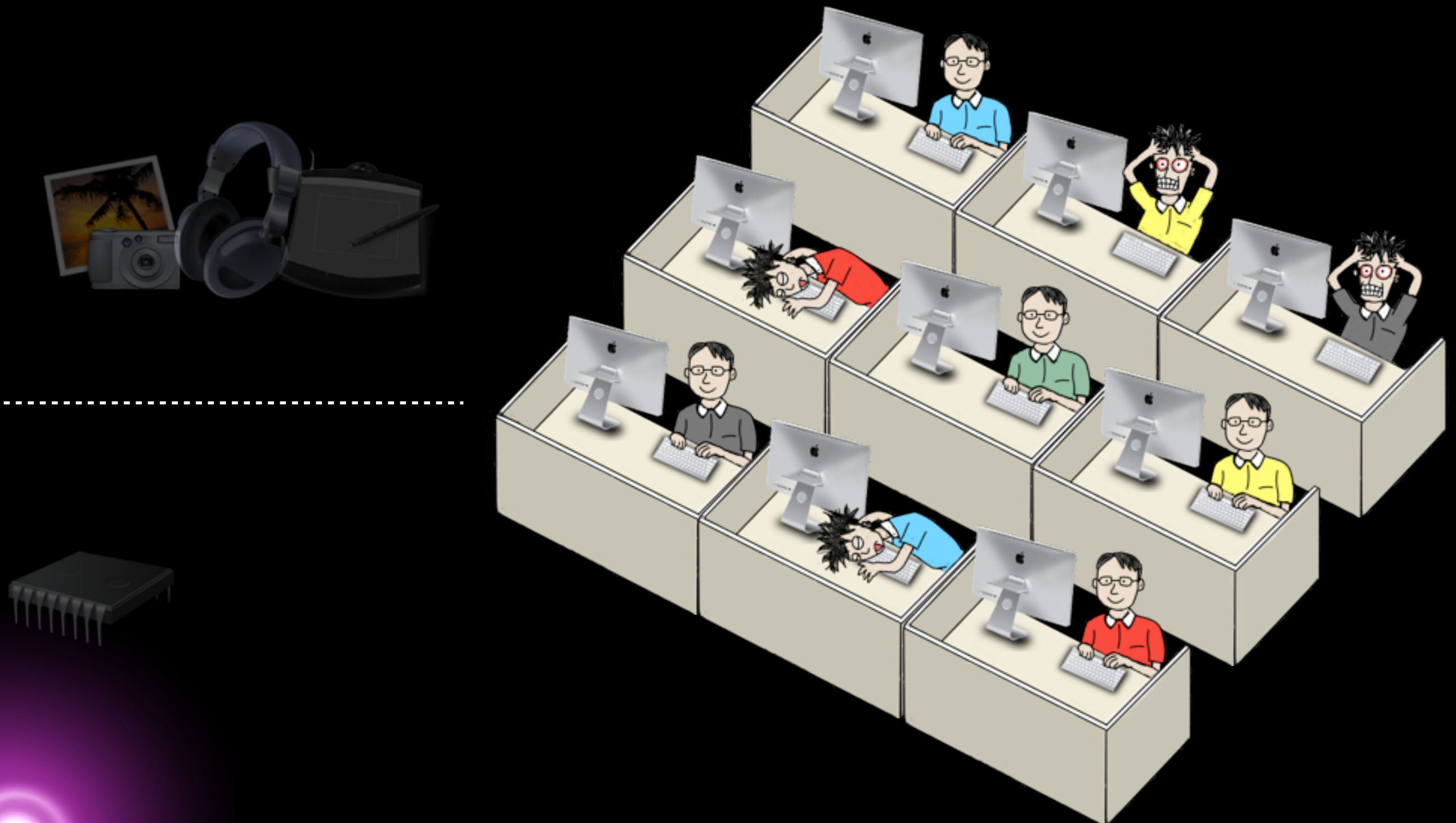




# Software Design Process

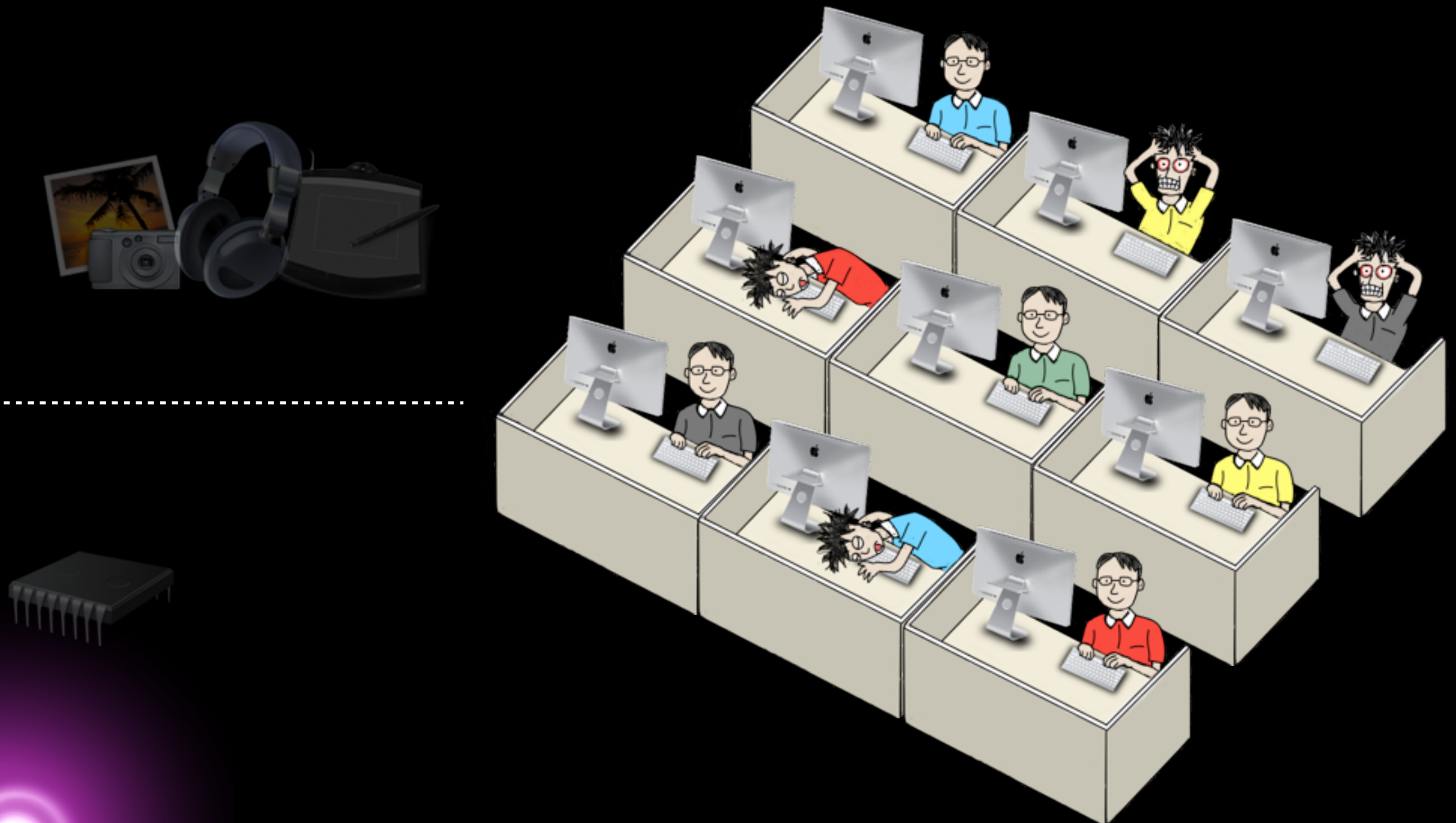


# Software Design Process



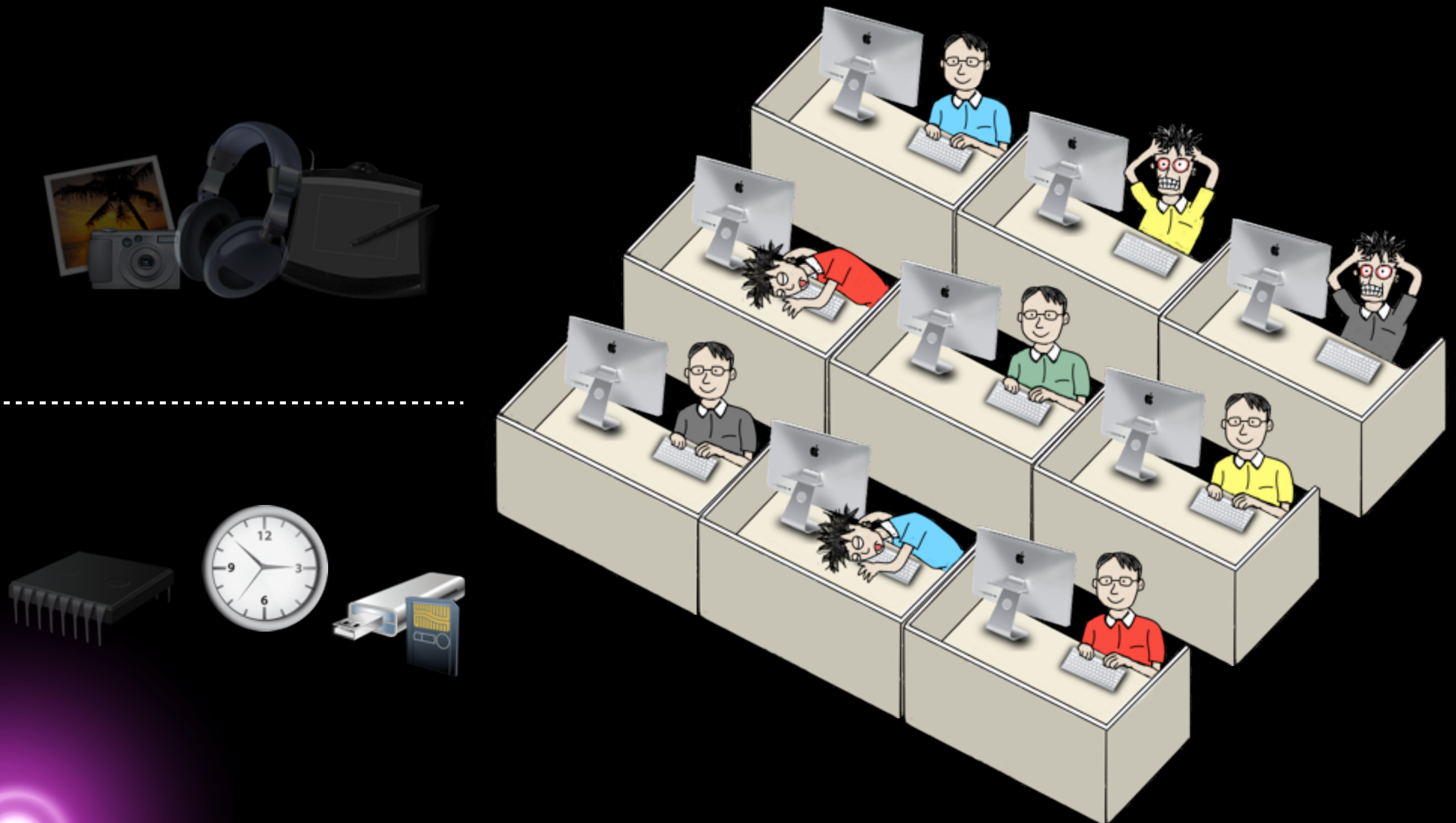


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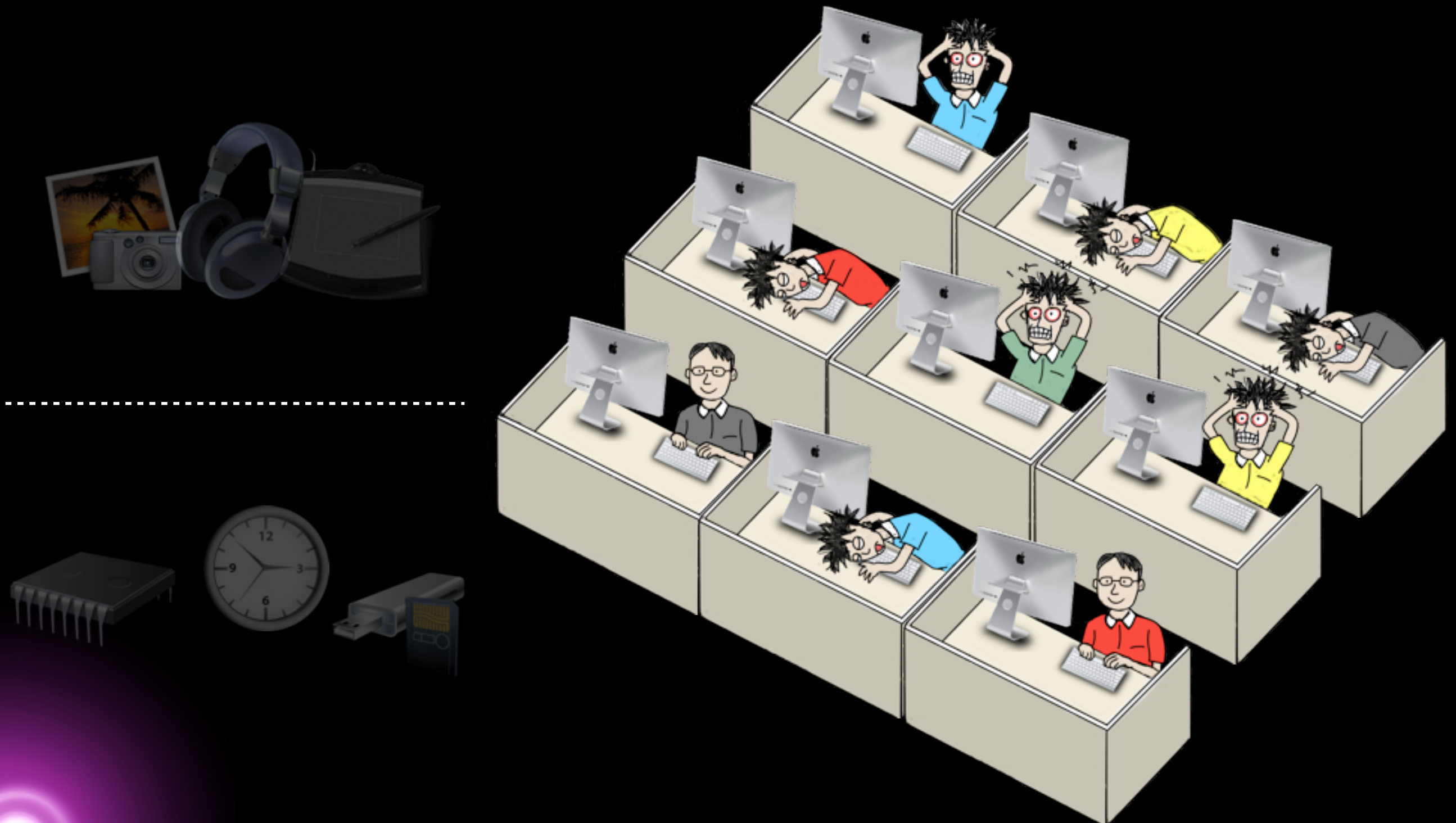




# Software Design Process

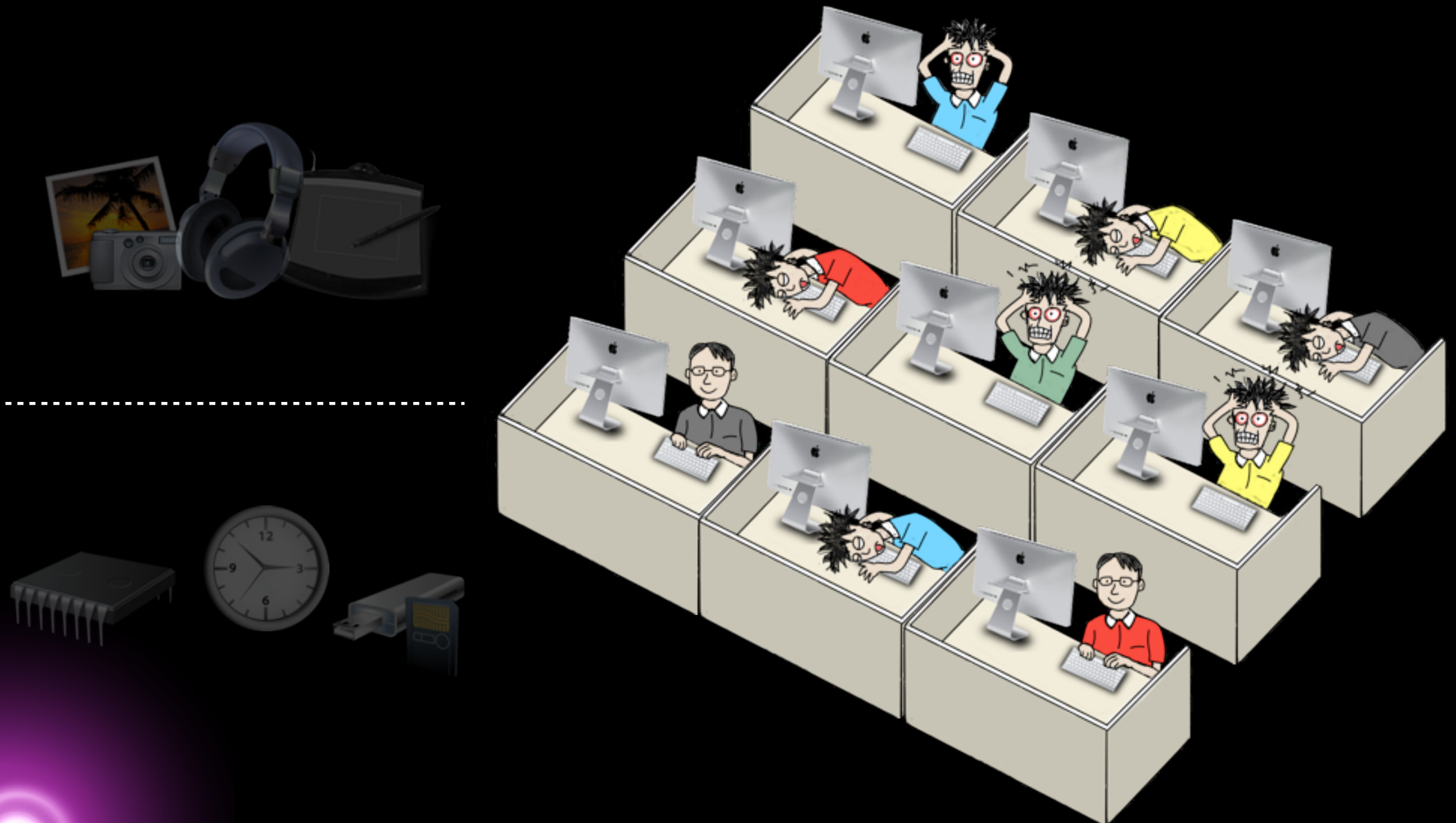


# Software Design Process

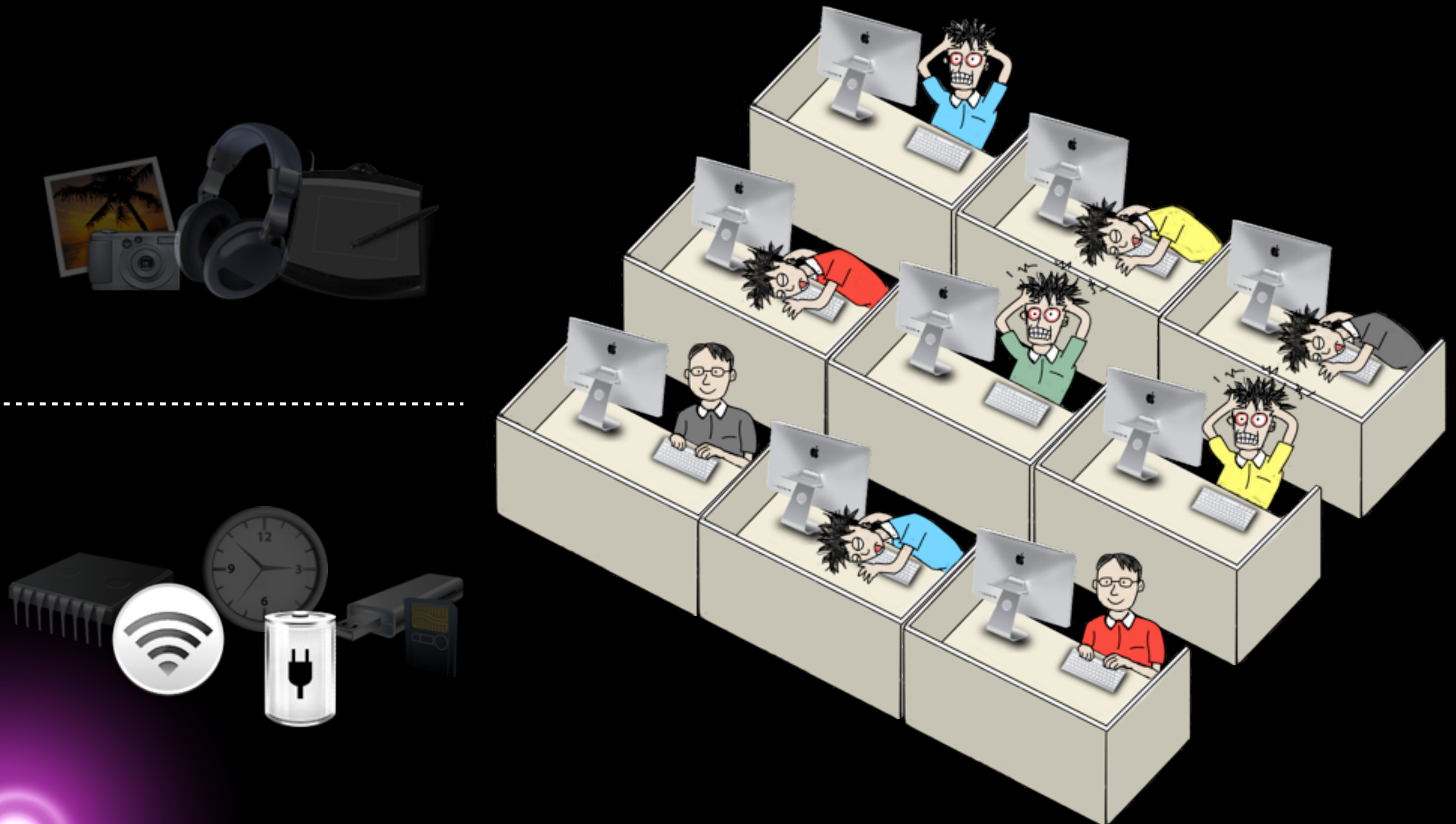




# Software Design Process

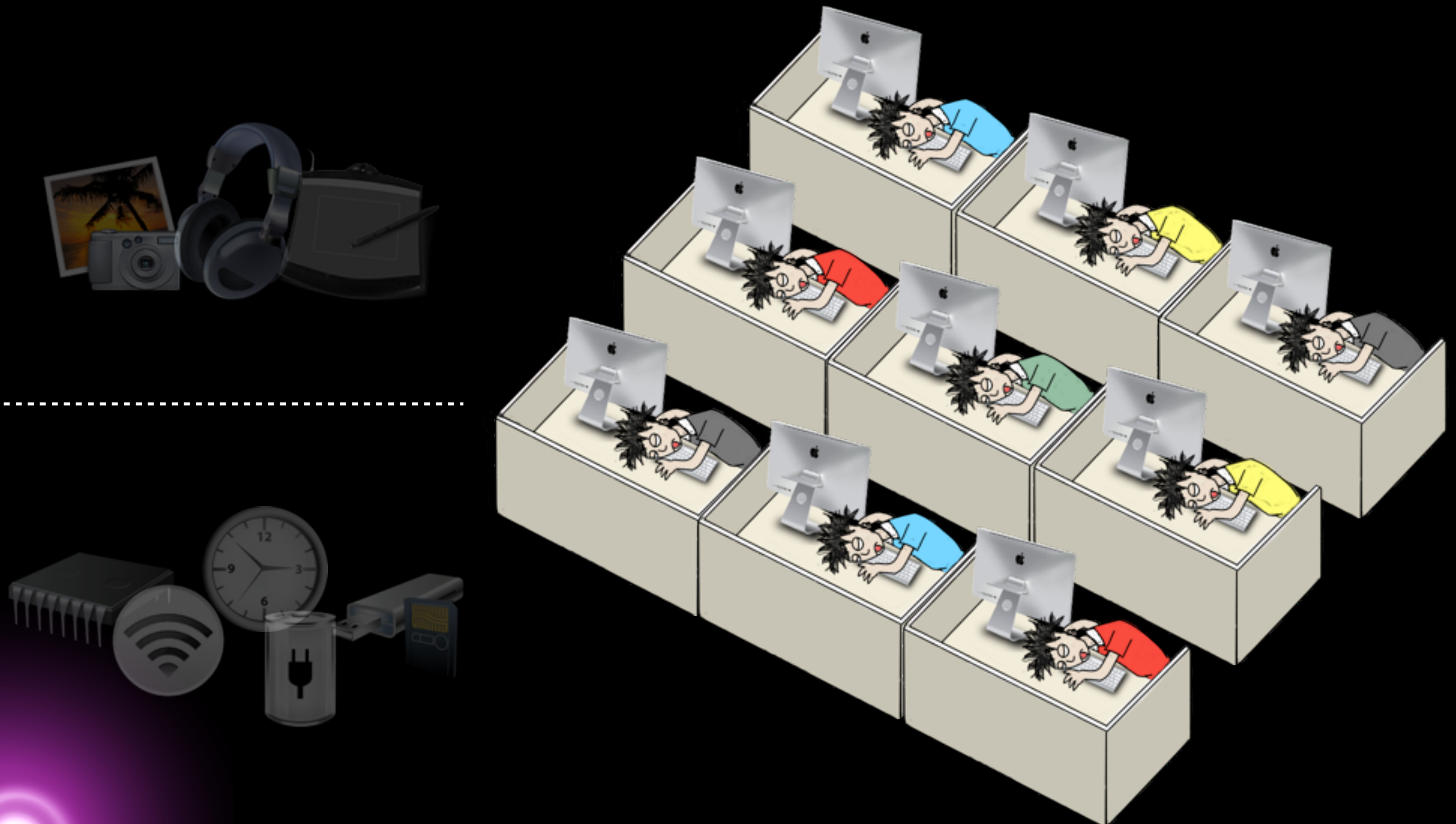


# Software Design Process





# Software Design Process

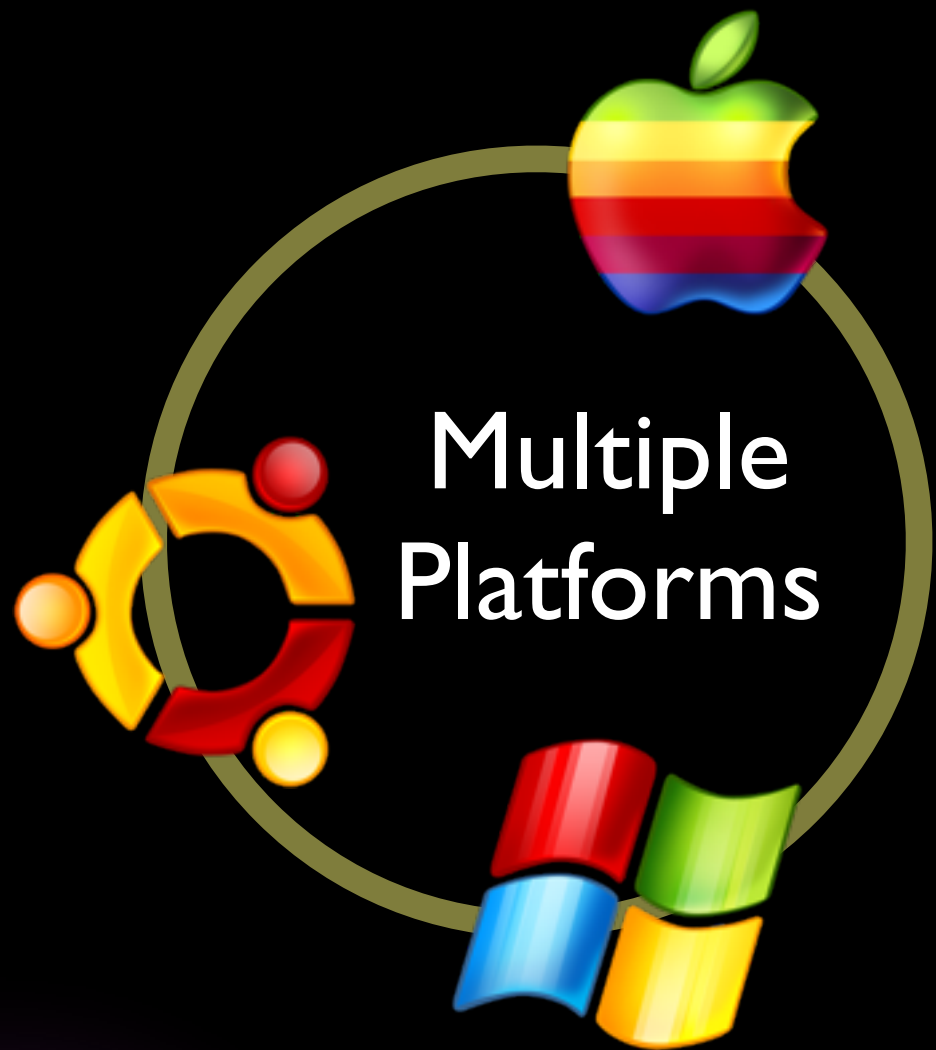




# Multiplicity



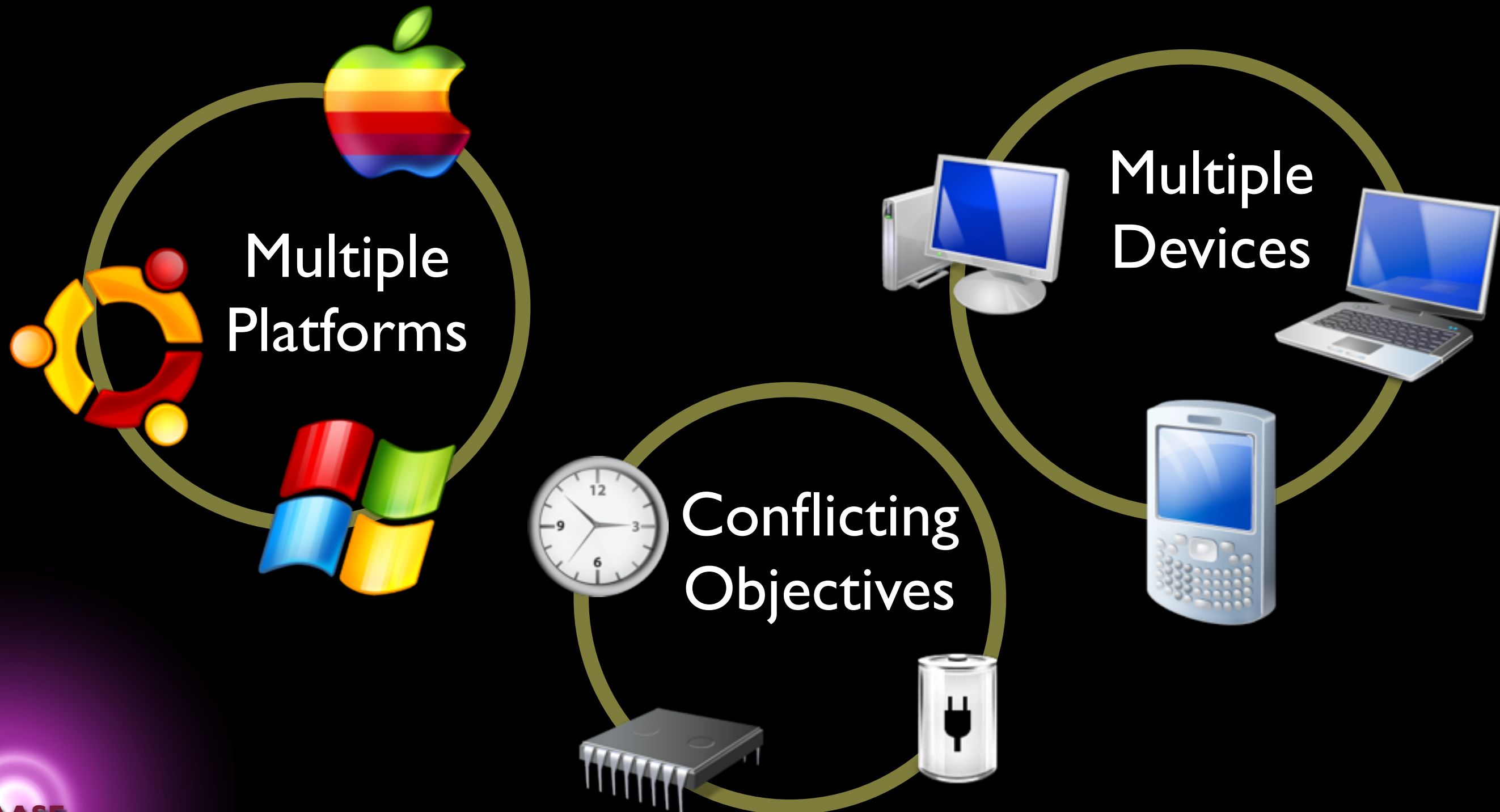
# Multiplicity



# Multiplicity



# Multiplicity



# Why is the programmer human?





# Which requirements must be human coded ?



# Which requirements must be human coded ?

Functional  
Requirements



Non-Functional  
Requirements



# Which requirements must be human coded ?

Functional  
Requirements



humans have to  
define these

Non-Functional  
Requirements



# Which requirements must be human coded ?

Functional  
Requirements



humans have to  
define these

Non-Functional  
Requirements



a machine can  
optimise these

# Which requirements are essential to human ?

Functional  
Requirements



humans have to  
define these

Non-Functional  
Requirements



a machine can  
optimise these



# Pickering's Harem





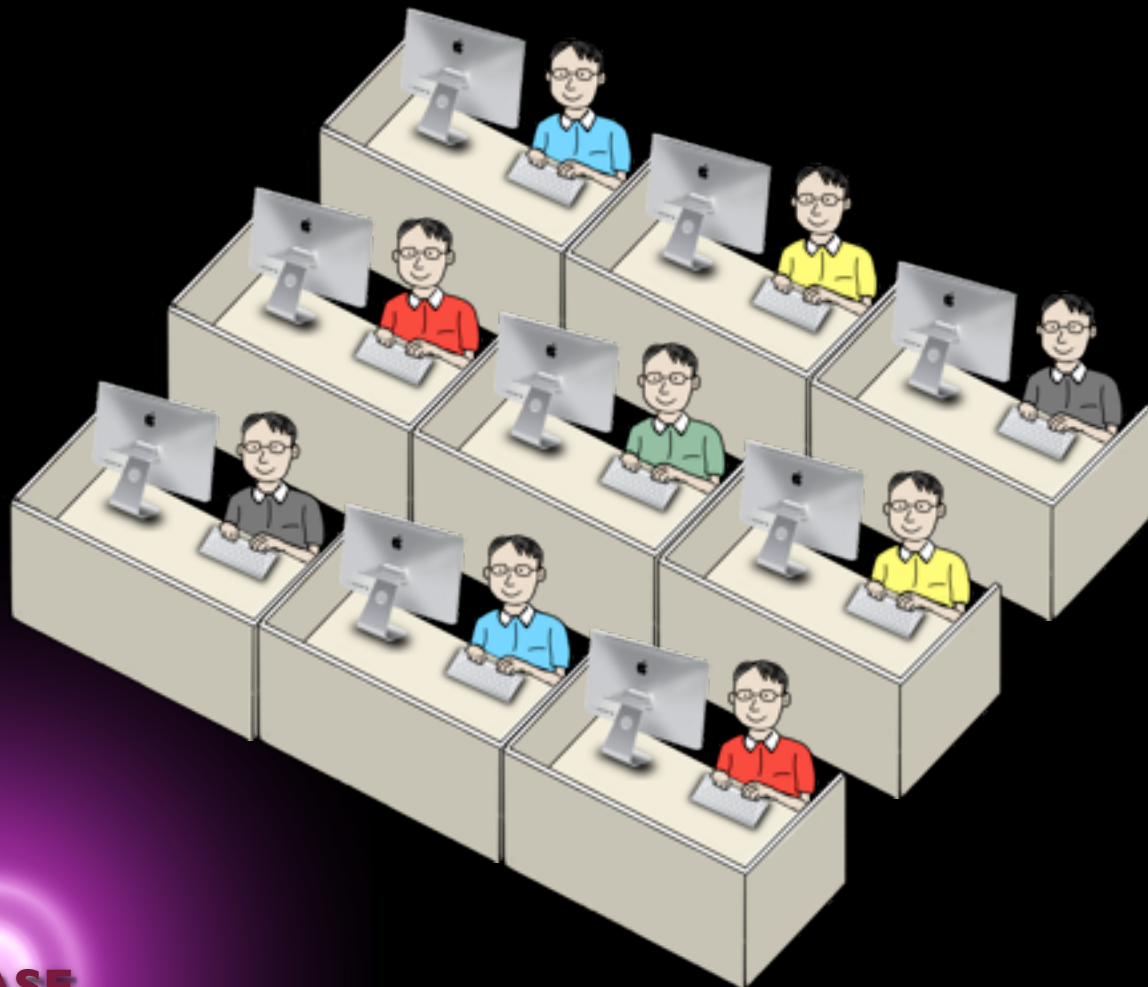
# Pickering's Harem

This is what  
computers looked like  
100 years ago



# Pickering's Harem

This is what  
computers looked like  
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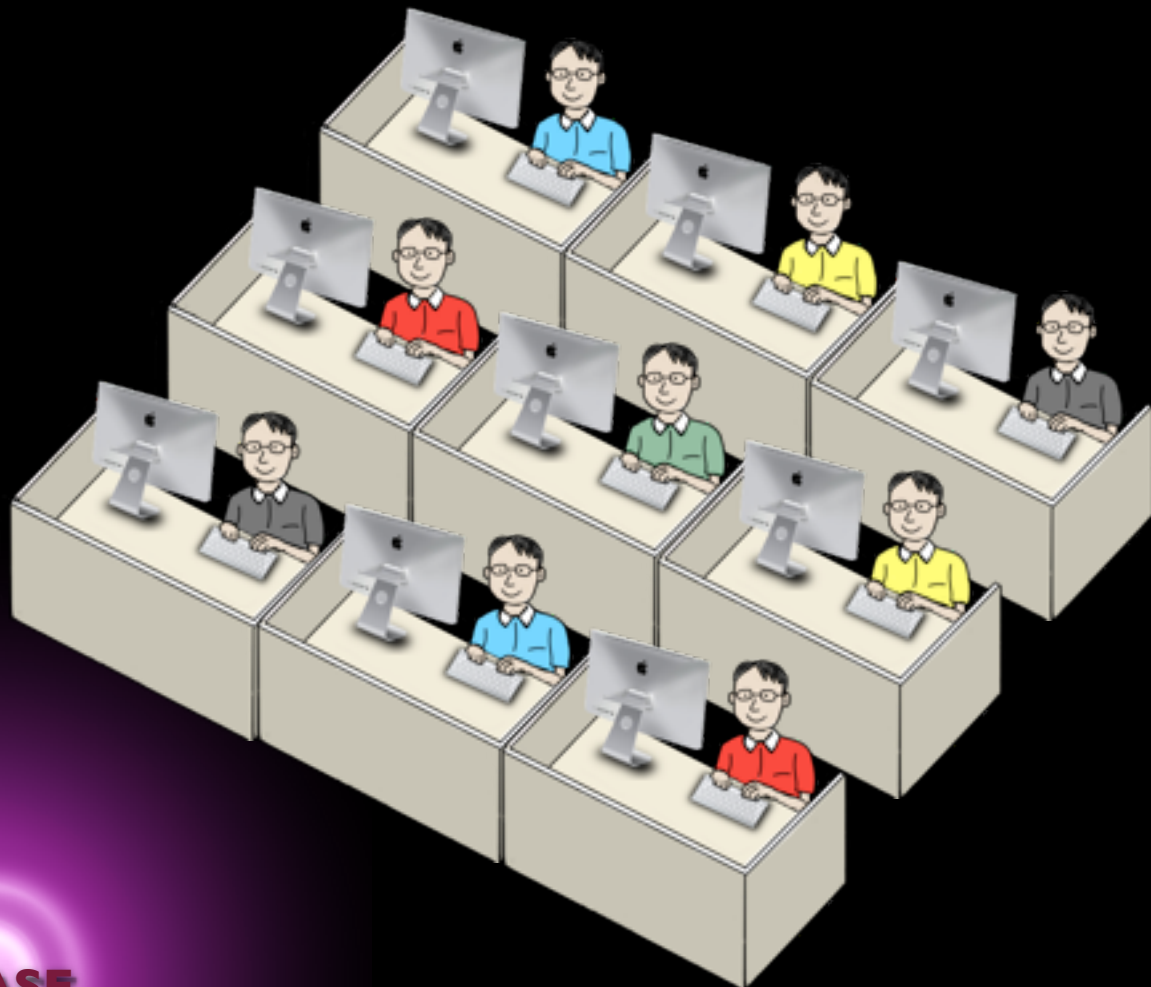
# Dilbert's Cube Farm





## Pickering's Harem

This is what  
computers looked like  
100 years ago

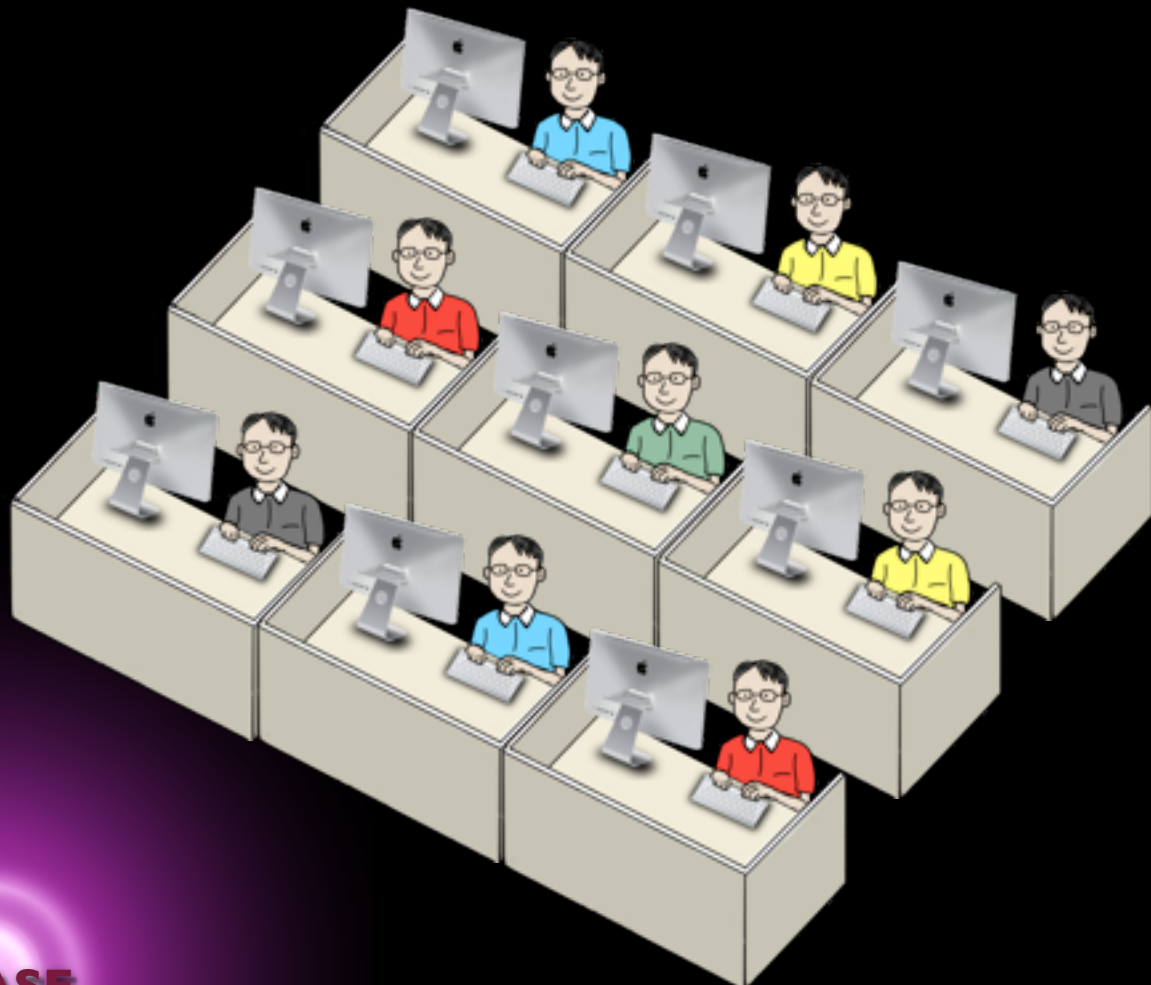


## Dilbert's Cube Farm

This is what  
programmers look like  
today



Computers ... ?

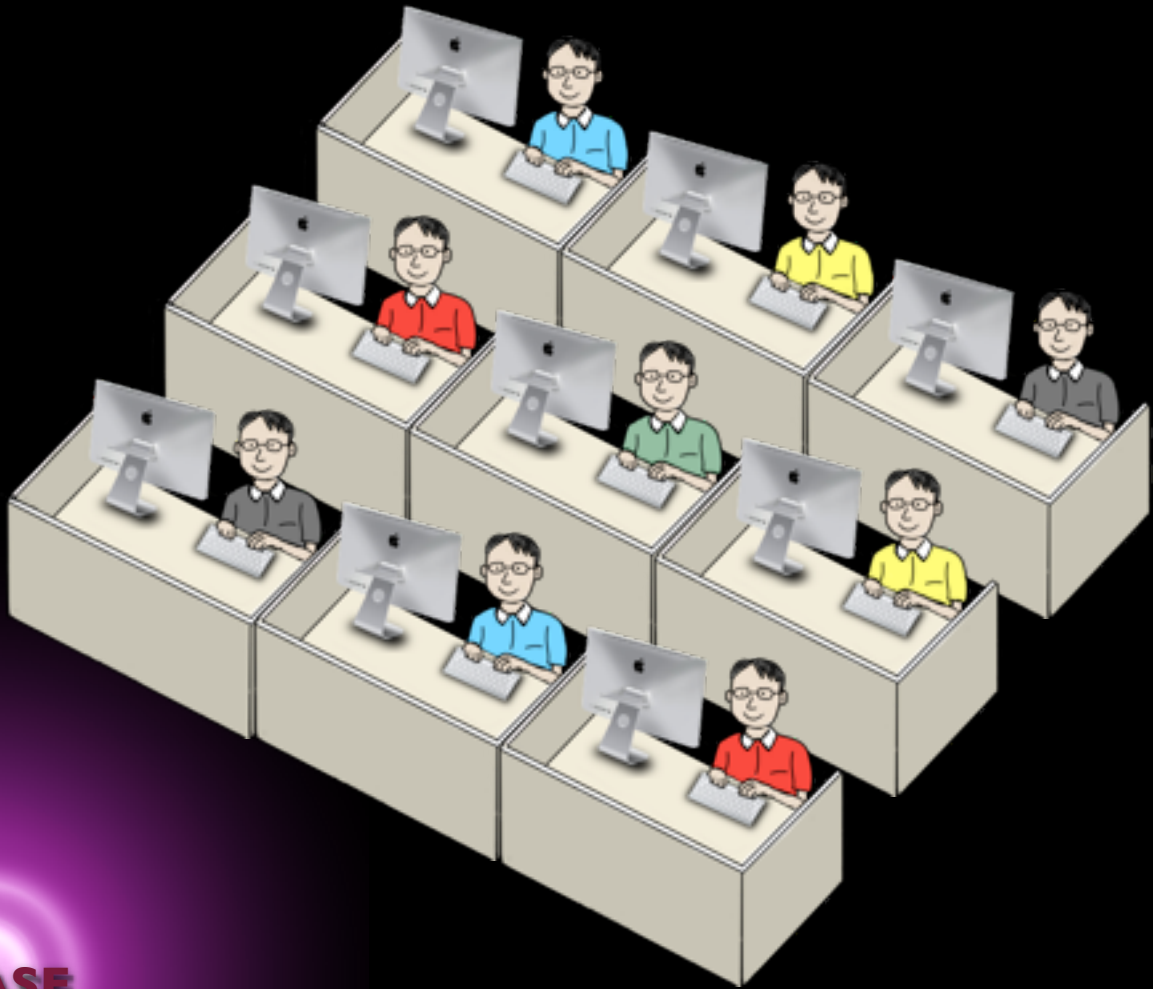


Programmers ... ?





Computers ...?  
how quaint!

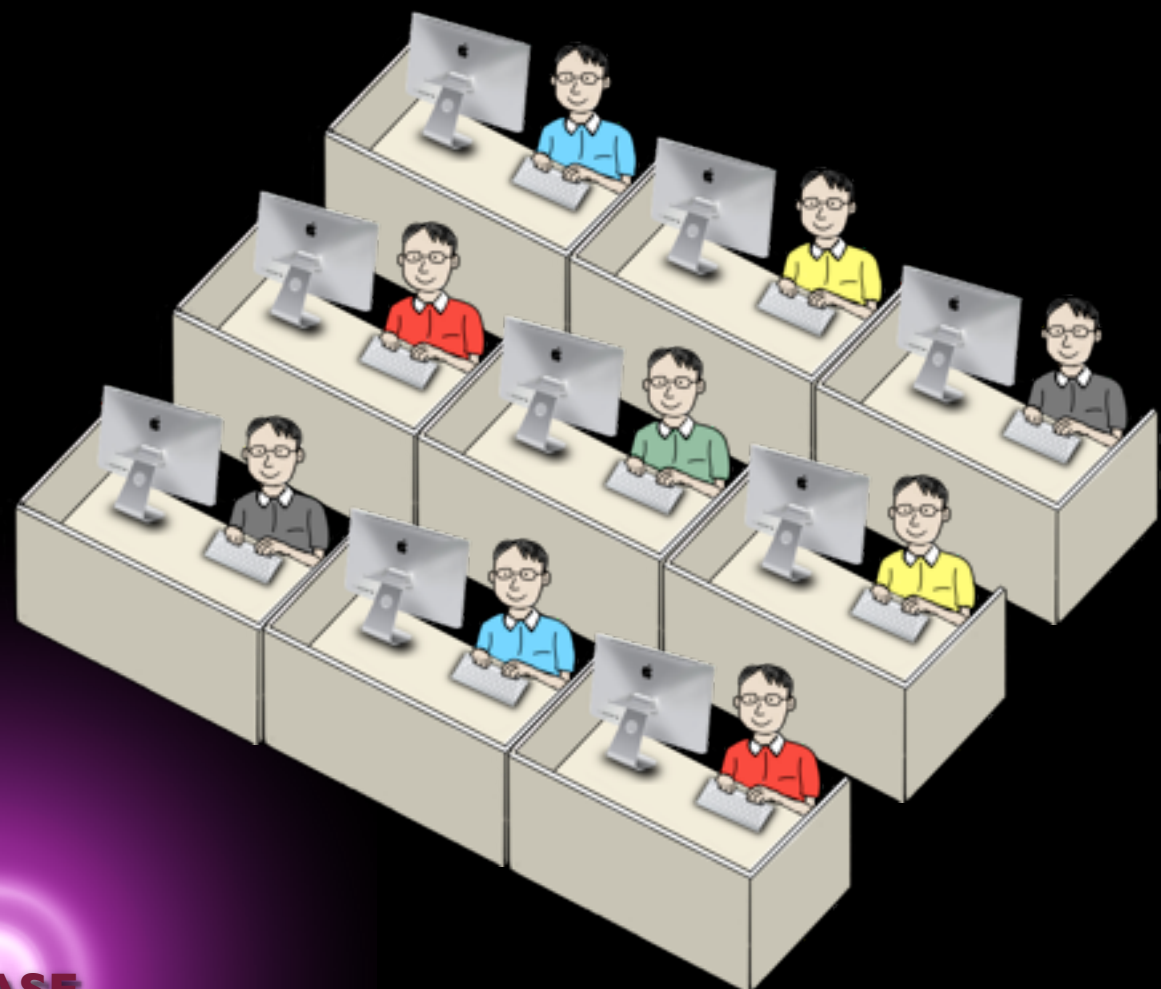


Programmers ...?





Computers ...?  
how quaint!



Programmers ...?  
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# Dynamic Adaptive SBSE

Compile SBSE into deployed Software



# Dynamic Adaptive SBSE

Compile SBSE into deployed Software

First achieve “Static Adaptive SBSE!”





# The GISMOE challenge: Constructing the Pareto Program Surface Using Genetic Programming to Find Better Programs

Mark Harman<sup>1</sup>, William B. Langdon<sup>1</sup>, Yue Jia<sup>1</sup>, David R. White<sup>2</sup>, Andrea Arcuri<sup>3</sup>, John A. Clark<sup>4</sup>

<sup>1</sup>CREST Centre, University College London, Gower Street, London, WC1E 6BT, UK.

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<sup>3</sup>Simula Research Laboratory, P. O. Box 134, 1325 Lysaker, Norway.

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## ABSTRACT

Optimising programs for non-functional properties such as speed, size, throughput, power consumption and bandwidth can be demanding; pity the poor programmer who is asked to cater for them all at once! We set out an alternate vision for a new kind of software development environment inspired by recent results from Search Based Software Engineering (SBSE). Given an input program that satisfies the functional requirements, the proposed programming environment will automatically generate a set of candidate program implementations, all of which share functionality, but each of which differ in their non-functional trade offs. The software designer navigates this diverse Pareto surface of candidate implementations, gaining insight into the trade offs and selecting solutions for different platforms and environments, thereby stretching beyond the reach of current compiler technologies. Rather than having to focus on the details required to manage complex, inter-related and conflicting, non-functional trade offs, the designer is thus freed to explore, to understand, to control and to decide rather than to construct.

## Categories and Subject Descriptors

D.2 [Software Engineering]

## General Terms

Algorithms, Design, Experimentation, Human Factors, Languages, Measurement, Performance, Verification.

\*This position paper accompanies the keynote given by Mark Harman's at the 27<sup>th</sup> IEEE/ACM International Conference on Automated Software Engineering (ASE 12) in Essen, Germany. It is joint work with Bill Langdon, Yue Jia, David White, Andrea Arcuri and John Clark, funded by the EPSRC grants SEBASE (EP/D050863, EP/D050618 and EP/D052785), GISMO (EP/I033688) and DAASE (EP/J017515/) and by EU project FITTEST (257574).

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ASE'12, September 3–7, 2012, Essen, Germany.

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## Keywords

SBSE, Search Based Optimization, Compilation, Non-functional Properties, Genetic Programming, Pareto Surface.

## 1. INTRODUCTION

Humans find it hard to develop systems that balance many competing and conflicting non-functional objectives. Even meeting a single objective, such as execution time, requires automated support in the form of compiler optimisation. However, though most compilers can optimise compiled code for both speed and size, the programmer may find themselves making arbitrary choices when such objective are in conflict with one another.

Furthermore, speed and size are but two of many objectives that the next generation of software systems will have to consider. There are many others such as bandwidth, throughput, response time, memory consumption and resource access. It is unrealistic to expect an engineer to decide, up front, on the precise weighting that they attribute to each such non-functional property, nor for the engineer even to know what might be achievable in some unfamiliar environment in which the system may be deployed.

Emergent computing application paradigms require systems that are not only reliable, compact and fast, but which also optimise many different competing and conflicting objectives such as response time, throughput and consumption of resources (such as power, bandwidth and memory). As a result, operational objectives (the so-called non-functional properties of the system) are becoming increasingly important and uppermost in the minds of software engineers.

Human software developers cannot be expected to optimally balance these multiple competing constraints and may miss potentially valuable solutions should they attempt to do so. Why should they have to? How can a programmer assess (at code writing time) the behaviour of their code with regard to non-functional properties on a platform that may not yet have been built?

To address this conundrum we propose a development environment that distinguishes between functional and non-functional properties. In this environment, the functional properties remain the preserve of the human designer, while the optimisation of non-functional properties is left to the machine. That is, the choice of the non-functional properties to be considered will remain a decision for the human software designer.

# ASE 2012 keynote paper

Mark Harman, CREST



## The GISMOE challenge: Constructing the Pareto Program Surface Using Genetic Programming to Find Better Programs

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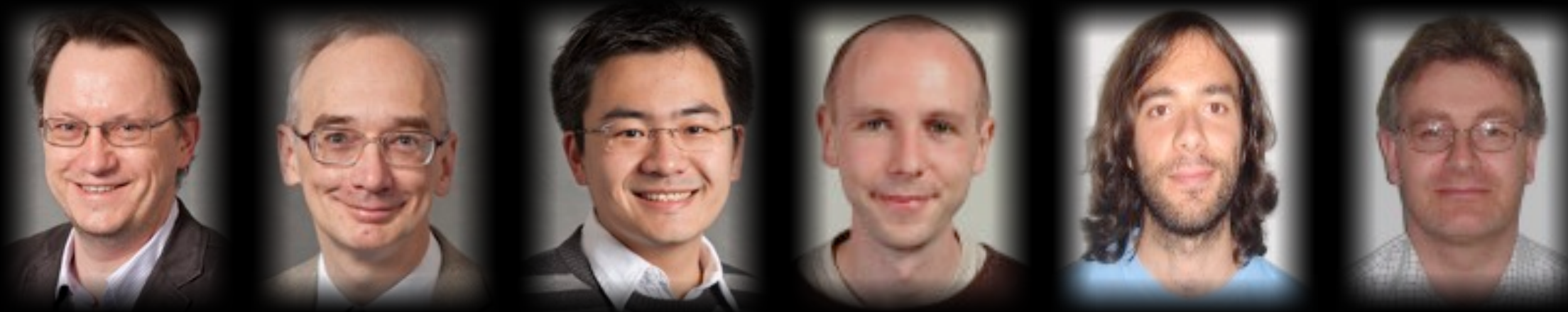
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Furthermore, speed and size are but two of many objectives that the next generation of software systems will have to consider. There are many others such as bandwidth, throughput, response time, memory consumption and resource access. It is unrealistic to expect an engineer to decide, up front, on the precise weighting that they attribute to each such non-functional property, nor for the engineer even to know what might be achievable in some unfamiliar environment in which the system may be deployed.

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# Dynamic Adaptive SBSE

Compile SBSE into deployed Software



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... what's the difference between ASE and ESEM keynote?





# Static Adaptive SBSE



# Dynamic Adaptive SBSE





# Dynamic Adaptive SBSE

Compile SBSE into deployed Software



# Dynamic Adaptive SBSE

Compile SBSE into deployed Software

... where's the evidence that this is feasible?



# Exciting evidence ...



# Bug Fixing

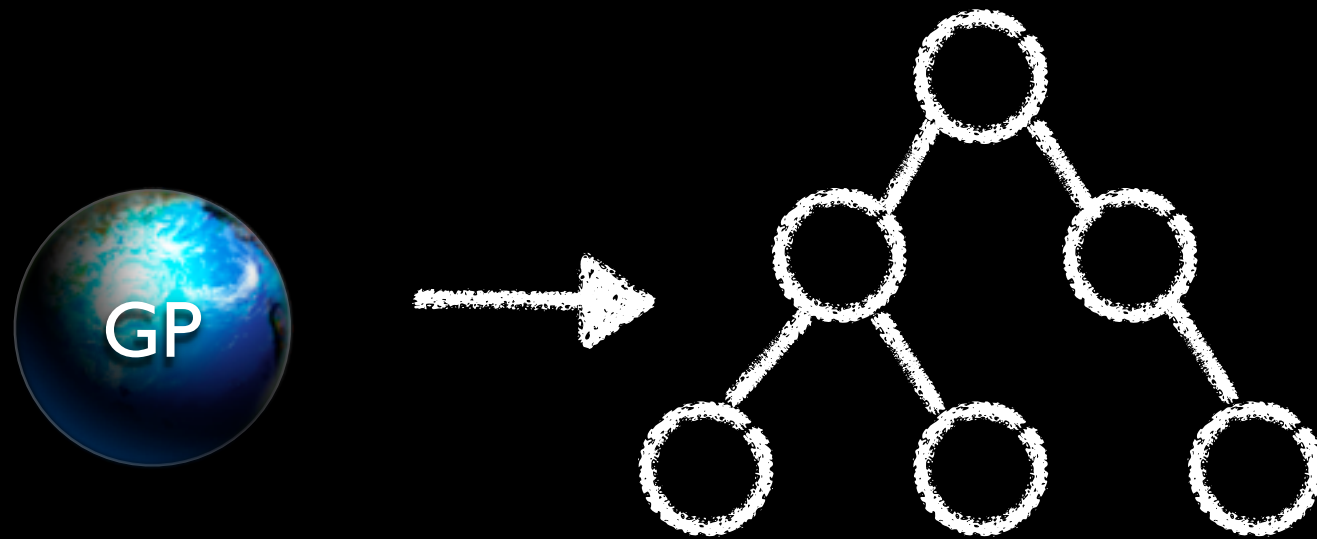


# Bug Fixing

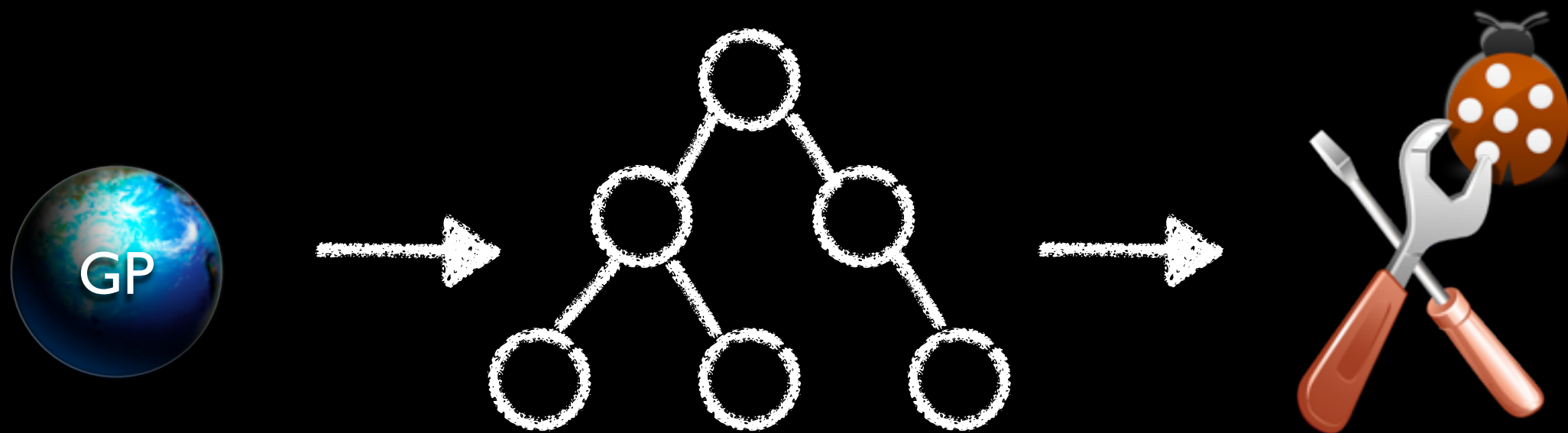




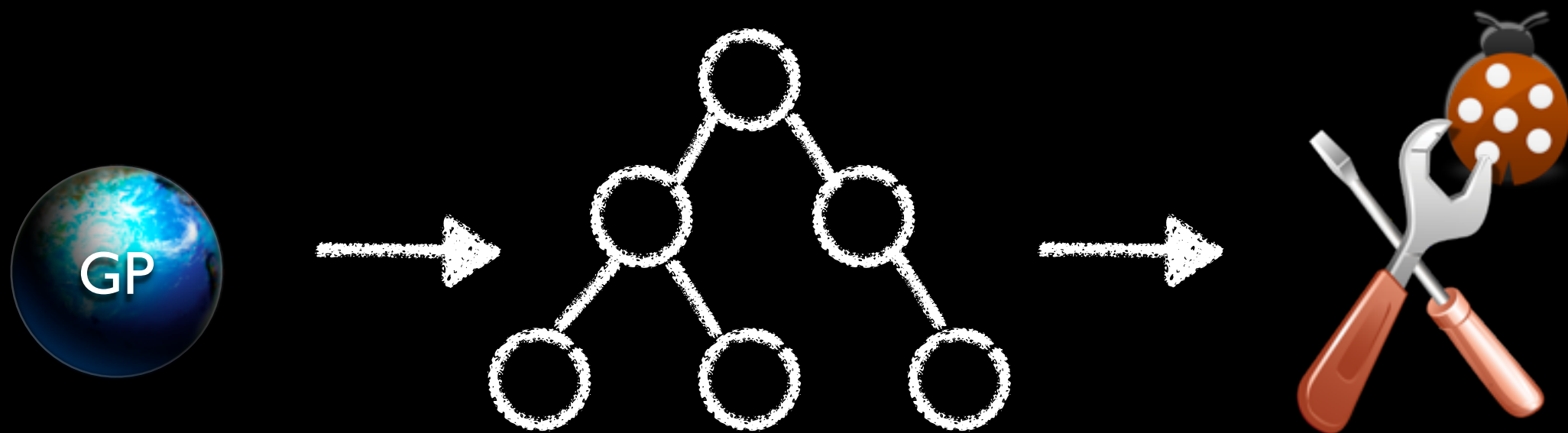
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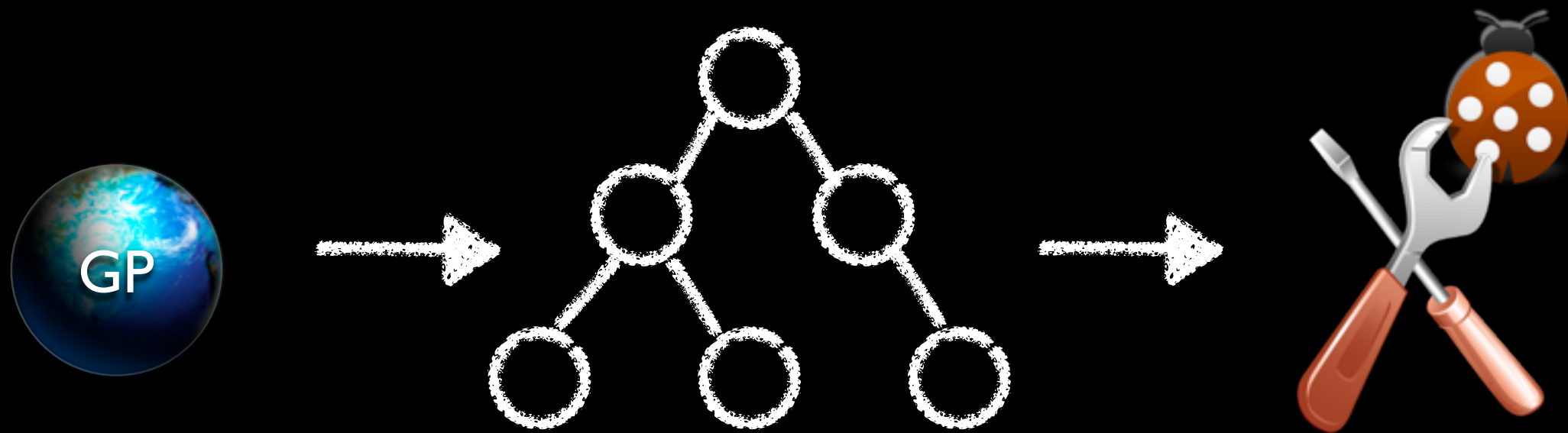


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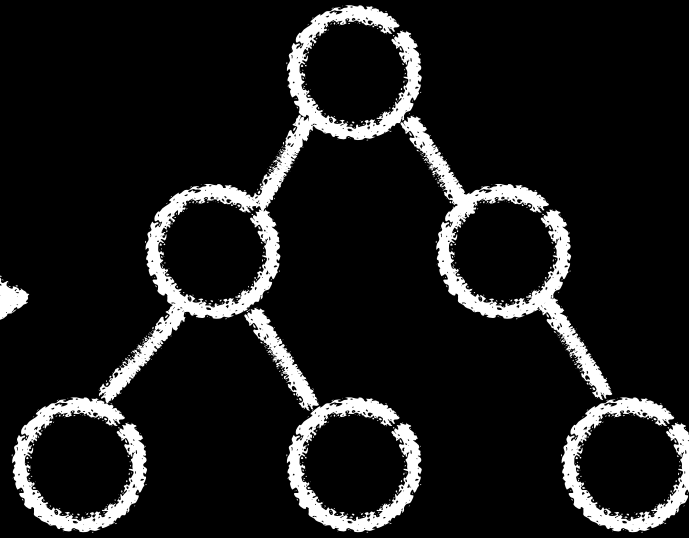


A.Arcuri and X.Yao.A Novel  
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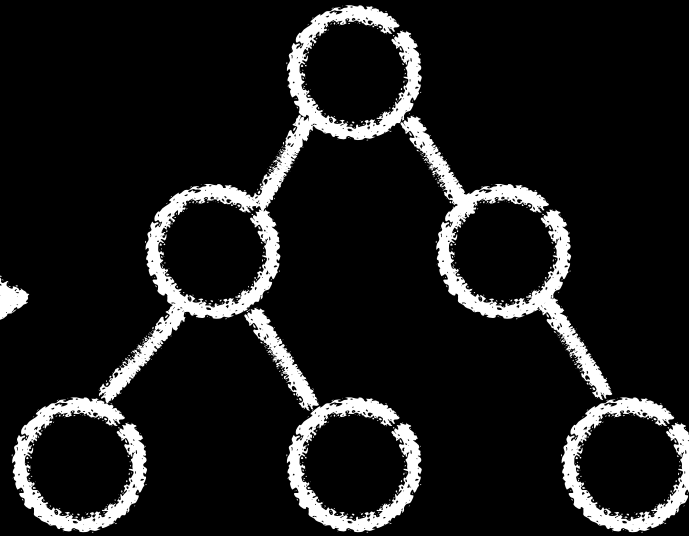
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C. Le Goues, T. Nguyen, S. Forrest, and W. Weimer. GenProg: A generic method for automatic software repair. (TSE'12)

W. Weimer, T. V. Nguyen, C. L. Goues, and S. Forrest. Automatically finding patches using genetic programming. In International Conference on Software Engineering (ICSE'09)





A. Arcuri and X. Yao. A Novel Co-evolutionary Approach to Automatic Software Bug Fixing. (CEC '08)

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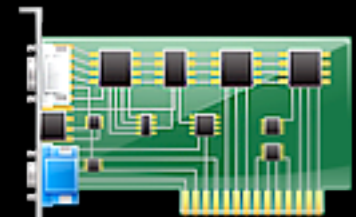
W. Weimer, T. V. Nguyen, C. L. Goues, and S. Forrest. Patching software bugs using genetic programming. In Software Engineering (ICSE'09)

“The original program serves as an ideal oracle for the re-evolution of fragments of new code.”

# Migration



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# Migration



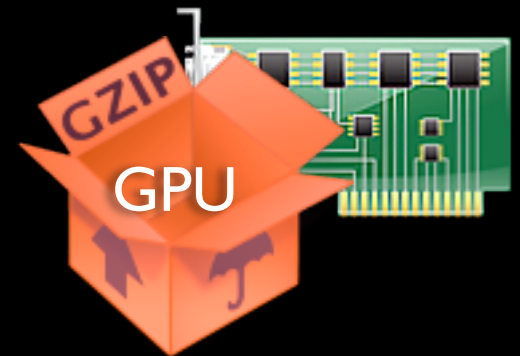
W. B. Langdon and M. Harman  
Evolving a CUDA kernel from an nVidia template (CEC'10)



# Migration

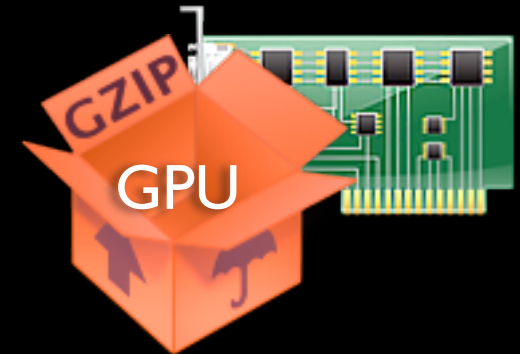


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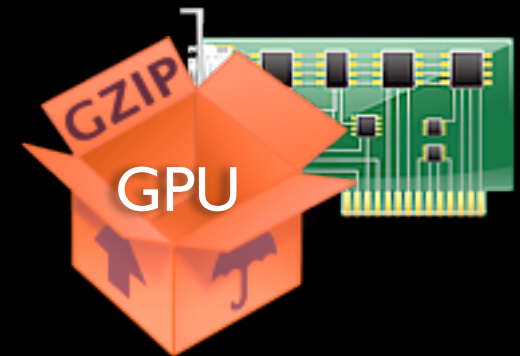




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```
__device__ int kernel978(const uch *g_idata, const int strstart1, const int strstart2)
{
    int thid = 0;
    int pout = 0;
    int pin = 0 ;
    int offset = 0;
    int num_elements = 258;
    for (offset = 1 ; G_idata( strstart1+ pin ) == G_idata( strstart2+ pin ) ;offset ++ )
    {
        if(!ok()) break;
        thid = G_idata( strstart2+ thid ) ;
        pin = offset ;
    }
    return pin ;
}
```

Blue	- fixed by template.	Red	- evolved
Black	- default	Grey	- evolved but no impact.



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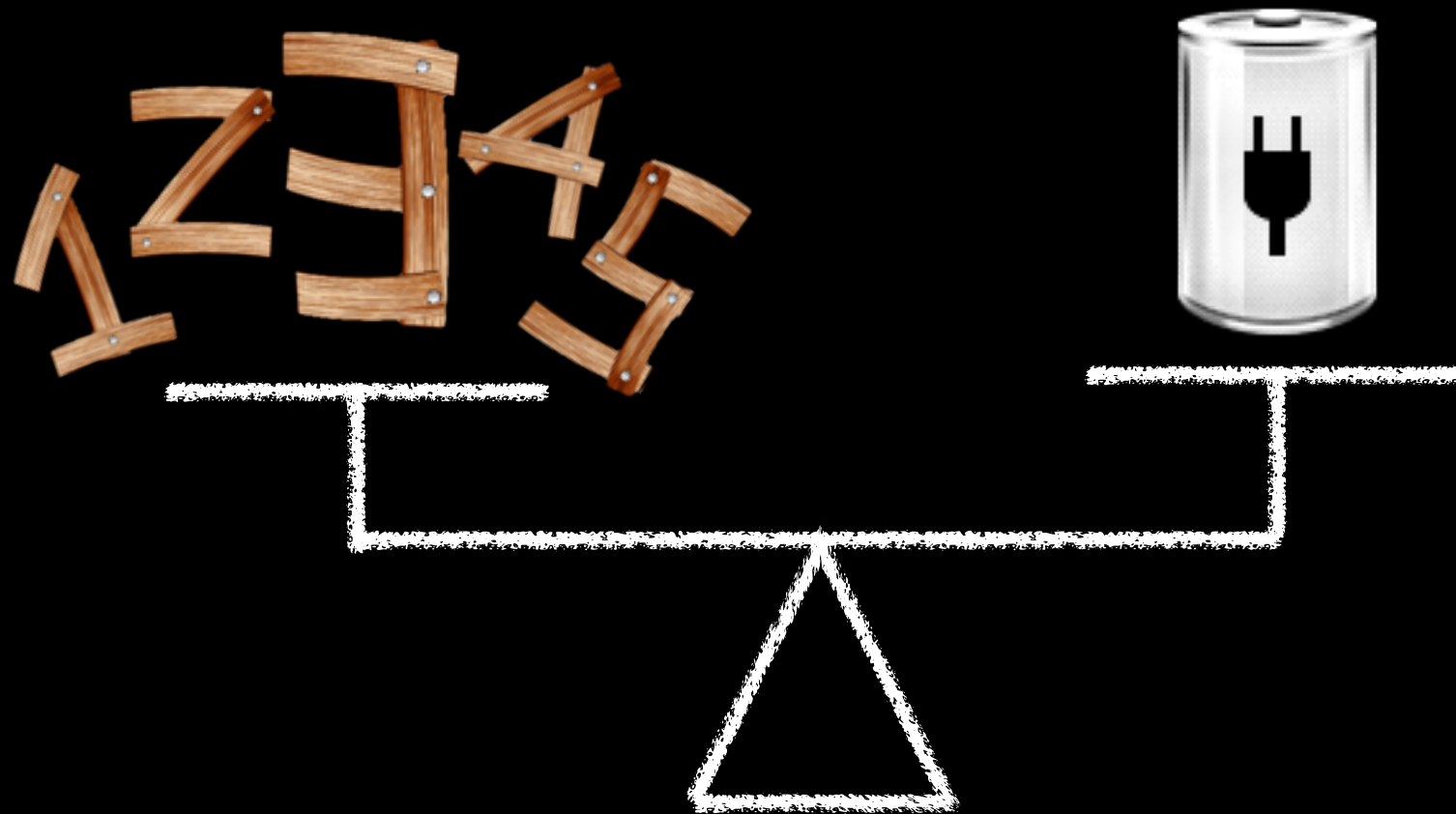
“Code can be re-evolved from one environment to an entirely new environment and programming language.”

# Trading Functional & Non-Functional Requirements

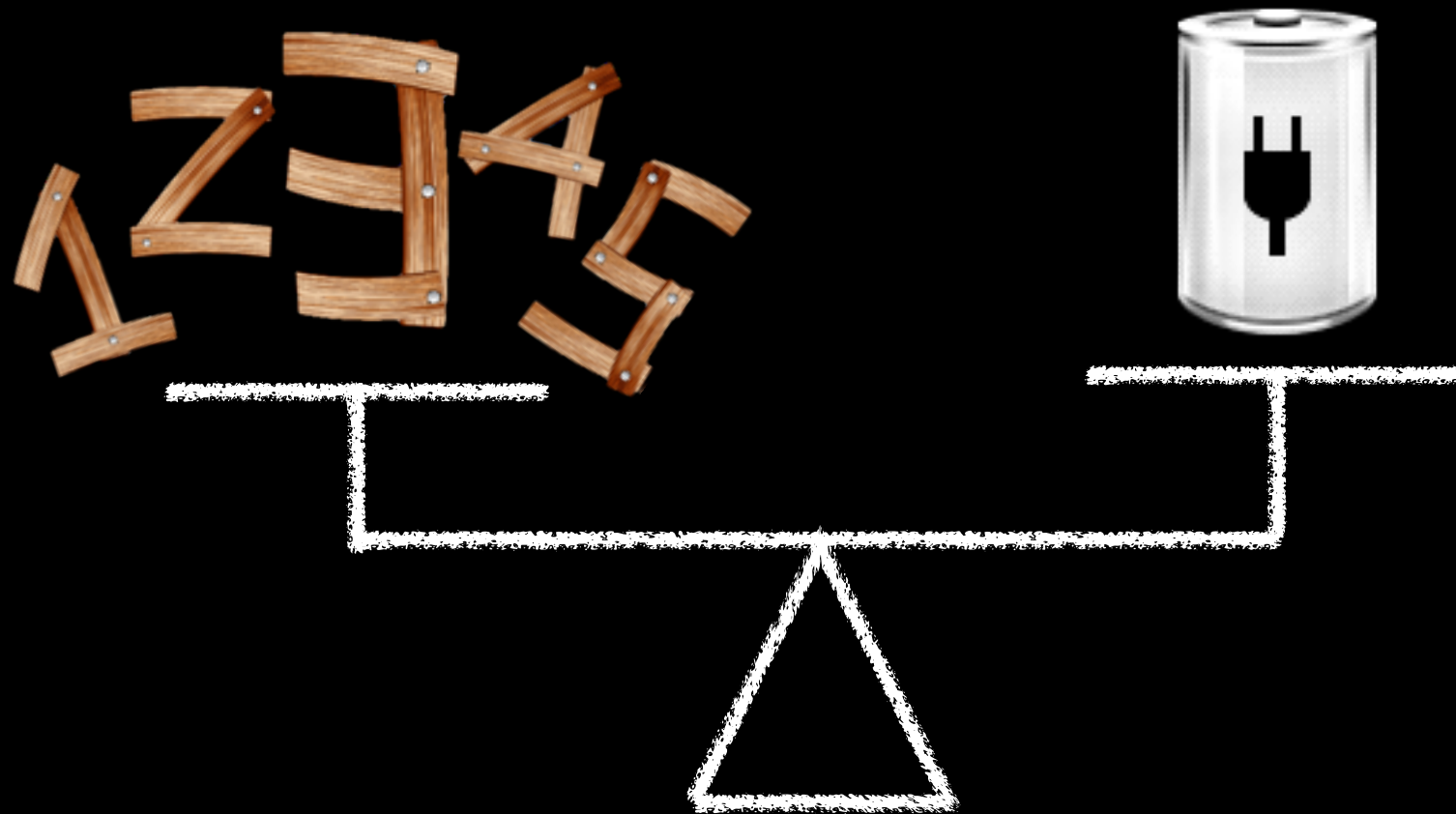




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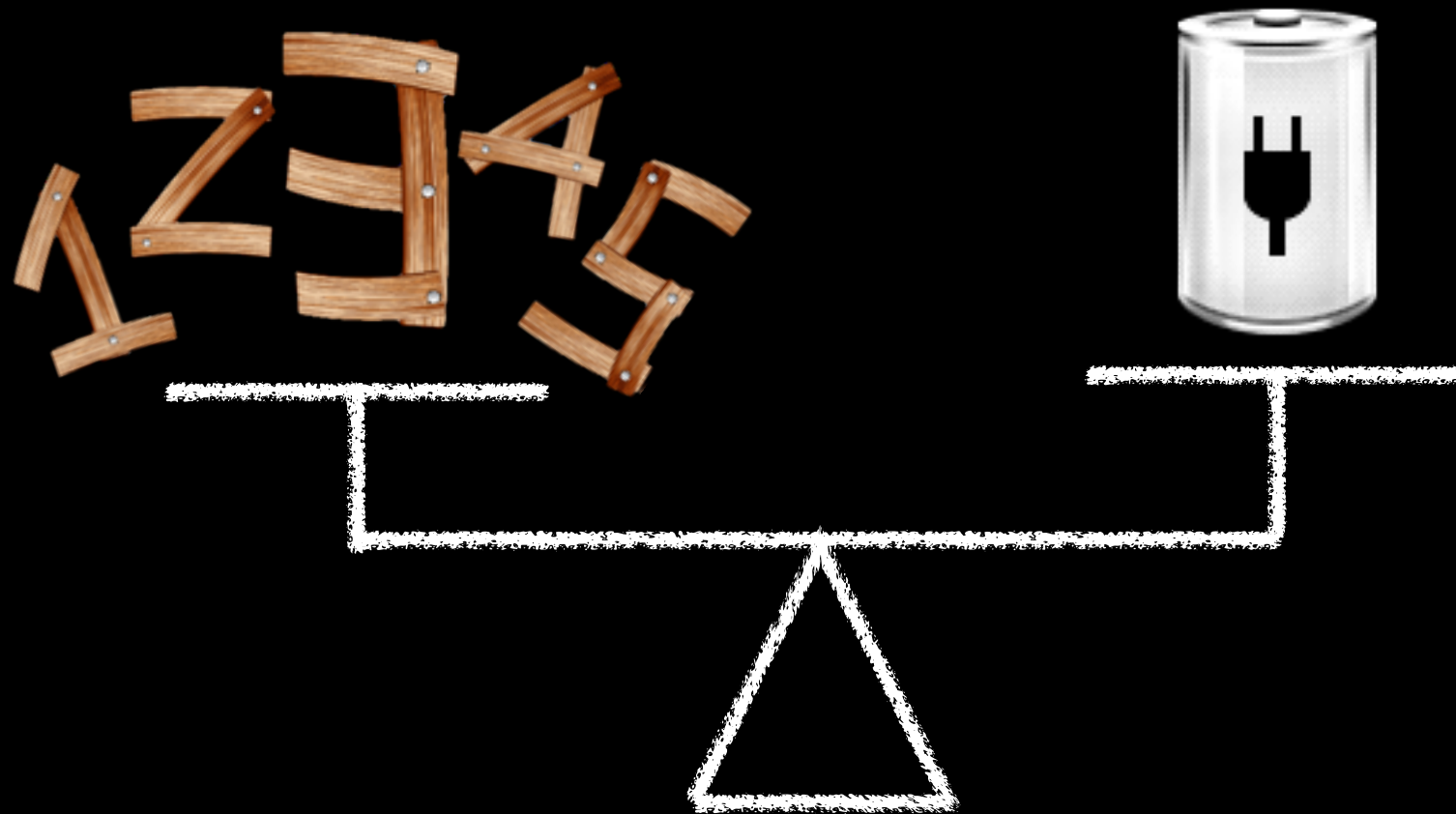


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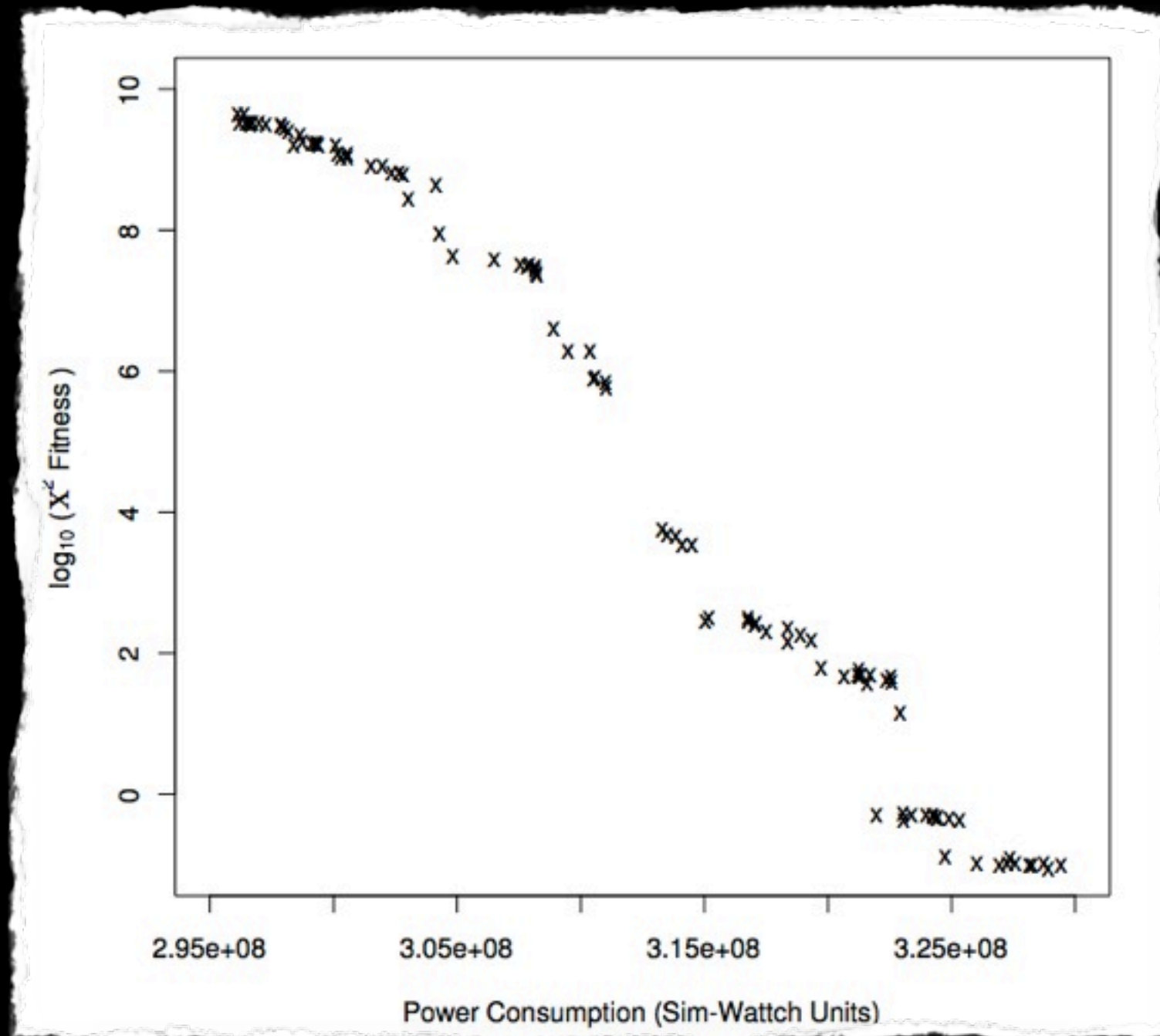


D. R. White, J. Clark, J. Jacob, and S. Poulding.  
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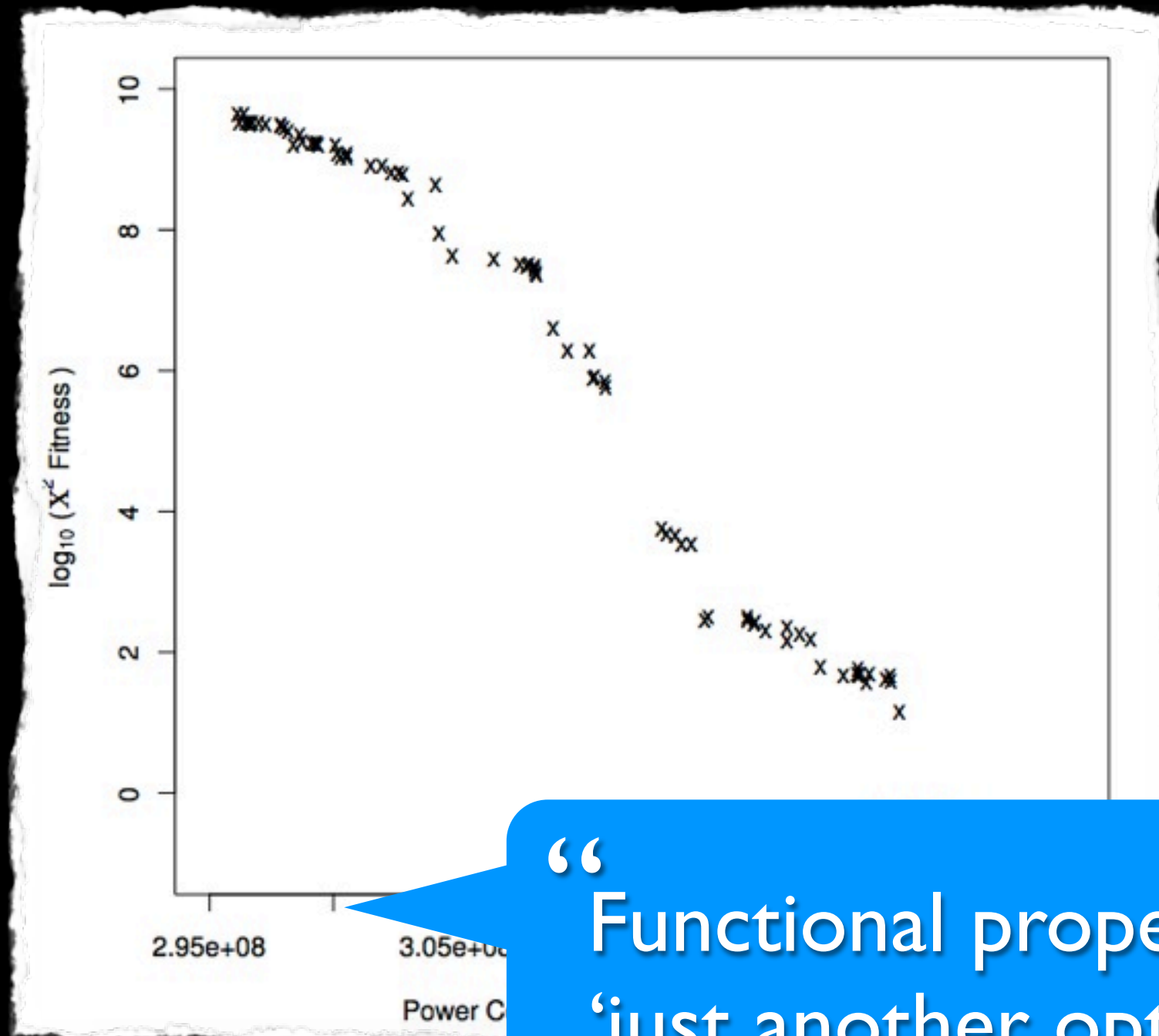
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D. R. White, J. Clark, J. Jacob, and S. I. ...  
 Searching for resource-efficient pro  
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“Functional properties are  
 ‘just another optimisation  
 objective’, like non-  
 functional properties.”



# Software Uniqueness



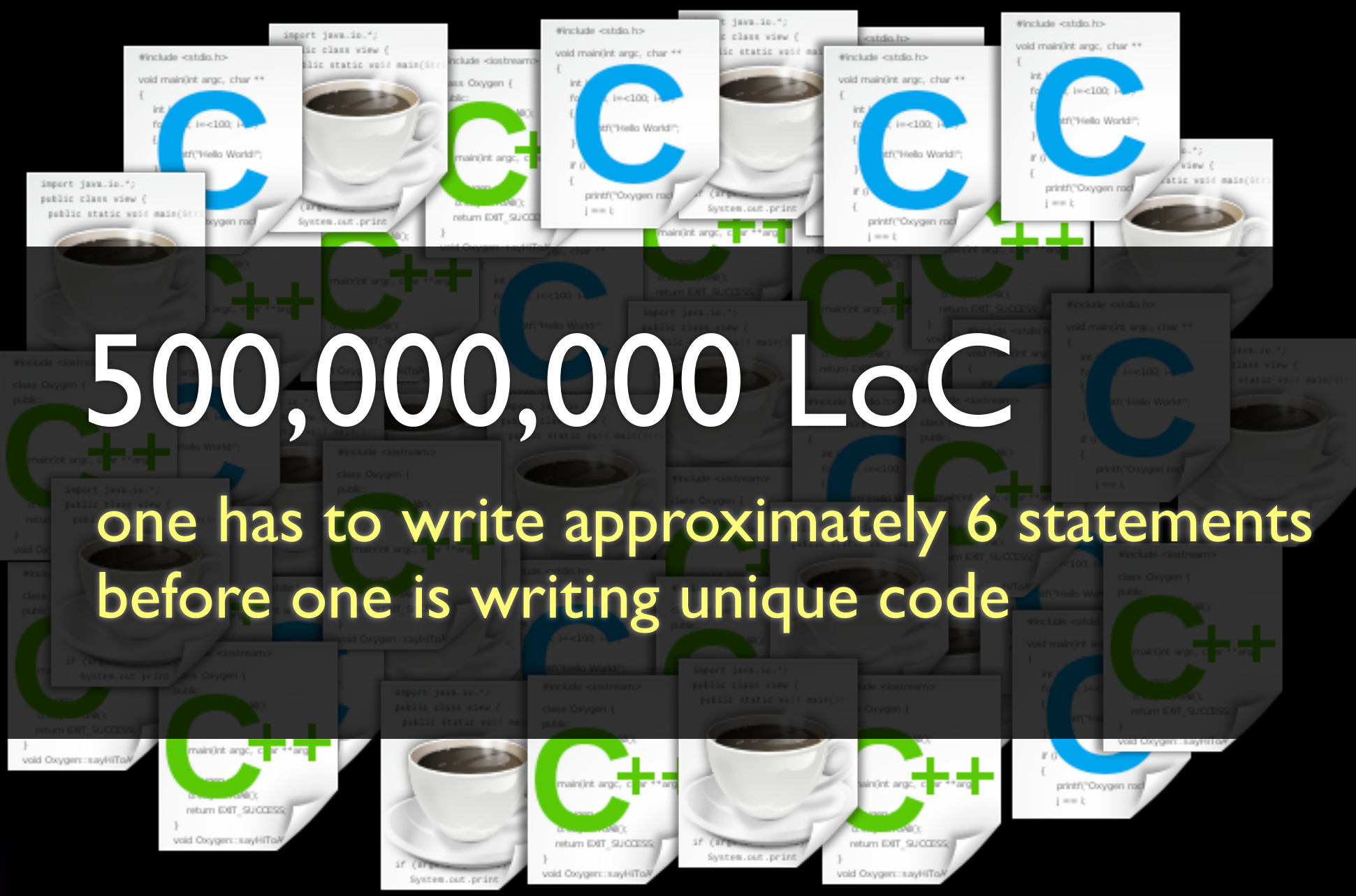
# Software Uniqueness



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500,000,000 LoC

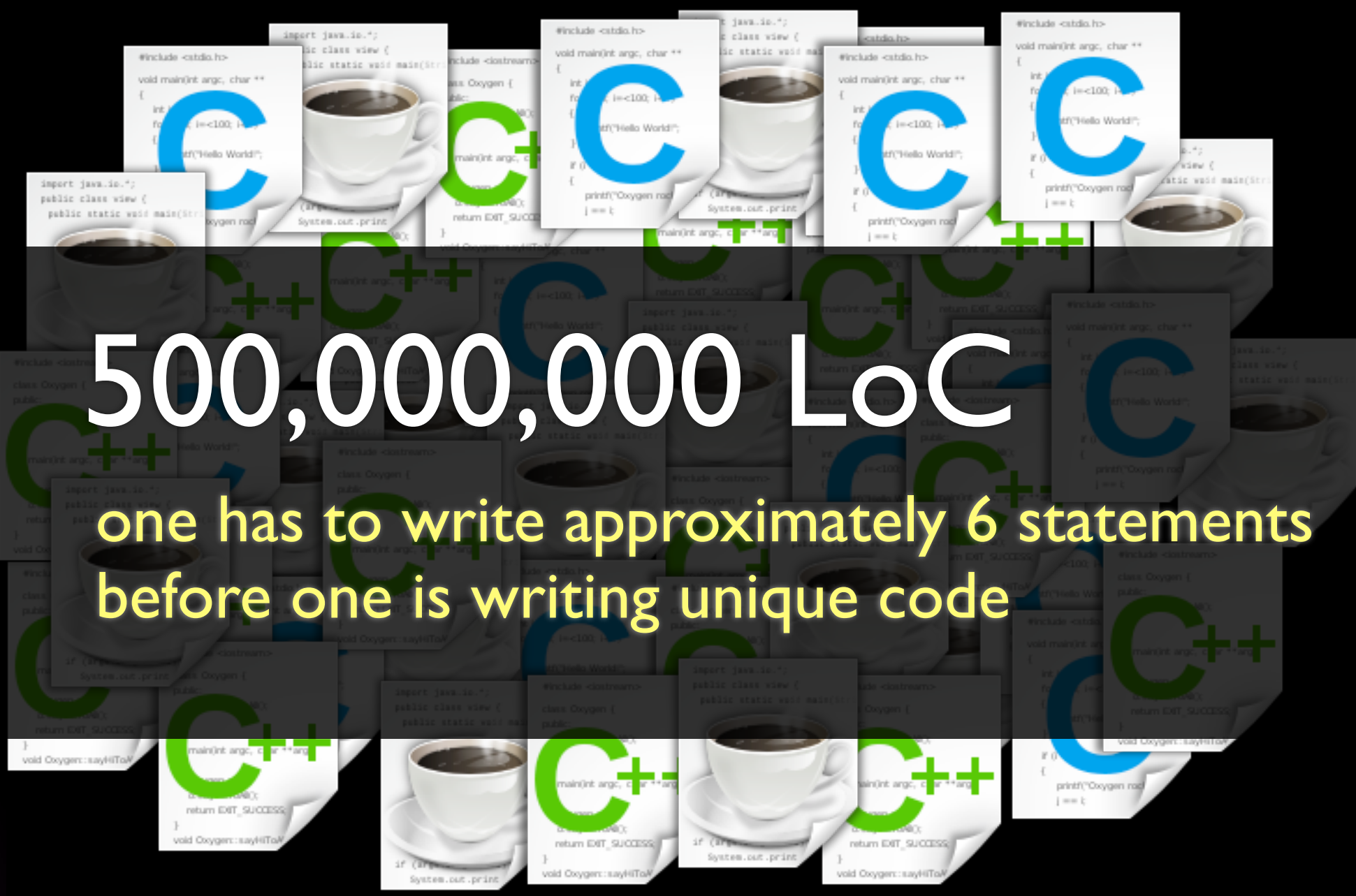
one has to write approximately 6 statements  
before one is writing unique code



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M. Gabel and Z. Su.

A study of the uniqueness of source code. (FSE 2010)



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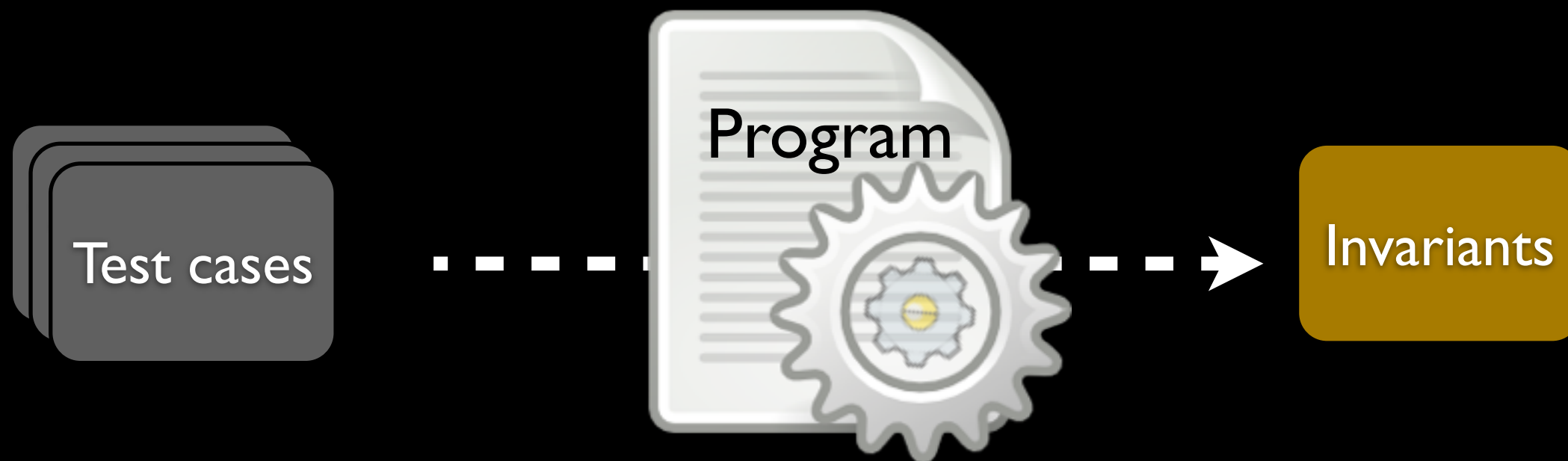
“

The space of candidate programs is far smaller than we might suppose. ”

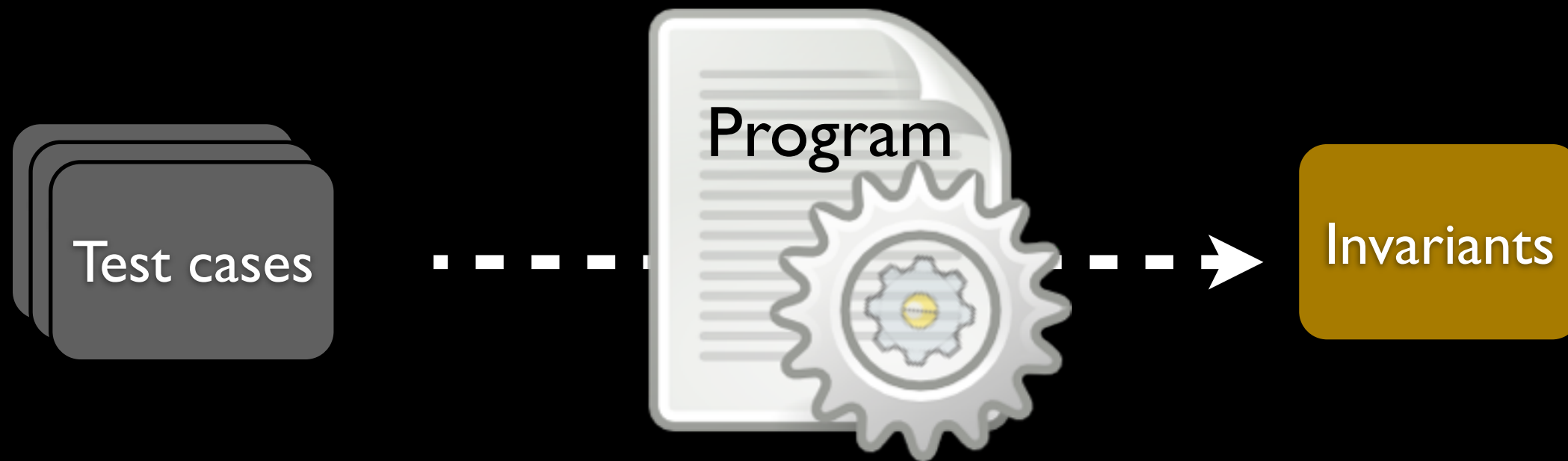
# Dynamically Discovering Static Truths



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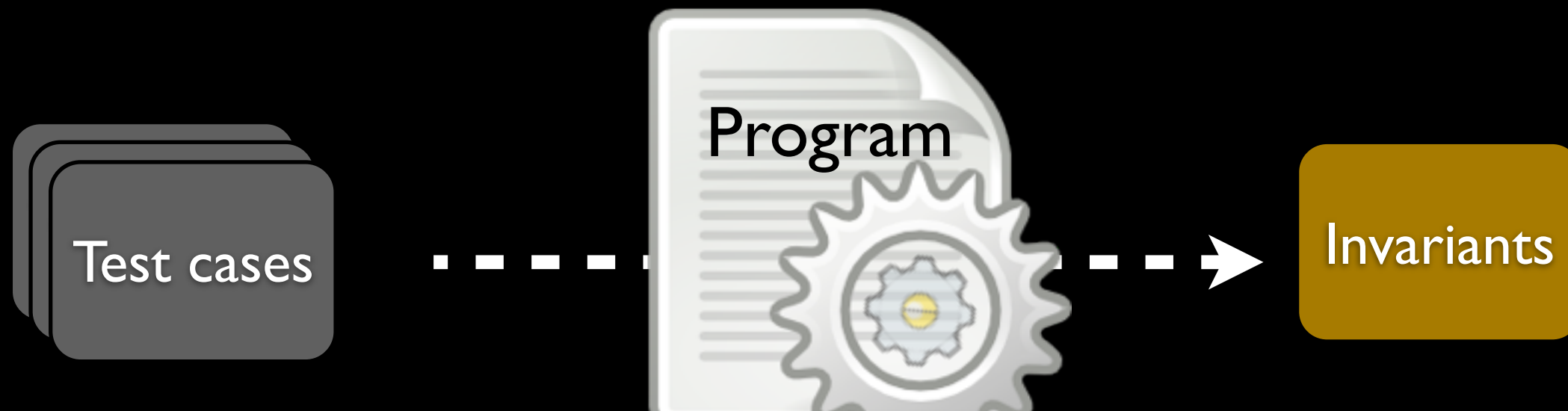
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M. D. Ernst. Dynamically Discovering Likely Program Invariants.  
PhD Thesis, University of Washington, 2000.

M. D. Ernst, J. Cockrell, W. G. Griswold, and D. Notkin. Dynamically discovering likely program invariants to support program evolution. IEEE Transactions on Software Engineering, 27(2):1–25, Feb. 2001.

# Dynamically Discovering Static Truths



“A small amount of dynamic information is sufficient to approximate (and sometimes precisely capture) static information.”

M. D. Ernst. Dynamically Discovering Static Truths  
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M. D. Ernst, J. Cockrell, W. G. J. Ross.  
Discovering program invariants to support  
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# Latest CREST results



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Bowtie2: real program of 50,000 LoC

39 files, 20,000 LoC in main code

data structures, modules, file access ...



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Bowtie2: real program of 50,000 LoC

39 files, 20,000 LoC in main code

data structures, modules, file access ...

Evolved E\_Bowtie2

70 times faster on average

and a modest functional improvement



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Human and Monkey: Ekman P, Friesen WV, Hager JC. Facial Action Coding System. Salt Lake City: Research Nexus; 2002. homologous movements in a human (Ekman et al., 2002)

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